

Phase Transitions with α' Correction

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ISM06-Puri

Outline

Phases of Bulk Theory

Phases of Boundary Theory

Connection with Matrix Models

Bubbles of Nothing

Summary

Euclidean spaces

Bulk
 AdS_5

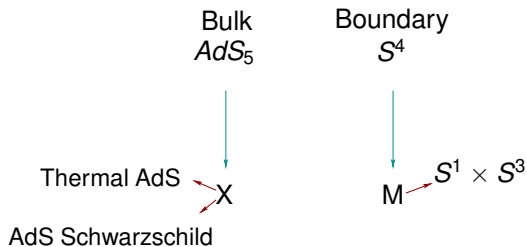
Boundary
 S^4

↓
X

↓
M

$$Z_{CFT}(M) = \sum_i \exp(-N^2 F(X_i))$$
$$I_s = N^2 F(X)$$

Euclidean spaces



$$Z_{CFT}(M) = \sum_i \exp(-N^2 F(X_i))$$
$$I_s = N^2 F(X)$$

Thermal AdS

- ▶ The metric

$$ds^2 = \left(\frac{r^2}{l^2} + 1 \right) dt^2 + \left(\frac{r^2}{l^2} + 1 \right)^{-1} dr^2 + r^2 d\Omega^2$$

- ▶ t is periodic with any periodicity.
- ▶ l is related to the cosmological constant.

AdS Schwarzschild Black Hole

- ▶ The metric

$$ds^2 = -\left(1 + \frac{r^2}{l^2} - \frac{m}{r^2}\right) dt^2 + \left(1 + \frac{r^2}{l^2} - \frac{m}{r^2}\right)^{-1} dr^2 + r^2 d\Omega^2$$

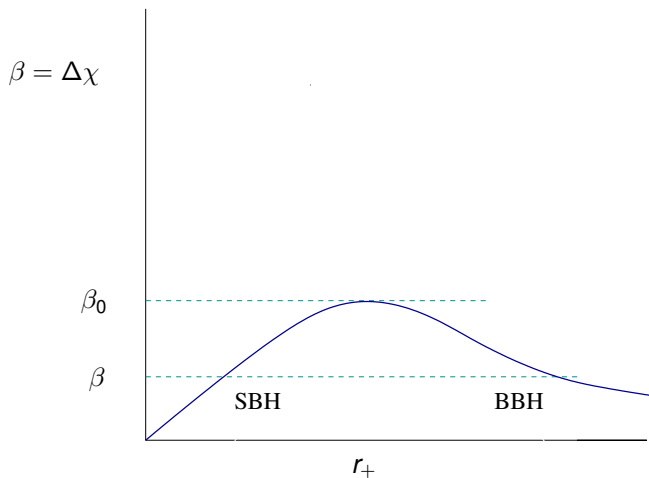
- ▶ Asymptotically AdS
- ▶ Horizon at r_{\pm}

$$1 + \frac{r^2}{l^2} - \frac{m}{r^2} = 0$$

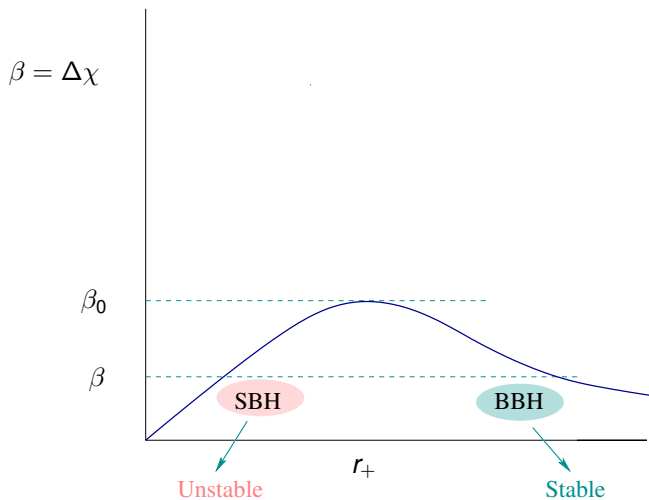
- ▶ To avoid conical singularity

$$\Delta\chi = \frac{2\pi r_+ l^2}{2r_+^2 + l^2}$$

AdS Schwarzschild Black Hole



AdS Schwarzschild Black Hole



Free Energy

- ▶ Free energy from action

$$\beta F = I_X$$

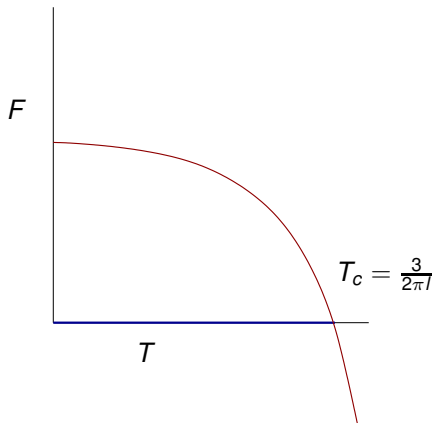
The free energy in general diverges for the individual metrics.

