Pre-Quantum Information Theory

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Outline

Measures of Information

- Uncertainty
- Compressibility
- Randomness
- Encryption
- 2 Measures of Information Flow
 - Channel Capacity
 - Code
 - Noisy Coding



Roadmap

Measures of Information

- Uncertainty
- Compressibility
- Randomness
- Encryption

Measures of Information Flow

- Channel Capacity
- Code
- Noisy Coding



Roadmap

Measures of Information Uncertainty

- Compressibility
- Randomness
- Encryption

2 Measures of Information Flow

- Channel Capacity
- Code
- Noisy Coding



Uncertainty Compressibility Randomness Encryption

Information and Probability



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Slide 5 of 34

Uncertainty Compressibility Randomness Encryption

Information and Probability

For an event with probability p, let I(p) be the information contained in it.



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Slide 5 of 34

Uncertainty Compressibility Randomness Encryption

Information and Probability

For an event with probability p, let I(p) be the information contained in it.

•
$$p \downarrow \Rightarrow I(p) \uparrow \text{ and } p \uparrow \Rightarrow I(p) \downarrow$$



Uncertainty Compressibility Randomness Encryption

Information and Probability

For an event with probability p, let I(p) be the information contained in it.

- $p \downarrow \Rightarrow I(p) \uparrow$ and $p \uparrow \Rightarrow I(p) \downarrow$
- For two independent events with probabilities p_1 and p_2 , $l(p_1p_2) \propto l(p_1) + l(p_2)$.



Uncertainty Compressibility Randomness Encryption

Information and Probability

For an event with probability p, let I(p) be the information contained in it.

- $p \downarrow \Rightarrow I(p) \uparrow \text{ and } p \uparrow \Rightarrow I(p) \downarrow$
- For two independent events with probabilities p_1 and p_2 , $I(p_1p_2) \propto I(p_1) + I(p_2)$.

Thus, a natural definition is

$$l(p) \triangleq \log\left(\frac{1}{p}\right) = -\log p.$$



Uncertainty Compressibility Randomness Encryption

Relation to Uncertainty / Surprise / Knowledge Gain

Amount of information contained in an event



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Slide 6 of 34

Uncertainty Compressibility Randomness Encryption

Relation to Uncertainty / Surprise / Knowledge Gain

Amount of information contained in an event

= Amount of uncertainty before the event happens



Uncertainty Compressibility Randomness Encryption

Relation to Uncertainty / Surprise / Knowledge Gain

Amount of information contained in an event

- = Amount of uncertainty before the event happens
- = Amount of surprise when the event happens



Uncertainty Compressibility Randomness Encryption

Relation to Uncertainty / Surprise / Knowledge Gain

Amount of information contained in an event

- = Amount of uncertainty before the event happens
- = Amount of surprise when the event happens
- = Amount of knowledge gain after the event happens



Uncertainty Compressibility Randomness Encryption

Average Information

Let X denote a random variable taking values from a discrete set (may denote a set of events or a source of symbols) with probabilities p(x) = Prob(X = x).



Uncertainty Compressibility Randomness Encryption

Average Information

Let X denote a random variable taking values from a discrete set (may denote a set of events or a source of symbols) with probabilities p(x) = Prob(X = x).

Average information in X (or of the corresponding set / source)

$$H(X) \triangleq E[I(p(X))]$$



Uncertainty Compressibility Randomness Encryption

Average Information

Let X denote a random variable taking values from a discrete set (may denote a set of events or a source of symbols) with probabilities p(x) = Prob(X = x).

Average information in X (or of the corresponding set / source)

$$\begin{aligned} H(X) &\triangleq E[I(p(X))] \\ &= E[-\log p(X)] \end{aligned}$$



Uncertainty Compressibility Randomness Encryption

Average Information

Let X denote a random variable taking values from a discrete set (may denote a set of events or a source of symbols) with probabilities p(x) = Prob(X = x).

