

# Noncritical-Topological Correspondence: Disc Amplitudes and Noncompact Branes

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# Outline

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- 2 Introduction
- 3 Noncritical-topological duality
- 4 Type 0 noncritical strings
  - Special radius
  - Rational radius
  - Disc amplitudes and noncompact branes
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# Motivation

- There is one class of string backgrounds for which an **exact nonperturbative solution** is available.
- These are the **noncritical type 0** strings. They have a **Liouville** formulation as well as a **random matrix** formulation.
- The latter has been used to derive, for example, the **nonperturbative free energy** – which we will study in this talk. This can probably be extended to **correlation functions**.
- This seems like the best place to test basic properties of string theory including for example **string field theory, open-closed string duality and flux backgrounds**.

- Since **noncritical strings** are dual to **topological strings**, this provides an opportunity to understand the latter using the powerful techniques of random matrices.
- This in turn can help us understand **superstring theory** (of which topological strings are a **sector**).
- In this talk we will study some issues related to **disc amplitudes** and **fluxes** in type 0A string theory, and their counterparts in topological string theory..

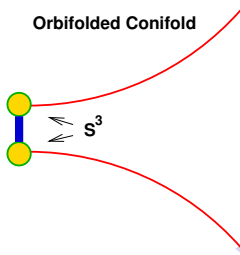
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# Introduction

- It has been known for some time that noncritical string theory in two spacetime dimensions corresponds to a **critical** ( $c_{top} = 9$ ) topological string (*Witten 1992, Mukhi-Vafa 1993*).
- Analysis of the **ground ring** of the  $c = 1$  string at self-dual radius (*Witten 1991, Ghoshal: Ph.D. thesis 1993*) led to the prediction that the dual topological string lives on a **deformed conifold** (*Ghoshal-Vafa 1995*).
- Because the bosonic  $c = 1$  string is **nonperturbatively unstable**, it has not been possible to extend this equivalence to the nonperturbative level.

- Such an extension can be explored in the case of the nonperturbatively stable **Type 0** string theories in two dimensions.
- For these too, a description has been proposed in terms of topological string theory (*Ita et al 2004, Danielsson et al 2004, Hyun et al 2005*).
- The Calabi-Yau dual to noncritical **type 0A** strings at a special radius ( $R = 1$  in suitable units) was proposed to be a  $\mathbb{Z}_2$  orbifold of the conifold:



- One of the most interesting aspects of noncritical type-0 strings is the possibility of turning on background **Ramond-Ramond fluxes** (*Takayanagi-Toumbas 2003, Douglas et al 2003, Gukov et al 2003, Maldacena-Seiberg 2005*).
- In what follows, we discuss the role of these fluxes in the noncritical-topological correspondence, with emphasis on effects related to **D-branes** and **nonperturbative contributions**.
- Our main result is an improved correspondence in which the topological string reproduces the **exact nonperturbative partition function** of the **0A** string.
- This includes some **subtle flux-dependent terms** discovered recently by Maldacena and Seiberg.



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# Noncritical-topological duality

- Let us review some relevant aspects of topological string theory on noncompact Calabi-Yau spaces.
- The simplest example is the deformed conifold, described by the equation

$$zw - px = \mu$$

where  $z, w, p, x$  are complex coordinates of  $\mathbb{C}^4$ .

- Here  $\mu$  is complex and its modulus determines the size of the  $S^3$  that deforms the conifold.
- The topological B model is a theory of quantised deformations of the complex structure of the Calabi-Yau.