- ▶ Compute the difference $I(X_1) - I(X_2)$
- ▶ Free energy of Thermal AdS is zero.
- ▶ Free energy of the AdS Sch. Black Holes.

$$F = \frac{2\pi^2 r_+^2}{\kappa_5} \left(1 - \frac{r_+^2}{l^2}\right)$$

- ▶ There is a transition when $r_+ = l$

Hawking-Page Transition



Gauss-Bonnet black holes

$$I = \int d^{n+1}x \sqrt{-g_{n+1}} \left[\frac{R}{\kappa_{n+1}} - 2\Lambda + \alpha(R^2 - 4R_{ab}R^{ab} + R_{abcd}R^{abcd}) \right]$$

This action possesses black hole solutions.

- ▶ Solution for Metric

$$ds^2 = -V(r)dt^2 + \frac{dr^2}{V(r)} + r^2 d\Omega_{n-1}^2,$$

$$V(r) = 1 + \frac{r^2}{2\hat{\alpha}} - \frac{r^2}{2\hat{\alpha}} \left[1 - \frac{4\hat{\alpha}}{l^2} + \frac{4\hat{\alpha}m}{r^n} \right]^{\frac{1}{2}}$$

- ▶ $d\Omega_{n-1}^2$ is the metric of a $n - 1$ dimensional sphere.

$$V(r) = 1 + \frac{r^2}{2\hat{\alpha}} - \frac{r^2}{2\hat{\alpha}} \left[1 - \frac{4\hat{\alpha}}{l^2} + \frac{4\hat{\alpha}m}{r^n} \right]^{\frac{1}{2}}$$

- ▶ $\hat{\alpha} = (n-2)(n-3)\alpha\kappa_{n+1}$
- ▶ $l^2 = -n(n-1)/(2\kappa_{n+1}\Lambda)$
- ▶ Asymptotic behavior

$$V(r) = 1 + \left[\frac{1}{2\hat{\alpha}} - \frac{1}{2\hat{\alpha}} \left(1 - \frac{4\hat{\alpha}}{l^2} \right)^{\frac{1}{2}} \right] r^2$$

- ▶ Horizon for $n = 4$ (five dimensions)

$$r^2 = r_+^2 = \frac{l^2}{2} \left[-1 + \sqrt{1 + \frac{4(m - \hat{\alpha})}{l^2}} \right]$$

Thermodynamics

Thermodynamics of these black holes can be obtained by standard Euclidean action calculation.

► Free Energy and Temperature

$$F = \frac{\omega_{n-1} r_+^{n-4}}{\kappa_{n+1} (n-3) (r_+^2 + 2\hat{\alpha})} \left[(n-3) r_+^4 \left(1 - \frac{r_+^2}{l^2}\right) - \frac{6(n-1)\hat{\alpha} r_+^4}{l^2} + (n-7)\hat{\alpha} r_+^2 + 2(n-1)\hat{\alpha}^2 \right],$$

$$T = \frac{(n-2)}{4\pi r_+ (r_+^2 + 2\hat{\alpha})} \left[r_+^2 + \frac{n-4}{n-2} \hat{\alpha} + \frac{n}{n-2} \frac{r_+^4}{l^2} \right]$$

► Entropy

$$S = \frac{4\pi\omega_{n-1} r_+^{n-1}}{\kappa_{n+1}} \left[1 + \frac{n-1}{n-3} \frac{2\hat{\alpha}}{r_+^2} \right]$$

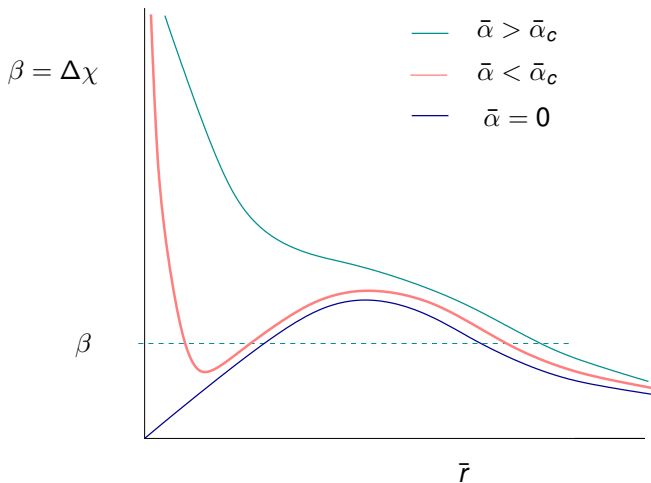


Figure: β as a function of \bar{r} for different values of $\bar{\alpha}$.