Average information in X (or of the corresponding set / source)

$$H(X) \triangleq E[I(p(X))]$$

= $E[-\log p(X)]$
= $-\sum_{x \in X} p(x) \log p(x)$



Uncertainty Compressibility Randomness Encryption

Average Information

Let X denote a random variable taking values from a discrete set (may denote a set of events or a source of symbols) with probabilities p(x) = Prob(X = x).

Average information in X (or of the corresponding set / source)

$$H(X) \triangleq E[I(p(X))]$$

= $E[-\log p(X)]$
= $-\sum_{x \in X} p(x) \log p(x)$

This is called the entropy of the variable X (or of the set / source).

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Uncertainty Compressibility Randomness Encryption

Joint and Conditional Entropy



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Slide 8 of 34

Uncertainty Compressibility Randomness Encryption

Joint and Conditional Entropy

$$H(X, Y) \triangleq -\sum_{x} \sum_{y} p(x, y) \log p(x, y).$$



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Slide 8 of 34

Uncertainty Compressibility Randomness Encryption

Joint and Conditional Entropy

$$H(X, Y) \triangleq -\sum_{x} \sum_{y} p(x, y) \log p(x, y).$$

$$H(Y \mid X) \triangleq \sum_{x} p(x)H(Y \mid X = x)$$



Uncertainty Compressibility Randomness Encryption

Joint and Conditional Entropy

$$H(X, Y) \triangleq -\sum_{x} \sum_{y} p(x, y) \log p(x, y).$$

$$H(Y \mid X) \triangleq \sum_{x} p(x)H(Y \mid X = x)$$
$$= \sum_{x} p(x) \left(-\sum_{y} p(y|x) \log p(y|x)\right)$$



Uncertainty Compressibility Randomness Encryption

Joint and Conditional Entropy

$$H(X, Y) \triangleq -\sum_{x} \sum_{y} p(x, y) \log p(x, y).$$

$$H(Y \mid X) \triangleq \sum_{x} p(x)H(Y \mid X = x)$$

=
$$\sum_{x} p(x) \left(-\sum_{y} p(y|x) \log p(y|x) \right)$$

=
$$-\sum_{x} \sum_{y} p(x, y) \log p(y|x)$$



1

Uncertainty Compressibility Randomness Encryption

Important Results Related to Entropy



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Slide 9 of 34

Uncertainty Compressibility Randomness Encryption

Important Results Related to Entropy

Chain Rule: H(X, Y) = H(X) + H(Y|X)



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Slide 9 of 34

Uncertainty Compressibility Randomness Encryption

Important Results Related to Entropy

Chain Rule:
$$H(X, Y) = H(X) + H(Y|X)$$

$H(X, Y) \leq H(X) + H(Y)$



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Uncertainty Compressibility Randomness Encryption

Important Results Related to Entropy

Chain Rule:
$$H(X, Y) = H(X) + H(Y|X)$$

$H(X, Y) \leq H(X) + H(Y)$

$H(Y \mid X) \leq H(Y)$



Uncertainty Compressibility Randomness Encryption

Mutual Information

 $I(X; Y) \triangleq \sum_{x} \sum_{y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)}$



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Slide 10 of 34

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Mutual Information

$$I(X; Y) \triangleq \sum_{x} \sum_{y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)}$$
$$= H(X) - H(X|Y)$$



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Slide 10 of 34

Uncertainty Compressibility Randomness Encryption

Mutual Information

$$I(X; Y) \triangleq \sum_{x} \sum_{y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)}$$

= $H(X) - H(X|Y)$
= $H(Y) - H(Y|X)$



Uncertainty Compressibility Randomness Encryption

Mutual Information

$$H(X; Y) \triangleq \sum_{x} \sum_{y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)}$$
$$= H(X) - H(X|Y)$$
$$= H(Y) - H(Y|X)$$
$$= H(X) + H(Y) - H(X, Y)$$



Roadmap



- Encryption
- 2 Measures of Information Flow
 - Channel Capacity
 - Code
 - Noisy Coding