- The original noncritical-topological duality Ghoshal and Vafa says that if  $\mu_M$  is the cosmological constant of the noncritical string:

$$S_{Liouville} \rightarrow S_{Liouville} + \mu_M \int d^2\xi e^{2\phi}$$

then the noncritical string is dual to the topological B-model with:

$$\mu = ig_s \mu_M$$

- This requires the genus- $g$  partition functions of the two theories to coincide. Let:

$$\mathcal{F}^{c=1}(\mu_M) = \sum_{g=0}^{\infty} \mathcal{F}_g^{c=1} (-1)^{g-1} (g_s \mu_M)^{2-2g}$$

$$\mathcal{F}^{top,DC}(\mu) = \sum_{g=0}^{\infty} \mathcal{F}_g^{top,DC} \mu^{2-2g}$$

- The claim then amounts to:

$$\mathcal{F}_g^{c=1} = \mathcal{F}_g^{\text{top},DC}, \quad \text{all } g$$

for which ample evidence has been found (*Antoniadis et al 1995, Morales et al 1997*).

- There is also expected to be a 1-1 correspondence between the physical observables (tachyons in the  $c = 1$  case and deformations of  $S^3$  in the B-model case) and their correlators (e.g. “ $S^3$  cosmology” of *Gukov-Saraikin-Vafa 2005*).

- For integer multiples of the self-dual radius, the corresponding topological theory lives on a  $\mathbb{Z}_n$  orbifold of the conifold geometry. This too follows from the ground ring (*Ghoshal-Jatkar-Mukhi 1992*).
- The deformed version of this space is described by the equation:

$$zw - \prod_{k=1}^n (px - \mu_k) = 0$$

which has  $n$  homology 3-spheres of size  $\mu_1, \mu_2, \dots, \mu_n$ , each concealing one of the singularities.

- The geometry develops a conifold singularity if any of the  $\mu_i$ 's become zero, and a line singularity if  $\mu_i = \mu_j$  for  $i \neq j$ .

- Consider the noncritical string at radius  $R = n$  with only the cosmological perturbation  $\mu_M$  turned on.
- The  $n$  parameters of the deformed conifold must be determined in terms of  $\mu_M$ . In fact it was shown that:

$$\mu_k = ig_s \frac{\mu_M + ik}{n}, \quad k = -\frac{n-1}{2}, -\frac{n-1}{2} + 1, \dots, \frac{n-1}{2}$$

(Gopakumar-Vafa 1998).

- These authors also argued that the free energy factorises into a sum of contributions:

$$\mathcal{F}_{c=1}^{R=n}(\mu) = \mathcal{F}^{\text{top}, \text{DOC}_n}(\{\mu_k\}) = \sum_{k=-\frac{n-1}{2}}^{\frac{n-1}{2}} \mathcal{F}^{\text{top}, \text{DC}}(\mu_k)$$

In type 0A strings we will find a **nonperturbatively exact** version of this result.

- This factorisation, among other things, can be understood in the Riemann surface formulation (*Aganagic et al 2003*).
- In this approach one thinks of the following class of noncompact Calabi-Yaus:

$$zw - H(p, x) = 0$$

as a fibration described by the pair of equations:

$$zw = H, \quad H(p, x) = H$$

The fibre is  $zw = H$ , a complex hyperbola, and the base is the complexified  $p, x$  plane.

- Above points in the base satisfying  $H(p, x) = 0$ , the fibre degenerates to  $zw = 0$ , a pair of complex planes intersecting at the origin.
- Such points in the base form a Riemann surface which governs the physics of the topological string theory. The function  $H(p, x)$  plays the role of a Hamiltonian and is related to integrability.



- For the orbifolded conifold, the Hamiltonian is:

$$H(p, x) = \prod_{k=1}^n (p x - \mu_k)$$

so the Riemann surface  $H(p, x) = 0$  factorises into disjoint branches.

- This is the physical reason for the factorisation of the free energy into a sum of contributions, one for each branch of the Riemann surface.
- As we already said, the above statements are meaningful only at the level of string perturbation theory.