Free energy vs radius

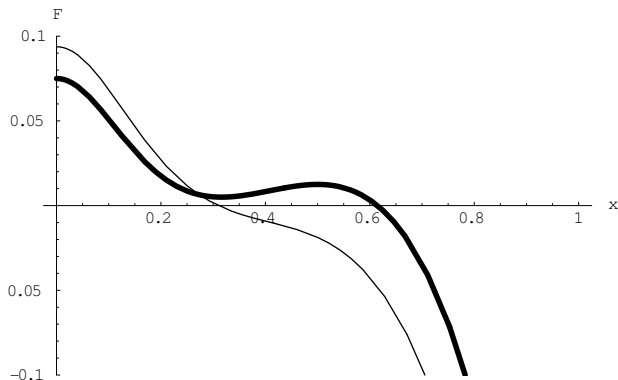


Figure: Free energy as a function of $x = \bar{r}$ for different values of $\bar{\alpha}$. The thicker line is for $\bar{\alpha} = 1/40$ and the other one $\bar{\alpha} = 1/32$.

Free energy vs Temperature

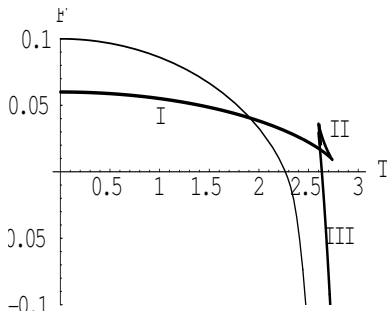


Figure: Free energy as a function of temperature. The thicker one is for $\bar{\alpha} = 1/50$ while the other one is for $\bar{\alpha} = 1/30$.

Phases

Different phase structures as we vary $\bar{\alpha}$.

1. $\bar{\alpha} \leq \bar{\alpha}_c$: Three branches:
 - ▶ I+III: Specific heat positive \rightarrow Stable
 - ▶ II : Specific heat negative \rightarrow Unstable
 - ▶ HP1 : I \rightarrow III
 - ▶ HP2 : Thermal AdS \rightarrow III

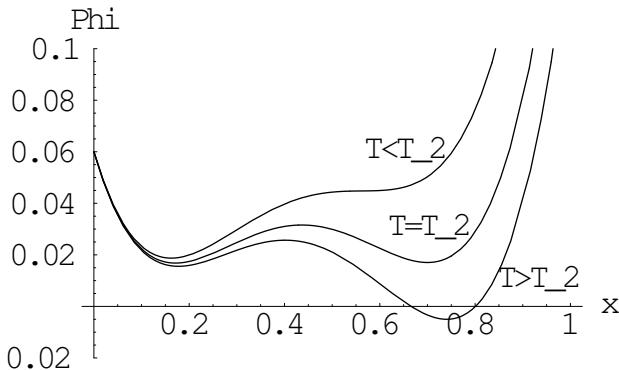
$$T_c = \frac{3}{2\pi l} - \frac{33\bar{\alpha}}{4\pi l} + \mathcal{O}(\bar{\alpha}^2)$$

2. $\bar{\alpha} > \bar{\alpha}_c$: Only one branch for stable Black hole.

Phases

- Landau function around the critical point: $\bar{\alpha} = 1/50$

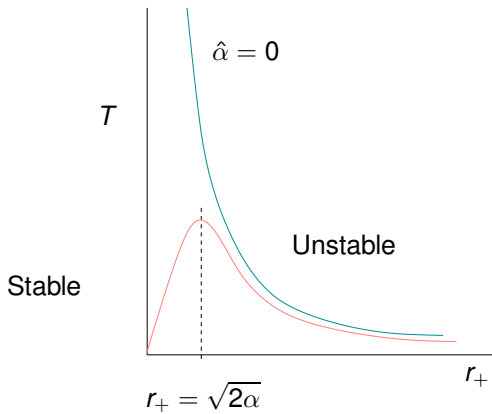
$$\Phi(T, \bar{r}) = \frac{\omega_3 l^2}{\kappa_5} (3\bar{r}^4 - 4\pi l T \bar{r}^3 + 3\bar{r}^2 - 24\pi \bar{\alpha} l T \bar{r} + 3\bar{\alpha})$$



