

Quantizing BPS Black Holes

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Goal and Motivations

- Goal: perform a **radial quantization** of stationary, spherically symmetric, BPS solutions of $\mathcal{N} = 2, D = 4$ supergravity;
- Main motivation: evaluate (and improve on) OVV's holographic interpretation of the **OSV conjecture**

Ooguri Strominger Vafa; Ooguri Vafa Verlinde

- Second motivation: set up a general framework for constructing **automorphic functions** generating exact BH degeneracies as their Fourier coefficients, in the spirit of the DVV formula for $\mathcal{N} = 4$;
- Instill **supersymmetry** and **holography** into early discussions:

Breitenlohner Gibbons Maison (1988), Cavaglia de Alfaro Filippov (1995), Breitenlohner Hellmann (96)

- Work in collaboration with **Günaydin, Neitzke, Waldron** and more recently **Rocek, Vandoren**;

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BPS black holes in type II string theory compactified on CY_3 enjoy simplifying properties:

- By the **attractor phenomenon**, the near-horizon solution, hence the Bekenstein-Hawking entropy, depends only on the conserved charges;
- Being **supersymmetric**, they are expected to correspond to exact ground states of the quantum Hamiltonian at fixed charges, with an **arbitrarily large degeneracy**;
- The string coupling can be made arbitrary small throughout the geometry, allowing a description as a gas of **weakly interacting open strings** in the presence of D-branes.

Strominger Vafa; Johnson Khuri Myers; Maldacena Strominger Witten

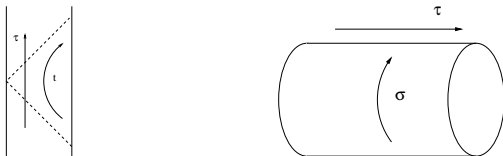
- The modern understanding relies on AdS/CFT in the near horizon geometry $AdS_3 \times S^2 \times CY_3^*$. The central charge of the **two-dimensional SCFT** on the boundary, controlling the density of highly excited states via Cardy's formula, can be computed on geometrical grounds.

Brown Henneaux; Carlip; Strominger

- AdS_3 is really the near horizon geometry of a **5D black string**: if $[D6] \neq 0$ it is not possible to lift the 4D black hole to a black string in 5D. Moreover, such a lift may seem rather artificial as the M-theory direction can be made arbitrarily small.
- Instead, one may hope for a holographic description in terms of a **superconformal quantum mechanics** living at the boundary(ies) of AdS_2 ; no concrete proposal yet, except in some probe approximations.

- A possible strategy is to indirectly compute the spectrum of the SQM via **channel duality**, as in open/closed string duality:

$$\text{Tre}^{-\pi t H_{open}} = \langle B | e^{-\frac{\pi}{t} H_{closed}} | B \rangle$$



Here, H_{closed} is the Hamiltonian for string theory in AdS_2 in radial quantization. The real interest is in H_{open} .

- This is hardly doable in general, but becomes tractable in a **mini-superspace** approximation, where one keeps only spherically symmetric SUGRA modes in the bulk. This approximation is hard to control, but perhaps justified in the BPS sector.

- Recently, OVV suggested that the OSV conjecture

$$\Omega(p', q_l) \sim \int d\phi' |\Psi_{top}(p' + i\phi')|^2 e^{\phi' q_l}$$

can be interpreted just in this way,

$$\Omega(p, q) \sim \langle \Psi_{p,q}^+ | \Psi_{p,q}^- \rangle$$

where

$$\Psi_{p,q}^{\pm}(\phi) = e^{\pm \frac{1}{2} q_l \phi'} \Psi_{top}(p' \mp i\phi')$$

- The main goal of this talk will be to perform a rigorous treatment of radial quantization, and evaluate / improve on OVV's proposal.

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- 2 Radial flow and geodesic motion
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- **Stationary** solutions in 4D can be parameterized in the form

$$ds_4^2 = -e^{2U}(dt + \omega)^2 + e^{-2U}ds_3^2, \quad A_4^I = \zeta^I dt + A_3^I$$

where ds_3 , U , ω , A_3^I , ζ^I and the 4D scalars $z^i \in \mathcal{M}_4$ are independent of time. In contrast to usual KK ansatz, the Killing vector is **time-like**.