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## Type 0 noncritical strings

- The type 0A string has, besides the cosmological constant  $\mu_M$ , two additional (quantised) parameters  $q$  and  $\tilde{q}$  (*Takayanagi-Toumbas 2003, Douglas et al 2003, Gukov et al 2003, Maldacena-Seiberg 2005*).
- In the Liouville description these are the fluxes of two distinct Ramond-Ramond 2-form field strengths,  $F_{t\phi}, \tilde{F}_{t\phi}$ .
- The theory has a symmetry, labelled S-duality:

$$\mu_M \rightarrow -\mu_M, \quad F \leftrightarrow \tilde{F}$$

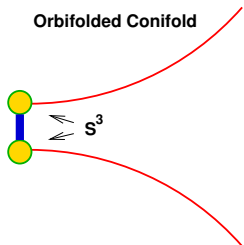
- In the matrix quantum mechanics (MQM) description, the fluxes have an asymmetric origin. For  $\mu_M < 0$ :

$$q = \#(D0) - \#(\overline{D0}), \quad \tilde{q} = \text{Chern-Simons coefficient}$$

and the opposite for  $\mu_M > 0$ .

## Special radius

- The Euclidean type 0A theory has a special value of the radius,  $R = 1$  (in units where  $\alpha' = 2$ ).
- At this radius the topological correspondence is simplest. The dual geometry is a  $\mathbb{Z}_2$  orbifold of the conifold:



$$\begin{aligned}\mu &= ig_s(\mu_M - \frac{i\hat{q}}{2}) = \frac{g_s}{2}y \\ \mu' &= -ig_s(\mu_M + \frac{i\hat{q}}{2}) = \frac{g_s}{2}\bar{y}\end{aligned}$$

where  $y = \hat{q} + 2i\mu_M$ ,  $\hat{q} = |q| + |\tilde{q}|$ .

- The equation of the deformed, orbifolded conifold is:

$$zw + (px - \mu)(px - \mu') = 0$$

- Notice that **complex conjugation** exchanges the moduli of the two  $S^3$ 's and acts as **S-duality** of the noncritical string.

- Following *Hyun et al*, it is convenient to use a duality that takes us to the topological B-model on a **resolved orbifolded conifold with D-branes** (*Gopakumar-Vafa 1998*).
- On this space there are two 2-spheres ( $P^1$ 's) which have respectively  $N_1, N_2$  2-dimensional B-branes wrapped over them, where:

$$N_1 = \frac{y}{2} = \frac{\hat{q}}{2} + i\mu_M$$

$$N_2 = \frac{\bar{y}}{2} = \frac{\hat{q}}{2} - i\mu_M$$

- The number of branes in this correspondence is **complex**, though remarkably  $N_1 + N_2 = \hat{q}$  is **real and integer**.

- Now we have:

$$\begin{aligned}\mathcal{F}^{\text{top,DOC}}(\mu, \mu') &= \mathcal{F}^{\text{top,ROC}}\left(N_1 = \frac{y}{2}, N_2 = \frac{\bar{y}}{2}\right) \\ &= \mathcal{F}^{\text{top,RC}}\left(N = \frac{y}{2}\right) + \mathcal{F}^{\text{top,RC}}\left(N = \frac{\bar{y}}{2}\right)\end{aligned}$$

- Here, **factorisation** of the B-brane contributions has been assumed. This will be justified later.
- On an ordinary resolved conifold, the free energy of  $N$  D-branes is given by the log of the matrix integral:

$$e^{-\mathcal{F}^{\text{top,RC}}(N)} = \frac{1}{\text{vol}(U(N))} \int dM e^{-\frac{1}{2}\text{tr}M^2} = \frac{(2\pi)^{\frac{N^2}{2}}}{\text{vol}(U(N))}$$

- Next we use (*Ooguri-Vafa 2002*):

$$\text{vol}(U(N)) = \frac{(2\pi)^{\frac{1}{2}(N^2+N)}}{G_2(N+1)}$$

where  $G_2(x)$  is the Barnes double- $\Gamma$  function defined by:

$$G_2(z+1) = \Gamma(z)G_2(z), \quad G_2(1) = 1$$

- Thus we find

$$\begin{aligned} & -\mathcal{F}^{\text{top},RC} \left( N = \frac{Y}{2} \right) - \mathcal{F}^{\text{top},RC} \left( N = \frac{\bar{Y}}{2} \right) \\ & = \left( \log G_2 \left( \frac{Y}{2} + 1 \right) - \frac{Y}{4} \log 2\pi \right) + \text{c.c.} \end{aligned}$$