Some comments on the flat case

- ▶ In the limit $l \rightarrow \infty$ ($\Lambda = 0$) the solution reduces to the asymptotically flat Gauss-Bonnet black hole.
- ▶ The temperature is given by

$$T = \frac{r_+}{2\pi(r_+^2 + 2\hat{\alpha})}$$

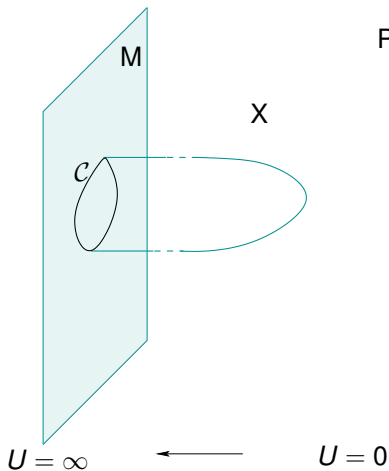


Gauge theory

- ▶ $SU(N)$, N=4 SYM Theory on $S^1 \times S^3$ in the limit $N \rightarrow \infty$.
- ▶ Identify Hawking-Page transition in the bulk with Confinement/Deconfinement transition on the boundary.
- ▶ Order parameter - Wilson loop operator

$$W(C) = \frac{1}{N} \text{Tr} P \exp \int_C A$$

Wilson Loop



Proposal (Maldacena, Rey, Yee)

$$\langle W(c) \rangle = e^{-S}$$

Free Energy

- ▶ Another order parameter for deconfined phase is the Free Energy.
- ▶ Pure $U(N)$ gauge theory contains $N^2 - 1 \sim N^2$ gluons. For the deconfined phase we expect $F \sim \mathcal{O}(N^2)$ and for confined phase we expect $F \sim \mathcal{O}(1)$.
- ▶ Gravity computation (Free energy of black hole) corresponding to the strongly coupled gauge theory ($g_{YM}^2 N = \lambda \rightarrow \infty$)

$$F = -\frac{\pi^2}{6} N^2 T^4 \left(\frac{3}{4} + \frac{45}{32} \frac{\zeta(3)}{(2\lambda)^{3/2}} \right)$$

Free Energy

- ▶ Gauge theory weak coupling (λ) expansion (free gluons)

$$F = -\frac{\pi^2}{6} N^2 T^4 \left(1 - \frac{3\lambda}{2\pi^2} \right)$$

- ▶ Apart from the dependence on the coupling λ the free energy scales in the same way w.r.t N^2 and T for both ends of the coupling.

Effective theory

- ▶ Partition function (Aharony et al.)

$$Z(\lambda, T) = e^{-\beta F} = \int \mathcal{D}A e^{-S_{YM}(A)}$$

- ▶ Evaluate at weak coupling $\lambda \rightarrow 0$. Free gauge theory.
- ▶ Gauge condition

$$\begin{aligned}\partial_i A^i &= 0 \\ \partial_t \alpha(t) &= 0\end{aligned}$$

$$\alpha = \frac{1}{V_3} \int_{S^3} A_0$$

Matrix model

► Effective Action

$$Z(\lambda, T) = e^{-\beta F} = \int [dU] e^{-S_{\text{eff}}(U)}$$

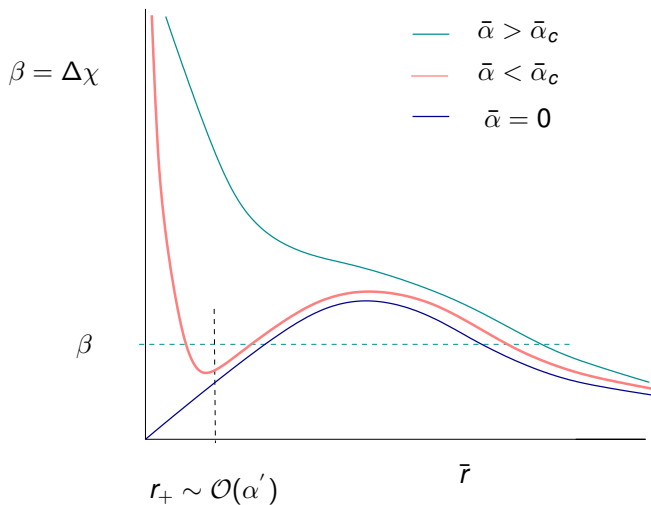
$$U = e^{i\beta\alpha}$$

$$\frac{1}{N} \langle U \rangle \rightarrow \text{Wilson loop}$$

► Measure

$$[dU] = \prod_{i,j} [dU_{i,j}] = \prod_i d\lambda_i \prod_{i<j} \sin^2 \left(\frac{\beta(\lambda_i - \lambda_j)}{2} \right)$$