- Such solutions can be described by reducing the D=3+1 action to three Euclidean dimensions. As usual, **one-forms** (A_3^I, ω) can be dualized into **pseudo-scalars** $(\tilde{\zeta}_I, a)$, where a is the **twist (or NUT) potential**.

Stationary solutions and KK* reduction II

- The result is 3D Euclidean gravity coupled to a non-linear sigma model on a **pseudo-Riemannian** space \mathcal{M}_3^* ,

$$ds^2 = dU^2 + g_{ij} dz^i dz^j + e^{-4U} \left(da + \zeta^I d\tilde{\zeta}_I - \tilde{\zeta}_I d\zeta^I \right)^2 - e^{-2U} \left[t_{IJ} d\zeta^I d\zeta^J + t^{IJ} \left(d\tilde{\zeta}_I + \theta_{IK} d\zeta^K \right) \left(d\tilde{\zeta}_J + \theta_{JL} d\zeta^L \right) \right]$$

where g_{ij} is the metric on \mathcal{M}_4 , and $\mathcal{N}_{IJ} := \theta_{IJ} - it_{IJ}$ are the complexified gauge kinetic terms.

- \mathcal{M}_3^* has a $2n_V + 3$ -dimensional **Heisenberg algebra** of isometries

$$p^I = \partial_{\tilde{\zeta}_I} + \zeta_I \partial_a, \quad q_I = \partial_{\zeta^I} - \zeta_I \partial_a, \quad k = \partial_a$$
$$\left[p^I, q_J \right] = 2k \delta^I_J$$

Spherically symmetric BH and geodesics I

- Now, restrict to **spherically symmetric** solutions, with spatial slices

$$ds_3^2 = N^2(\rho)d\rho^2 + r^2(\rho)d\Omega_2^2$$

- The sigma-model action becomes, up to a total derivative,

$$S = \int d\rho \left[\frac{N}{2} + \frac{1}{2N} \left(\dot{r}^2 - r^2 g_{ab} \dot{\phi}^a \dot{\phi}^b \right) \right]$$

where g_{ab} is the metric on \mathcal{M}_3^* : this describes the (unparameterized) **geodesic motion** of a fiducial particle with unit mass on the cone $\mathbb{R}^+ \times \mathcal{M}_3^*$.

The Wheeler-DeWitt constraint I

- The equation of motion of N imposes the **Hamiltonian constraint**, or Wheeler-DeWitt equation

$$H_{WDW} = (p_r)^2 - \frac{1}{r^2} g^{ab} p_a p_b - 1 \equiv 0$$

- The gauge choice $N = r^2$ allows to separate the problem into radial motion along r , and **affine geodesic motion** on \mathcal{M}_3^* :

$$g^{ab} p_a p_b = C^2, \quad (p_r)^2 - \frac{C^2}{r^2} - 1 \equiv 0 \quad \Rightarrow \quad r = \frac{C}{\sinh C_\rho},$$

- $C = 2T_H S_{BH}$ is the **extremality parameter**: extremal (in particular BPS) black holes correspond to **light-like geodesics**.

Isometries and conserved charges

- The conserved charges associated to the Heisenberg isometries correspond to the electric and magnetic charges (q_I, p^I) and the **NUT charge** k .
- If $k \neq 0$, the off-diagonal term in the 4D metric

$$ds_4^2 = -e^{2U}(dt + k \cos \theta d\phi)^2 + e^{-2U}[dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2)]$$

implies the existence of **closed time-like curves** around ϕ direction, near $\theta = 0$. Bona fide 4D black holes arise in the “classical limit” $k \rightarrow 0$. Keeping $k \neq 0$ will allow us to greatly extend the symmetry.

- The conserved charge associated to the extra isometry $\partial_U + \zeta^I \partial_{\zeta^I} + \tilde{\zeta}_I \partial_{\tilde{\zeta}_I} + 2\partial_a$ is the ADM mass; it does not commute with p, q, k .

Conserved charges and black hole potential

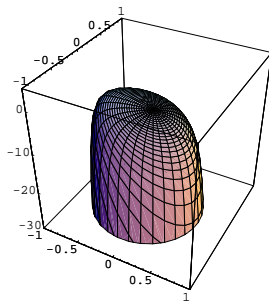
- Setting $k = 0$ for simplicity, one arrives at the Hamiltonian,

$$H = \frac{1}{2} \left[p_U^2 + p_i g^{ij} p_j - e^{2U} V_{BH} \right] \equiv C^2$$

where V_{BH} is the “**black hole potential**”,

$$V_{BH}(z^i, p^I, q_I) = \frac{1}{2} (q_I - \mathcal{N}_{IJ} p^J) t^{IK} (q_K - \bar{\mathcal{N}}_{KL} p^L) + \frac{1}{2} p^I t_{IJ} p^J$$

- The potential $V = -e^{2U} V_{BH}$ is **unbounded from below**.