Uncertainty Compressibility Randomness Encryption

Information and Codeword Length

Kraft Inequality: The necessary and sufficient conditions for the existence of an instantaneous code over an *r*-ary alphabet with codeword lengths $\ell_1, \ell_2, \ldots, \ell_n$ satisfy

$$\sum_{i=1} r^{-\ell_i} \leq 1.$$



Uncertainty Compressibility Randomness Encryption

Information and Codeword Length

Kraft Inequality: The necessary and sufficient conditions for the existence of an instantaneous code over an *r*-ary alphabet with codeword lengths $\ell_1, \ell_2, \ldots, \ell_n$ satisfy

$$\sum_{i=1} r^{-\ell_i} \leq 1.$$

An Engineering Optimization:

Minimize
$$L = \sum_{i=1}^{n} p_i \ell_i$$
 s.t. $\sum_{i=1}^{n} r^{-\ell_i} \le 1$ gives $\ell_i^* = -\log_r p_i$
and $L^* = \sum_{i=1}^{n} p_i \ell_i^* = H(X)$.



Uncertainty Compressibility Randomness Encryption

Entropy and Data Compression

For integer choice of codeword lengths,

 $H(X) \leq L^* < H(X) + 1.$



Uncertainty Compressibility Randomness Encryption

Entropy and Data Compression

For integer choice of codeword lengths,

$$H(X) \leq L^* < H(X) + 1.$$

For supersymbols with *n*-symbols at a time,

$$H(X) \leq L_n^* < H(X) + \frac{1}{n}$$

and $L_n^* = H(X)$ is achievable for stationary distribution.



Uncertainty Compressibility Randomness Encryption

Entropy and Data Compression

For integer choice of codeword lengths,

$$H(X) \leq L^* < H(X) + 1.$$

For supersymbols with *n*-symbols at a time,

$$H(X) \leq L_n^* < H(X) + \frac{1}{n}$$

and $L_n^* = H(X)$ is achievable for stationary distribution. This is Shannon's Source/Noiseless Coding Theorem.



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Uncertainty Compressibility Randomness Encryption

Entropy as a Measure of Randomness



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Slide 15 of 34

Uncertainty Compressibility Randomness Encryption

Entropy as a Measure of Randomness

```
Suppose p_i \ge 0, for 1 \le i \le n.
```



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Slide 15 of 34

Uncertainty Compressibility Randomness Encryption

Entropy as a Measure of Randomness

Suppose
$$p_i \ge 0$$
, for $1 \le i \le n$.

Maximize
$$\left(-\sum_{i} p_{i} log p_{i}\right)$$
 s.t. $\sum_{i} p_{i} = 1$ gives



Uncertainty Compressibility Randomness Encryption

Entropy as a Measure of Randomness

Suppose
$$p_i \ge 0$$
, for $1 \le i \le n$.

Maximize
$$\left(-\sum_{i} p_{i} log p_{i}\right)$$
 s.t. $\sum_{i} p_{i} = 1$ gives

$$p_1 = p_2 = \cdots = p_n$$
.



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Uncertainty Compressibility Randomness Encryption

Encryption increases Entropy



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Slide 17 of 34

Uncertainty Compressibility Randomness Encryption

Encryption increases Entropy

The goal of encryption is to make the transmitted message look random.



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Slide 17 of 34

Uncertainty Compressibility Randomness Encryption

Encryption increases Entropy

The goal of encryption is to make the transmitted message look random.

Typically, H(C) > H(P).



Uncertainty Compressibility Randomness Encryption

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Encryption increases Entropy
```

The goal of encryption is to make the transmitted message look random.