Two possibilities



A simple model

- ▶ Quartic potential (Alvarez-Gaume et al.)

$$Z(\lambda, T) = \int [dU] \exp \left[a \operatorname{tr} U \operatorname{tr} U^\dagger + b/N^2 (\operatorname{tr} U \operatorname{tr} U^\dagger)^2 \right]$$

- ▶ a and b are functions of λ and T .
- ▶ Study the phases of this model.

Phases

- ▶ Saddle-point equation

$$\begin{aligned} a\rho + 2b\rho^3 &= \rho & 0 \leq \rho \leq \frac{1}{2} \\ &= \frac{1}{4(1-\rho)} & \frac{1}{2} \leq \rho \leq 1 \end{aligned}$$

where $\rho^2 = (1/N^2)\text{tr}U\text{tr}U^\dagger$.

- ▶ Existence of solution for ρ .
- ▶ $\rho = 0$ is always a solution.

Comparison with Gravity

- ▶ Phases equivalent to strongly coupled gauge theory $\lambda \rightarrow \infty$
- ▶ Possible to move on further and actually compute $a(1/\sqrt{\lambda} = 0, T)$ and $b(1/\sqrt{\lambda} = 0, T)$.
- ▶ Equate the potential with the free-energy from gravity.

$$2a\rho_{1,2}^2 + 2b\rho_{1,2}^4 + \log(1 - \rho_{1,2}) + f = -l_{1,2}$$

$$f = \log(2) - \frac{1}{2}$$

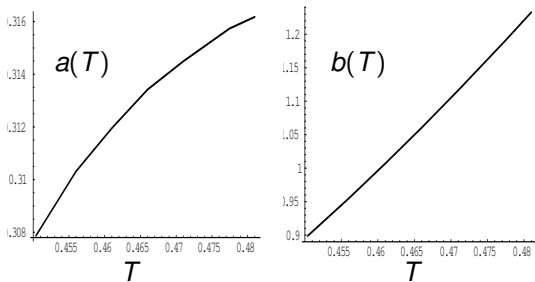
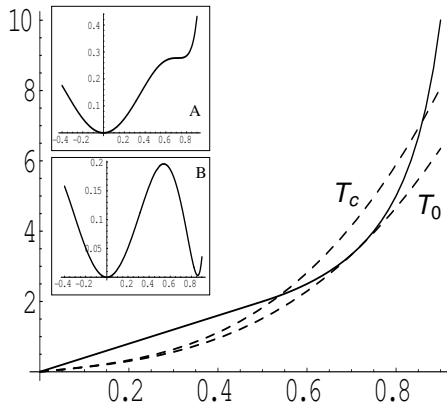
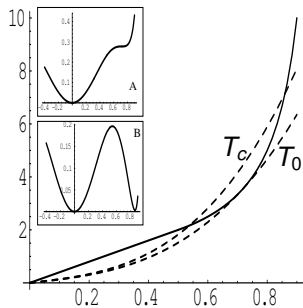


Figure: Plots of $a(T, 0)$ and $b(T, 0)$

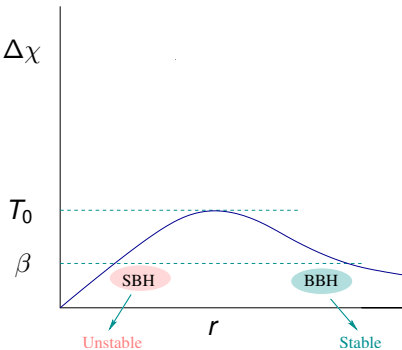
Phases



Phases



$$\beta = \Delta\chi$$



Finite λ corrections

- ▶ Relation between gauge coupling and α'

$$\frac{R^4}{\alpha'^2} = 4\pi g_{YM}^2 N = 4\pi\lambda$$

- ▶ Adding α' corrections in the bulk gravity amounts to deviating from the $\lambda \rightarrow \infty$, thus bringing in $1/\sqrt{\lambda}$ corrections.
- ▶ Comparison with gravity

$$2a\rho_{1,2}^2 + 2b\rho_{1,2}^4 + \log(1 - \rho_{1,2}) + f = -I_{1,2}$$

- ▶ We can now find the corresponding $1/\sqrt{\lambda}$ corrections to $a(0, T)$ and $b(0, T)$.