Quantizing geodesic motion I

- The classical phase space is the **cotangent bundle** $T^*(\mathcal{M}_3^*)$, specifying the initial position and velocity: non compact.
- Quantization proceeds by replacing functions on phase space by operators acting on **wave functions** in $L_2(\mathcal{M}_3^*)$, subject to

$$\Delta_3 \Psi(U, z^i, \zeta^l, \tilde{\zeta}_l, a) = C^2 \Psi$$

where Δ_3 is the **Laplace-Beltrami operator** on \mathcal{M}_3^* .

- The electric, magnetic and NUT charges may be diagonalized as

$$\Psi(U, z^i, \zeta^l, \tilde{\zeta}_l, a) = \Psi_{p,q}(U, z) e^{i(q_l \zeta^l + p^l \tilde{\zeta}_l)}$$

$$\left[-\partial_U^2 - \Delta_4 - e^{2U} V_{BH} - C^2 \right] \Psi_{p,q}(U, z) = 0$$

Quantizing geodesic motion II

- The black hole wave function $\Psi_{p,q}(U, z)$ describes **quantum fluctuations of the 4D moduli** as one reaches the horizon at $U \rightarrow -\infty$. Naively, should be peaked at the attractor point.
- Restoring the variable r , one could also describe the **quantum fluctuations of the horizon area** $r^2 e^{-2U}$, around the classical value $4S_{BH}(p, q)$.
- The natural inner product is the **Klein-Gordon inner product** at fixed U , famously NOT positive definite. A standard remedy in quantum cosmology is “**third quantization**”, possibly relevant for black hole fragmentation / multi-centered solutions.

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Attractor flow in $N = 2$ supergravity

- Consider $N = 2$ SUGRA coupled to n_V abelian vector multiplets: the vector multiplet scalars z^i take values in a **special Kähler** manifold \mathcal{M}_4 . Hypers decouple at tree level.
- After reduction to 3 dimensions, the vector multiplet scalars take value in a **quaternionic-Kähler** space \mathcal{M}_3 , known as the **$c - map$** of the special Kähler space \mathcal{M}_4 .

Ferrara Sabharwal; de Wit Van Proyen Vanderseypen

- The black hole potential splits into two pieces,

$$V_{BH}(p, q; z^i, \bar{z}^i) = |Z|^2 + \partial_i |Z| g^{i\bar{j}} \partial_{\bar{j}} |Z|$$

where Z is the central charge $Z = e^{K/2}(q_I X^I - p^I F_I)$.

Conserved charges and black hole potential I

- Supersymmetric solutions are obtained by cancelling each term in the kinetic energy against the corresponding term in the potential, leading to the **attractor flow equations**:

$$\frac{dU}{d\rho} = -e^U |Z|, \quad \frac{dz^i}{d\rho} = -2e^U g_{i\bar{j}} \partial_{\bar{j}} |Z|$$

- The 4D moduli are **attracted** towards the horizon to the value $z_{p,q}^*$ minimizing $|Z|$ at fixed values of the charges:

$$\text{Re}X^I = p^I, \quad \text{Re}F_I = q_I$$

The attractor point is a **local maximum** of the potential: BPS trajectories are extremely fine-tuned !

- If $|Z_*| \neq 0$, this is an $AdS_2 \times S_2$ throat, with $S_{BH} = \pi |Z_*|^2$.