```
Typically, H(C) > H(P).
```

```
But, H(P | C) may be < H(P)
```



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy

Given



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Slide 18 of 34

Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy

Given

Three possible plaintexts: a, b, c, with probabilities 0.5, 0.3, 0.2.



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Slide 18 of 34

Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy

Given

Three possible plaintexts: a, b, c, with probabilities 0.5, 0.3, 0.2.

Three possible ciphertexts: *U*, *V*, *W*.



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy

Given

Three possible plaintexts: a, b, c, with probabilities 0.5, 0.3, 0.2.

Three possible ciphertexts: U, V, W.

Two possible keys: k_1, k_2 , equally likely.



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy

Given

Three possible plaintexts: a, b, c, with probabilities 0.5, 0.3, 0.2.

Three possible ciphertexts: U, V, W.

Two possible keys: k_1, k_2 , equally likely.

Encryption under k_1 : U, V, W. Encryption under k_2 : U, W, V.



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

One can calculate



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Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

One can calculate p(U) = 0.5, p(V) = p(W) = 0.25.



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Slide 19 of 34

Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

One can calculate p(U) = 0.5, p(V) = p(W) = 0.25. $p(a \mid V) = 0$ $p(b \mid V) = 0.6$

$$p(c \mid V) = 0.4$$



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

One can calculate p(U) = 0.5, p(V) = p(W) = 0.25. $p(a \mid V) = 0$

$$p(b \mid V) = 0.6$$

$$p(c \mid V) = 0.4$$

Similarly, one can calculate probabilities of a, b, c given W.



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

Thus,



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Slide 20 of 34

Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

Thus,

$$\begin{array}{rcl} {\cal H}({\cal P}) & = & - \left(0.5 \log_2(0.5) + 0.3 \log_2(0.3) + 0.2 \log_2(0.2) \right) \\ & = & 1.485 \end{array}$$



Uncertainty Compressibility Randomness Encryption

Example: Plaintext Entropy (... contd)

Thus,

$$\begin{array}{rcl} {\cal H}({\cal P}) & = & - \left(0.5 \log_2(0.5) + 0.3 \log_2(0.3) + 0.2 \log_2(0.2) \right) \\ & = & 1.485 \end{array}$$

$$H(P \mid C) = -\sum_{x \in \{U, V, W\}} \sum_{y \in \{a, b, c\}} p(x) p(y|x) \log_2 p(y|x)$$

= 0.485



Uncertainty Compressibility Randomness Encryption

Perfect Secrecy



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Slide 21 of 34

Uncertainty Compressibility Randomness Encryption

Perfect Secrecy

Information Theoretic Security:



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Slide 21 of 34

Uncertainty Compressibility Randomness Encryption

Perfect Secrecy

Information Theoretic Security:

$H(P \mid C) = H(P)$



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Slide 21 of 34

Uncertainty Compressibility Randomness Encryption

Perfect Secrecy

Information Theoretic Security:

 $H(P \mid C) = H(P)$

Or, equivalently,

$$Prob(P \mid C) = Prob(P).$$



Uncertainty Compressibility Randomness Encryption

Perfect Secrecy

Information Theoretic Security:

 $H(P \mid C) = H(P)$

Or, equivalently,

$$Prob(P \mid C) = Prob(P).$$

A necessary condition for this is

 $H(K) \geq H(P).$



Roadmap

Measures of Information

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2 Measures of Information Flow

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Channel Capacity Code Noisy Coding

Discrete Channel

- Input alphabet X.
- Output alphabet Y.
- Probability Transition Matrix p(y|x).

Informational Channel Capacity $C = \max_{p(x)} I(X; Y)$.



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Channel Capacity Code Noisy Coding

An (M, n) Code



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Slide 26 of 34

Channel Capacity Code Noisy Coding

An (M, n) Code

• An index set {1, 2, ..., *M*}.



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Slide 26 of 34