► Taylor expansion

$$a(T, 1/\sqrt{\lambda}) = a(T, 0) + \frac{1}{\sqrt{\lambda}} \frac{\partial a(T)}{\partial (1/\sqrt{\lambda})} \Big|_{1/\sqrt{\lambda}=0} + \mathcal{O}(1/\lambda^{3/2})$$

$$b(T, 1/\sqrt{\lambda}) = b(T, 0) + \frac{1}{\sqrt{\lambda}} \frac{\partial b(T)}{\partial (1/\sqrt{\lambda})} \Big|_{1/\sqrt{\lambda}=0} + \mathcal{O}(1/\lambda^{3/2})$$

► Compare Coefficients

$$2 \frac{\partial a(T)}{\partial (1/\sqrt{\lambda})} \rho_{1,2}^2 + 2 \frac{\partial b(T)}{\partial (1/\sqrt{\lambda})} \rho_{1,2}^4 = -\sqrt{\lambda} \delta h_{1,2}(T)$$

$$\begin{aligned} \delta h_{1,2}(T) &= \alpha' \beta (\delta F_{1,2}) \\ &= -\frac{\beta}{\sqrt{2\lambda}} (3r_{1,2}^4 + 24r_{1,2}^2 + 9) \end{aligned}$$

► b decreases

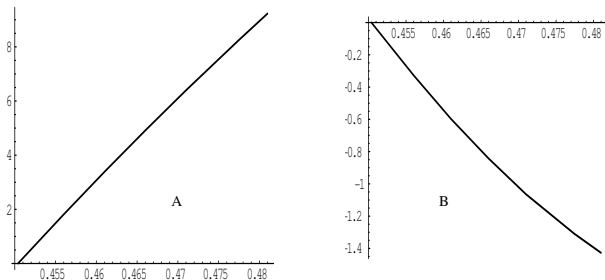
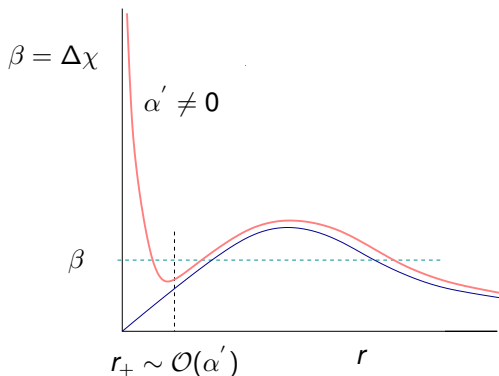


Figure: Plots of (A) $\partial a(T)/\partial(1/\sqrt{\lambda})$ and (B) $\partial b(T)/\partial(1/\sqrt{\lambda})$

- b may end up with a positive or negative sign in the weak coupling,

Modifying the matrix potential



- ▶ This comparison will however be valid as long as the radius of the small black hole is greater than α' .

Modified matrix potential

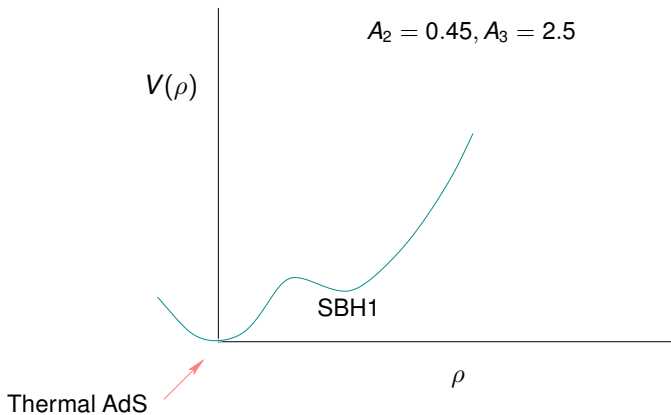
- ▶ Including higher order terms

$$S(\rho^2) = 2[A_4\rho^8 - A_3\rho^6 + A_2\rho^4 + \left(\frac{1 - 2A_1}{2}\right)\rho^2]$$

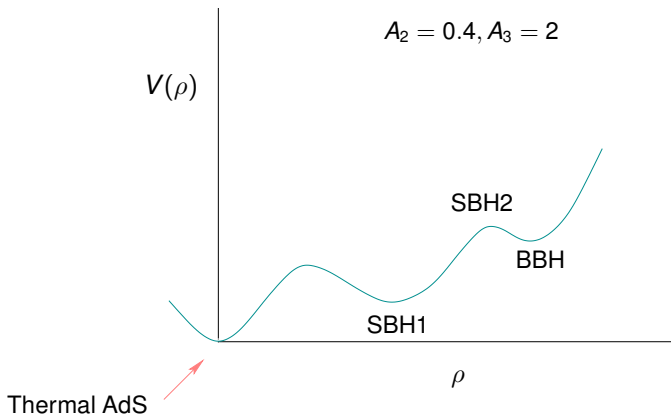
- ▶ Saddle-point equations

$$\begin{aligned}\rho F(\rho) &= \rho \quad , \quad 0 \leq \rho \leq \frac{1}{2}, \\ &= \frac{1}{4(1-\rho)} \quad , \quad \frac{1}{2} \leq \rho \leq 1\end{aligned}$$