Attractor flow and SUSY geodesic motion I

- The above Bogomolny-type argument does not fix the phase in the second attractor equation, and does not guarantee that the solution is supersymmetric.
- A more rigorous procedure is to reduce the full $D = 4$ SUGRA including fermions, and look at BPS solutions of the resulting **SUSY mechanics**. Shortcut: consider domain walls in N=2 SUGRA + hypers.
- Using the restricted holonomy $Sp(2) \times Sp(2n_V + 2)$, one may show that SUSY trajectories occur when the **quaternionic vielbein** $V^{A\alpha}$ ($\alpha = 1, 2, A = 1, \dots, 2n_V + 2$) obtains a null eigenvector:

$$\exists \epsilon_\alpha / V_\mu^{A\alpha} \dot{\phi}^\mu \epsilon_\alpha = 0 \quad \Leftrightarrow \quad V^{A[\alpha} V^{\beta]B} = 0$$

- This SUSY mechanics is rather unusual, insofar as the SUSY comes from a triplet of **non-integrable** complex structures.
- It is possible to remedy this problem by adding 4 real scalar degrees of freedom, extending the QK manifold to its **Hyperkähler cone** (HKC), or Swann bundle,

$$\mathbb{R}^+ \times S^3 \rightarrow \text{HKC} \rightarrow \text{QK}$$

The spin connection on S^3 is such that the three almost complex structures become integrable. Geodesics on QK lift to $SU(2)$ invariant geodesics on HKC.

- This construction is very natural in the conformal approach to $N = 2$ supergravity.

De Wit Rocek Vandoren

The twistor space

- The relevant information is captured by the **twistor space** Z , a two-sphere bundle over QK with a Kähler-Einstein metric. The sphere coordinate z keeps track of the Killing spinor, $z = \epsilon_1/\epsilon_2$.
- In the presence of triholomorphic isometries, the geometry of HKC is controlled by a **generalized prepotential** $G(\eta^L)$,

$$\langle K(v^L, \bar{v}^L, w_L + \bar{w}_L) + x^L(w_L + \bar{w}_L) \rangle_{w+\bar{w}} = \oint \frac{d\zeta}{2\pi i \zeta} G[\eta^L(\zeta)]$$

where η^L is the “projective multiplet”

$$\eta^L = v^L/\zeta + x^L - \bar{v}^L\zeta$$

Hitchin Lindstrom Rocek; De Wit Rocek Vandoren

Twistor space for the c-map

- When HKC is the Swann bundle of the c-map of a SK manifold, the generalized prepotential is simply obtained from the prepotential F ,

$$G(\eta^l, \zeta) = F(\eta^l)/\eta^b$$

Rocek Vafa Vandoren

- The inhomogeneous coordinates $\xi^l = v^l/v^b$, $\tilde{\xi}_l = w_l/v^b$, $\alpha = w_b/v^b$ are complex coordinates on Z , adapted to the Heisenberg symmetries:

$$\xi^l = \zeta^l + i e^{U+\mathcal{K}(X)/2} \left(z \bar{X}^l + z^{-1} X^l \right)$$

$$\tilde{\xi}_l = \tilde{\zeta}_l + i e^{U+\mathcal{K}(X)/2} \left(z \bar{F}_l + z^{-1} F_l \right)$$

$$\alpha = a + \zeta^l \tilde{\xi}_l - \tilde{\zeta}_l \xi^l$$

- The coordinates on the base \mathcal{M}_3 are $SU(2)$ invariant combinations of $\xi^l, \tilde{\xi}_l, \alpha$.

Neitzke, Pioline, Rocek, Vandoren, to appear



- Upon lifting the geodesic motion to Z , SUSY is preserved iff the momentum is **holomorphic** in the canonical complex structure on Z , at any point along the trajectory: **1st class constraints !**
- Put differently, **the SUSY phase space is the twistor space Z** , equipped with its Kähler symplectic form. Its dimension is $4n_V + 6$, almost half that of the generic phase space $T^*(\mathcal{M}_3^*)$.
- BPS solutions correspond to **holomorphic curves** $\xi^I(\rho), \tilde{\xi}_I(\rho), \alpha(\rho)$ at constant $\bar{\xi}^I, \tilde{\tilde{\xi}}_I, \bar{\alpha}$, and are algebraically determined by the conserved charges: **integrable system !**

The Penrose Transform

- At fixed values of $U, z^i, \zeta^I, \tilde{\zeta}_I, a$, the complex coordinates $\xi^I, \tilde{\xi}_I, \alpha$ on Z are holomorphic functions of the twistor coordinate z : the fiber over each point is a **rational curve** in Z .
- Starting from a holomorphic function Φ on Z , we can produce a function Ψ on QK

$$\Psi(U, z^i, \bar{z}^{\bar{i}}, \zeta^I, \tilde{\zeta}_I, a) = e^{2U} \oint \frac{dz}{2\pi iz} \Phi \left[\xi^I(z), \tilde{\xi}^I(z), \alpha(z) \right]$$

satisfying some generalized harmonicity condition:

$$\left(\epsilon^{\alpha\beta} \nabla_{A\alpha} \nabla_{B\beta} - R_{AB} \right) \Psi = 0$$

- This is a quaternionic generalization of the usual Penrose transform between holomorphic functions on CP^3 and conformally harmonic functions on S^4 .