- Let us compare the above with what we know about the noncritical string starting from the matrix model.
- A complete nonperturbative solution for the free energy of Type 0A noncritical strings at arbitrary radius  $R$  is given by (*Maldacena-Seiberg 2005*):

$$-\mathcal{F}_{0A}(\mu_M, q, \tilde{q}, R) = \Omega(y, R) + \Omega(\bar{y}, R) + \frac{\pi\mu_M R}{2} (|q| - |\tilde{q}|)$$

where:

$$\begin{aligned} \Omega(y, R) \equiv & - \int_0^\infty \frac{dt}{t} \left[ \frac{e^{-\frac{yt}{2}}}{4 \sinh \frac{t}{2} \sinh \frac{t}{2R}} - \frac{R}{t^2} + \frac{Ry}{2t} \right. \\ & \left. + \left( \frac{1}{24} \left( R + \frac{1}{R} \right) - \frac{Ry^2}{8} \right) e^{-t} \right] \end{aligned}$$

The integral is convergent for  $\text{Re } y > -\left(1 + \frac{1}{R}\right)$ .

- At the special radius  $R = 1$  it is easily shown from the integral form that:

$$\Omega(y, R = 1) = \log G_2 \left( \frac{y}{2} + 1 \right) - \frac{y}{4} \log 2\pi$$

where  $G_2$  is the Barnes function discussed above.

- If we temporarily ignore the last term, we see that the free energy obeys **holomorphic factorisation**.
- Moreover, each factor is the (complexified) free energy of the **bosonic  $c = 1$  string at radius  $R$** . This justifies our assumption that the two B-brane contributions **factorise**.

- Note that from the 0A point of view, holomorphic factorisation **follows** from the answer for the free energy.
- From the topological point of view, however, it is an **assumption** which must be true for the correspondence to hold.

## Rational radius

- Continuing to ignore the last term, we provide a **simple, general and nonperturbatively exact** derivation that the free energy of type 0A at any rational radius factorises into unit radius contributions.
- Let  $R = \frac{p}{p'}$ , with  $p$  and  $p'$  co-prime.
- Inserting this into the integral representation for  $\Omega$ , and defining:

$$y_{k,k'} = \frac{y-p'+(2k'-1)}{p'} + \frac{-p+(2k-1)}{p},$$

$$k = 1, 2, \dots, p; \quad k' = 1, 2, \dots, p'$$

one can show that:

$$\Omega\left(y, R = \frac{p}{p'}\right) = \sum_{k,k'} \Omega(y_{k,k'}, R = 1) - \left(\frac{1}{24} \left(\frac{p}{p'} + \frac{p'}{p}\right) - \frac{py^2}{8p'}\right) \log p'$$

- The proof relies only on manipulating **convergent integral representations**.
- We see that the free energy at rational radius factorises into  $2pp'$  distinct contributions, of which  $pp'$  are **holomorphic** in  $y$  and the remaining are **anti-holomorphic**.
- Each of the contributions corresponds to a theory at  $R = 1$ , or equivalently to the contribution of topological B-branes.
- The factorisation is **exact** upto an **analytic** and therefore **non-universal** term.
- This strongly suggests that the noncritical-topological correspondence is nonperturbatively exact.

- Again, from the topological point of view it remains a puzzle *why* the correspondence is exact. It means the  $2pp'$  different B-branes do not seem to communicate with each other, e.g. by open strings!
- Perhaps this is due to *factorisation of the underlying Riemann surface* as well as the *topological nature* of the theory.
- In the deformed orbifolded conifold picture, the total space is:

$$zW - \prod_{k,k'} (px - \mu_{k,k'}) \prod_{k,k'} (px - \bar{\mu}_{k,k'})$$

where

$$\mu_{k,k'} = \frac{g_s}{2} y_{k,k'}$$

and therefore the associated Hamiltonian is:

$$H(p, x) = \prod_{k,k'} (px - \mu_{k,k'}) \prod_{k,k'} (px - \bar{\mu}_{k,k'})$$