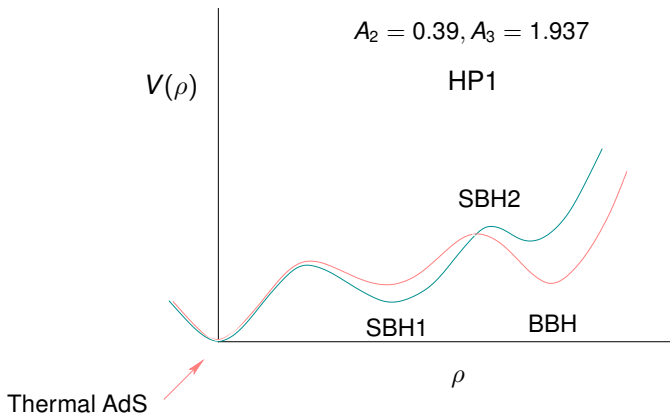
- Potential for various values of A_2 and A_3 .



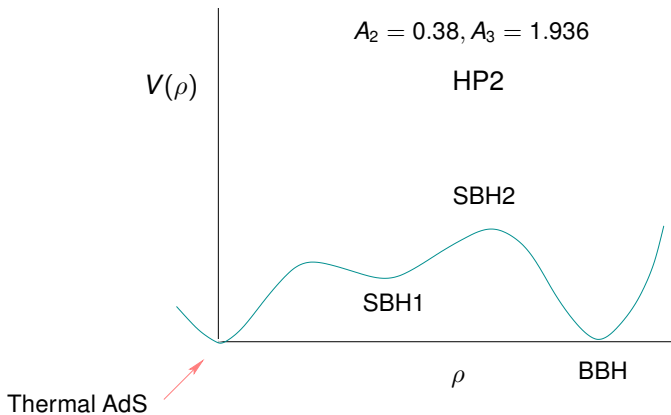
- Potential for various values of A_2 and A_3 .



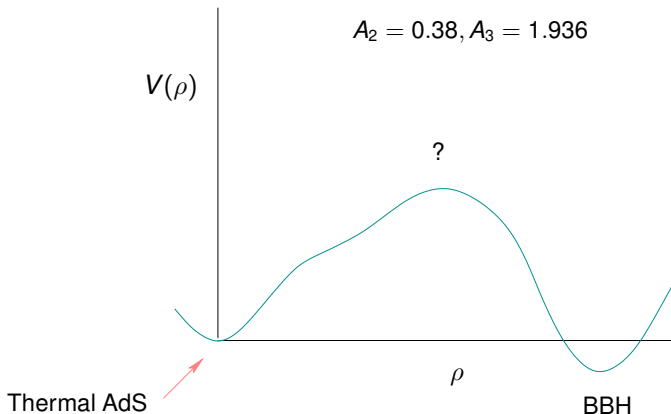
- Potential for various values of A_2 and A_3 .



- Potential for various values of A_2 and A_3 .



- Potential for various values of A_2 and A_3 .



Witten's Kaluza-Klein Bubbles

- ▶ 1. Euclidean Kaluza-Klein vacuum

$$ds^2 = dx^2 + dy^2 + dz^2 + dt^2 + d\phi^2$$

- ▶ 2. Another solution with the same asymptotic form (Euclidean black hole)

$$ds^2 = \frac{dr^2}{1 - \alpha/r^2} + r^2 d\Omega^2 + \left(1 - \frac{\alpha}{r^2}\right) d\phi^2$$

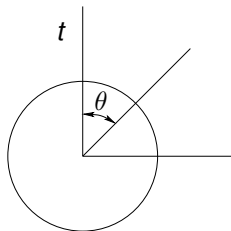
- ▶ The metric 2 is non-singular if the period of ϕ is $2\pi\sqrt{\alpha} = 2\pi R$

$$ds^2 = \frac{dr^2}{1 - (R/r)^2} + r^2 d\Omega^2 + \left(1 - \left(\frac{R}{r}\right)^2\right) d\phi^2$$

with $R < r < \infty$.