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The BPS Hilbert space I

- In terms of geodesic motion on the QK base, the classical BPS conditions $V^{A[\alpha} V^{\beta]B} = 0$ become a set of **2nd order differential operators** which have to annihilate the wave function Ψ :

$$\left(\epsilon_{\alpha\beta} \nabla^{A\alpha} \nabla^{B\beta} - R^{AB} \right) \Psi = 0$$

- In terms of the twistor space, the BPS condition $p_{\bar{L}} = 0$ requires that Ψ should be a **holomorphic function** on Z . More precisely, taking the fermions into account, it should be a section of $H^1(Z, \mathcal{O}(-2))$.
- The equivalence between the two approaches is a consequence of the **Penrose transform** discussed previously.

The BPS Black Hole Wave-Function I

- Ignore fermionic subtleties, and go back to the simple-minded twistor transform

$$\Psi(U, z^i, \bar{z}^l, \zeta^l, \tilde{\zeta}_l, \mathbf{a}) = e^{2U} \oint \frac{dz}{2\pi iz} \Phi \left[\xi^l(z), \tilde{\xi}^l(z), \alpha(z) \right]$$

- Consider a black hole with $k = 0$: p^l and q_l can be diagonalized simultaneously, and **completely determine** (up to normalization) the wave function as a **coherent state** on Z :

$$\begin{aligned} \Phi &= \exp \left[i(p^l \tilde{\xi}_l - q_l \xi^l) \right] \\ &= \exp \left[i(p^l \tilde{\zeta}_l - q_l \zeta^l) + ie^{U+K(X)/2} (z \bar{W}_{p,q}(\bar{X}) + z^{-1} W_{p,q}(X)) \right] \end{aligned}$$

The BPS Black Hole Wave-Function II

- The integral over z is of Bessel type, leading to

$$\Psi = e^{2U} K_0 \left(2i e^U |Z_{p,q}| \right) e^{i(p'\tilde{\zeta}_I - q_I \zeta^I)}$$

This is **peaked around the classical attractor points**, with slowly damped, increasingly faster oscillations away from them.

- We could have reached this result 36 mins ago, by naively quantizing the attractor flow:

$$\left\{ \begin{array}{l} p_U = -e^U |Z| \\ p_{\bar{z}^i} = -2e^U \partial_{\bar{z}^i} |Z| \end{array} \right\} \Rightarrow \Psi \sim \exp \left[2ie^U |Z| \right]$$

- Contrary perhaps to expectations, the wave **flattens out towards the horizon** ! This is because of the large fine-tuning needed to produce a BPS solution.

Relation to the topological amplitude ?

- Before integrating along the fiber, we found that $\Psi_{p,q} \sim \exp[ie^{U+K/2}(z\vec{W} + z^{-1}W)]$, in “rough” agreement with OVV’s answer $\Psi_{p,q} \sim \exp(W)$.
- It is unlikely that Ψ_{top} can be identified as a black hole wave function: it naturally depends on $n_V + 1$ variables, while Ψ_{BH} depends on $2n_V + 3$ variables.
- Instead, the “super-BPS” Hilbert space of **tri-holomorphic functions** on HKC is the natural habitat of a one-parameter generalization of the topological string amplitude...

Gunaydin Neitzke BP

- **Higher derivative** corrections remain to be incorporated: higher derivative scalar interactions on QK space.
- **Multi-centered configurations** can be described by certain harmonic maps from \mathbb{R}^3 to QK : does that correspond to “second quantization”, i.e. including vertices ?
- For $N \geq 4$, this suggests that the 3D U-duality group controls the BH spectrum: can one obtain the exact degeneracies as Fourier coefs of some “**BPS automorphic forms**” ? Improve on DVV.
- The equivalence between BH attractor flow and geodesic flow on QK is a reflection of mirror symmetry. Can this be used to compute **instanton corrections** on hypermultiplet moduli space ?