Channel Capacity Code Noisy Coding

An (*M*, *n*) Code

- An index set {1, 2, ..., *M*}.
- An encoding function $C : \{1, 2, \dots, M\} \to X^n$.



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Slide 26 of 34

Channel Capacity Code Noisy Coding

An (*M*, *n*) Code

- An index set {1, 2, ..., *M*}.
- An encoding function $C : \{1, 2, \dots, M\} \to X^n$.
- A decoding function $D: Y^n \rightarrow \{1, 2, \dots, M\}$.



Channel Capacity Code Noisy Coding

Error probability



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Slide 27 of 34

Channel Capacity Code Noisy Coding

Error probability

• Conditional error probability given index *i* was sent: $\epsilon_i = \Pr(D(Y^n) \neq i | X^n = C(i)) = \sum_{D(y^n) \neq i} p(y^n | c(i)).$



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Slide 27 of 34

Channel Capacity Code Noisy Coding

Error probability

- Conditional error probability given index *i* was sent: $\epsilon_i = \Pr(D(Y^n) \neq i | X^n = C(i)) = \sum_{D(y^n) \neq i} p(y^n | c(i)).$
- Maximum error probability $\epsilon_{max} = \max_{i \in \{1,2,\dots,M\}} \epsilon_i$.



Channel Capacity Code Noisy Coding

Error probability

- Conditional error probability given index *i* was sent: $\epsilon_i = \Pr(D(Y^n) \neq i | X^n = C(i)) = \sum_{D(y^n) \neq i} p(y^n | c(i)).$
- Maximum error probability $\epsilon_{max} = \max_{i \in \{1,2,\dots,M\}} \epsilon_i$.
- Average error probability $\epsilon_{avg} = \frac{1}{M} \sum_{i=1}^{M} \epsilon_i$.



Channel Capacity Code Noisy Coding

Rate

•
$$R = \frac{\log_2 M}{n}$$
 bits per transmission.



Channel Capacity Code Noisy Coding

Rate

- $R = \frac{\log_2 M}{n}$ bits per transmission.
- A rate *R* is said to be achievable if there exists a sequence of ([2^{nR}], n) codes such that e_{max} → 0 as n → ∞.



Channel Capacity Code Noisy Coding

Rate

- $R = \frac{\log_2 M}{n}$ bits per transmission.
- A rate *R* is said to be achievable if there exists a sequence of ([2^{nR}], n) codes such that e_{max} → 0 as n → ∞.
- Operational channel capacity is the supremum of all achievable rates.



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Channel Capacity Code Noisy Coding

Shannon's Noisy Channel Coding Theorem



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Slide 30 of 34

Channel Capacity Code Noisy Coding

Shannon's Noisy Channel Coding Theorem

• All rates below capacity are achievable.



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Slide 30 of 34

Channel Capacity Code Noisy Coding

Shannon's Noisy Channel Coding Theorem

- All rates below capacity are achievable.
- ∀*R* < *C*, ∃ a sequence of codes such that *ϵ_{max}* → 0 as *n* → ∞.



Channel Capacity Code Noisy Coding

Shannon's Noisy Channel Coding Theorem

- All rates below capacity are achievable.
- ∀*R* < *C*, ∃ a sequence of codes such that *ϵ_{max}* → 0 as *n* → ∞.
- Informational capacity = operational capacity.



Channel Capacity Code Noisy Coding

Band Limited Gaussian Channel

$$C = W \log \left(1 + rac{P}{N_0 W}
ight)$$

bits per second, where $\frac{N_0}{2}$ watts/Hz is the noise spectral density and *P* is the signal power.



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From Pre-Quantum to Quantum



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Slide 33 of 34

From Pre-Quantum to Quantum

von Neumann entropy.



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Slide 33 of 34

From Pre-Quantum to Quantum

- von Neumann entropy.
- Schumacher's quantum noiseless coding theorem.



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Slide 33 of 34

From Pre-Quantum to Quantum

- von Neumann entropy.
- Schumacher's quantum noiseless coding theorem.
- Holevo bound: upper bound of accessible information.



From Pre-Quantum to Quantum

- von Neumann entropy.
- Schumacher's quantum noiseless coding theorem.
- Holevo bound: upper bound of accessible information.
- Classical capacity and quantum capacity of quantum channels.



THANK YOU

Questions / Comments ?

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