Kaluza-Klein Bubbles

- Analytic continuation to Minkowski space. Locate a plane that resembles $t = 0$.



$t \rightarrow it$ is equivalent to $\theta \rightarrow 1/2\pi + i\psi$

Kaluza-Klein Bubbles

- ▶ Metric with Minkowski signature

$$ds^2 = \frac{dr^2}{1 - (R/r)^2} - r^2 d\psi^2 + r^2 \cosh^2 \psi d\Omega^2 + \left(1 - \left(\frac{R}{r}\right)^2\right) d\phi^2$$

- ▶ Now since $R < r < \infty$ this metric defines a bubble expanding with time.
- ▶ KK vacuum decays into this bubble of NOTHING!

AdS Bubbles

- ▶ AdS Black hole

$$ds^2 = -\left(1 + \frac{r^2}{l^2} - \frac{m}{r^2}\right) dt^2 + \left(1 + \frac{r^2}{l^2} - \frac{m}{r^2}\right)^{-1} dr^2 + r^2 d\Omega^2$$

- ▶ Analytically continue the coordinates :

$$t \rightarrow i\chi, \theta \rightarrow \pi/2 + i\tau.$$

- ▶ Bubble Metric :

$$ds^2 = V(r)d\chi^2 + \frac{dr^2}{V(r)} - r^2 d\tau^2 + r^2 \cosh^2 \tau d\Omega^2$$

- ▶ Metric is non-singular if in the region $r \geq r_+$ if χ has a periodicity:

$$\Delta\chi = \frac{2\pi\bar{r}l(\bar{r}^2 + 2\bar{\alpha})}{(\bar{r}^2 + 2\frac{\bar{r}^4}{l^2})}$$

Bubble Solutions

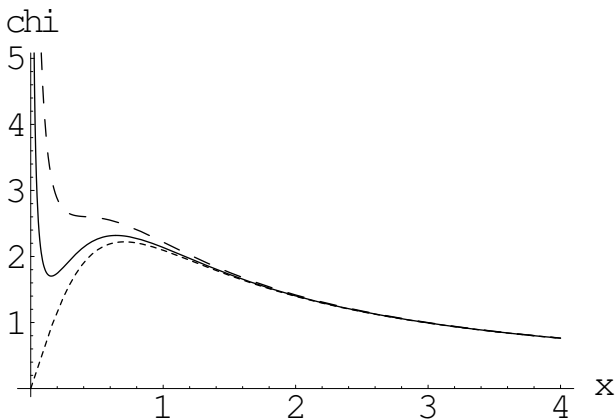


Figure: Plot of $\Delta\bar{\chi}$ as a function $x = \bar{r}$. The dashed line is for $\bar{\alpha} = 1/34$. The solid line is for $\bar{\alpha} = 1/50$ and the dotted line is for $\bar{\alpha} = 0$.

- ▶ Energy Momentum Tensor :

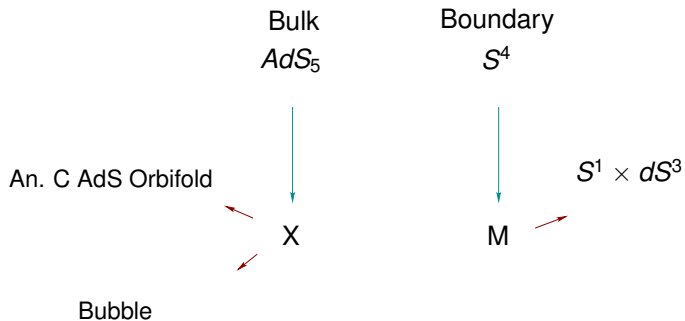
$$T_{\tau}^{\tau} = \frac{1}{\kappa_5 L^3} m$$

The bubble has lower energy for any value of r than the analytically continued AdS orbifold.

- ▶ Boundary : $dS^3 \times S^1$

$$ds^2 = d\chi^2 + L^2(-d\tau^2 + \cosh^2\tau d\Omega_{n-2}^2)$$

$$L = \sqrt{2\hat{\alpha}} \left[1 - \left(1 - \frac{4\hat{\alpha}}{\rho^2} \right)^{\frac{1}{2}} \right]^{-\frac{1}{2}}$$



Summary

- ▶ Phases in the bulk gravity and on the boundary gauge theories in the presence of higher derivative (Gauss-Bonnet term).
- ▶ Phase structure can change once higher derivative terms are added.
- ▶ Proposal for a matrix model that captures the phases on the boundary
- ▶ Decay into bubbles of nothing (only a gravity analysis)