## Disc amplitudes and noncompact branes

- We now return to the **puzzle** about the extra term in the free energy.
- The noncritical string depends on three parameters,  $q, \tilde{q}, \mu_M$ , which in the continuum Liouville description arise as the **two independent RR fluxes** and the **cosmological constant**.
- However, the topological dual only depends on the complex number  $y = |q| + |\tilde{q}| + 2i\mu_M$ , and therefore on only **two** of these three parameters.
- It reproduces **most** of the free energy, which indeed depends only on two parameters and is the sum of mutually complex conjugate terms.

- But as we saw, the extra term in the free energy:

$$\mathcal{F}^{disc,2} = -\frac{\pi R}{2} \mu_M (|q| - |\tilde{q}|)$$

remains unaccounted for.

- This term is responsible for an important effect (*Maldacena-Seiberg 2005*):
- From the factorised part of the free energy, the following disc contribution arises in the limit of large  $\mu_M$  and fixed  $\hat{q}$ :

$$\mathcal{F}^{disc,1} = +\frac{\pi R}{2} |\mu_M| (|q| + |\tilde{q}|)$$

- Hence the total disc amplitude is:

$$\mathcal{F}^{disc} = \mathcal{F}^{disc,1} + \mathcal{F}^{disc,2} = \frac{\pi R}{2} \left[ (|\mu_M| - \mu_M) |q| + (|\mu_M| + \mu_M) |\tilde{q}| \right]$$



- This can be written as:

$$\begin{aligned}\mathcal{F}^{disc} &= (2\pi R) \frac{\mu_M}{2} |\tilde{q}|, \quad \mu_M > 0 \\ &= (2\pi R) \frac{|\mu_M|}{2} |q|, \quad \mu_M < 0\end{aligned}$$

- The physical interpretation is that for  $\mu_M > 0$  the RR flux of  $\tilde{q}$  units associated to the gauge field  $\tilde{A}$  is supported by  $|\tilde{q}|$  ZZ branes in the vacuum.
- The contribution per brane to the free energy is given by the product of its extent in Euclidean time  $2\pi R$  and its tension  $\frac{|\mu_M|}{2}$ . The other flux of  $q$  units associated to the gauge field  $A$  has no source.
- For  $\mu_M < 0$  the situation is reversed.

- In the absence of the term  $\mathcal{F}^{disc,2}$  there is **no satisfactory physical interpretation** of the disc amplitude in terms of ZZ branes. This makes the term extremely important for a **consistent noncritical string theory**.
- We now propose that the missing term is supplied, on the topological side, by **additional topological branes**.
- These are **noncompact B-branes** wrapping a degenerate fibre of the Calabi-Yau over the Riemann surface  $H(p, x) = 0$ .

- First consider the case  $R = 1$  for which the Riemann surface has two branches.
- Place a single noncompact B-brane along one branch of the degenerate fibre over a point  $x$  on the Riemann surface.
- The brane is asymptotically at  $x_*$  but its interior region has been moved to  $x$ . Such branes are BPS.
- The action of such a brane is a reduction of **holomorphic Chern-Simons theory** and has been shown to be (*Aganagic-Vafa 2000, Aganagic et al 2003*):

$$S(x) = \frac{1}{g_s} \int_{x_*}^x p(z) dz$$

- For the case of interest to us, the Riemann surface consists of two disjoint factors:

$$xp = \frac{g_s}{2}y, \quad xp = \frac{g_s}{2}\bar{y}$$

Thus a brane on the first branch contributes:

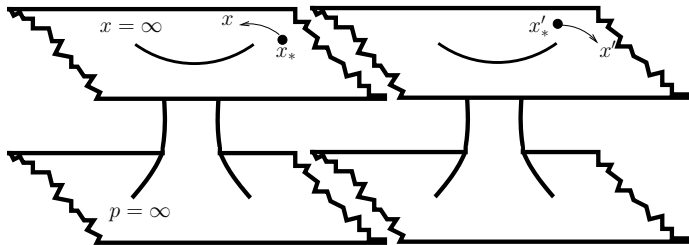
$$S(x) = \frac{\mu}{g_s} \ln \frac{x}{x_*}$$

- Let us now place one noncompact brane above each of the two branches, and take their asymptotic positions to be at  $x_*, x'_*$  which will both be sent to infinity.
- Then their total contribution to the free energy is:

$$S(x, x') = \frac{1}{2} \left( y \ln \frac{x}{x_*} + \bar{y} \ln \frac{x'}{x'_*} \right)$$

- Now we will choose our branes such that  $x, x'$  are also at infinity, but rotated by angles  $\theta, \theta'$  respectively along the circle at infinity relative to the original points  $x_*, x'_*$ :

$$x = x_* e^{i\theta}, \quad x' = x'_* e^{i\theta'}$$



- It follows that:

$$\begin{aligned} S(x_1, x_2) &= \frac{i}{2}(y\theta + \bar{y}\theta') \\ &= -\mu_M(\theta - \theta') + i\frac{\hat{q}}{2}(\theta + \theta') \end{aligned}$$

- The factors of  $g_s$  have conveniently cancelled out, and the real part of the above contribution is proportional to  $\mu_M$ .
- Now if we choose:

$$\theta = -\theta' = \frac{\pi}{4}(|q| - |\tilde{q}|)$$

we find that the noncompact branes give a contribution:

$$S = -\frac{\pi}{2}\mu_M(|q| - |\tilde{q}|)$$

to the free energy, precisely equal to the desired disc term at  $R = 1$ .

- These considerations can be extended to other **rational radii**.
- For a radius  $R = \frac{p}{p'}$ , we have  $2pp'$  branches for the Riemann surface. So noncompact branes can be placed with:

$$x_{k,k'} = x_{*k,k'} e^{i\theta_{k,k'}}$$

on the first  $pp'$  asymptotic regions, and the opposite phases on the remaining  $pp'$  regions. Here:

$$\theta_{k,k'} = \frac{\pi}{4p'^2} (|q| - |\tilde{q}|), \quad \text{all } k, k'$$

- The net contribution of these to the free energy is then:

$$-2\mu_M \sum_{k=1}^p \sum_{k'=1}^{p'} \theta_{k,k'} = -\frac{\pi p}{2p'} \mu_M (|q| - |\tilde{q}|)$$

in accordance with the extra disc term for  $R = \frac{p}{p'}$ .

- It is quite nontrivial that we were able to reproduce the subtle disc term by a simple configuration of noncompact branes in every case.
- The  $\frac{1}{g_s}$  factor in front of the holomorphic Chern-Simons action, and the  $g_s$  in the complex-structure moduli

$$\mu_{k,k'} = \frac{g_s}{2} \left( \frac{\hat{q} + 2i\mu_M - p' + (2k' - 1)}{p'} + \frac{-p + (2k - 1)}{p} \right)$$

exactly cancel out.

- Moreover,  $\mu_{k,k'}$  all have a common imaginary part proportional to  $\mu_M$ . These facts were important in allowing us to obtain the desired contribution from noncompact branes.



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# Conclusions

- One of our main results has been that the noncritical-topological correspondence for type 0 noncritical strings has to include **noncompact branes** on the topological side.
- This introduces a dependence on a new parameter which we interpret as  $|q| - |\tilde{q}|$  on the noncritical side, and renders the duality consistent with the dependence of the noncritical theory on three parameters:  $\mu_M, q$  and  $\tilde{q}$ .

- For the future:
  - (i) understand the dictionary more precisely. What are **ZZ branes** on the topological side?
  - (ii) the noncritical side is physically **inconsistent** without the subtle disc term. Is the topological side inconsistent without noncompact branes?
  - (iii) what is the origin of **exact factorisation** on the topological side?
  - (iv) generalise 0A exact solution to correlators and a nonperturbatively defined **Normal Matrix Model**.
  - (v) **topological-anti-topological** point of view.