

# Neutrino Physics: Goals and Perspectives

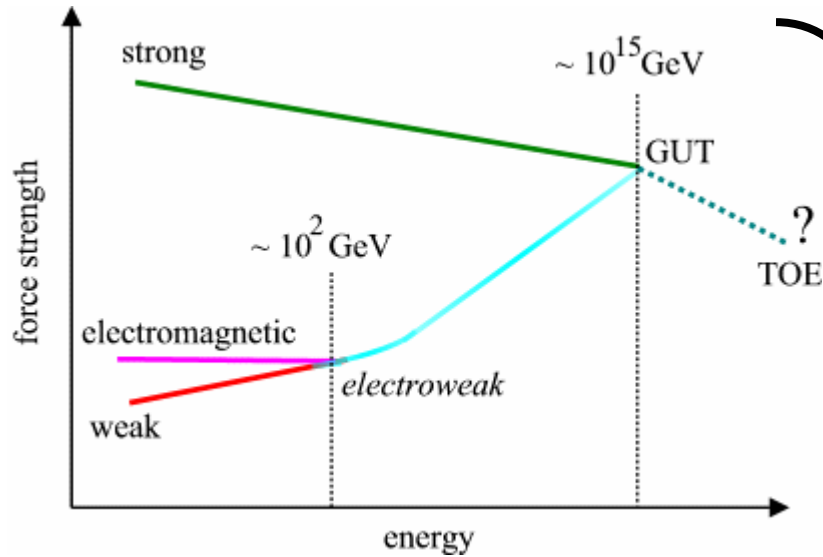
**Manfred Lindner**  
**Technical University Munich**

**WHEPP9**

**IX Workshop on  
High Energy Physics Phenomenology  
Jan. 3-14, 2006  
Institute of Physics, Bhubaneswar, India**

# Motivation: Physics Beyond the SM

gauge bosons



experimental facts:

- Dark Matter
- Dark Energy
- neutrino masses
- baryon asymmetry:  $m_\nu > 0$

Higgs

**gauge hierarchy problem**

$$\delta m_H^2 \sim \Lambda^2$$

quarks leptons

**3 generations, fermion rep.  
many parameters ( $m_i$ , mixings)  
unification into GUTs**

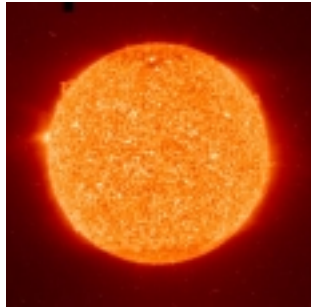
$$m_\nu = (m^D)^T M_R^{-1} m_D$$

SUSY  
 $\sim$ TeV

$\sim \Lambda_{GUT}$   
+seesaw

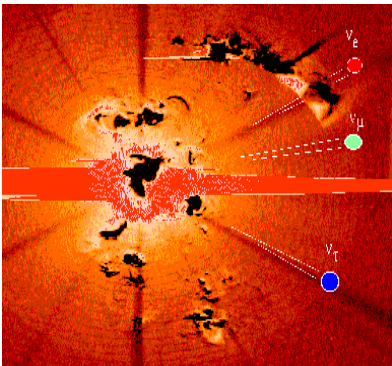
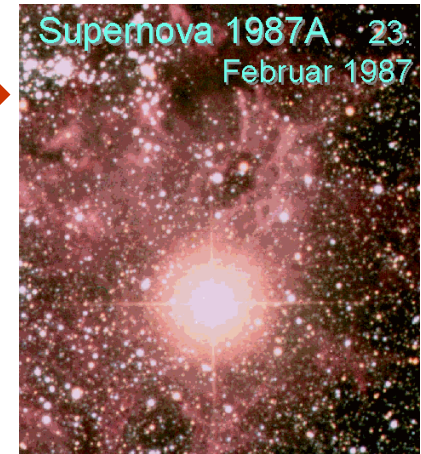
astrophysics  
& cosmology

# New Physics: Neutrino Sources



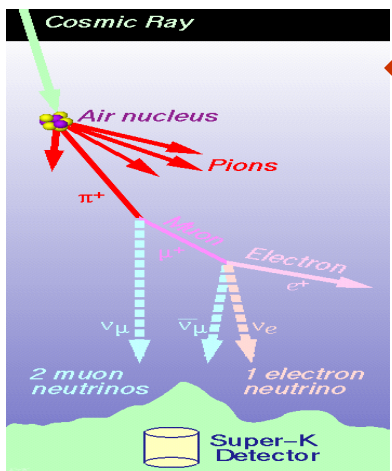
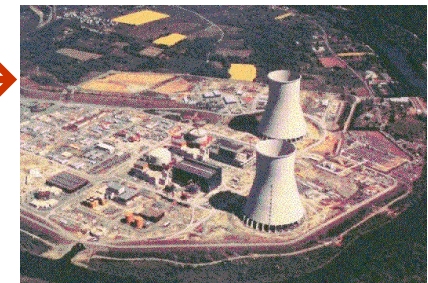
← Sun

**Astronomy:** →  
**Supernovae**  
**GRBs**  
**UHE  $\nu$ 's**



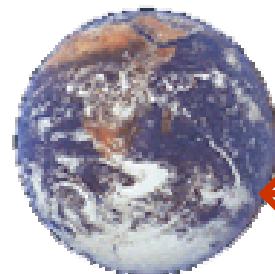
← **Cosmology**

**Reactors** →



← **Atmosphere**

**Accelerators** →



← **Earth**

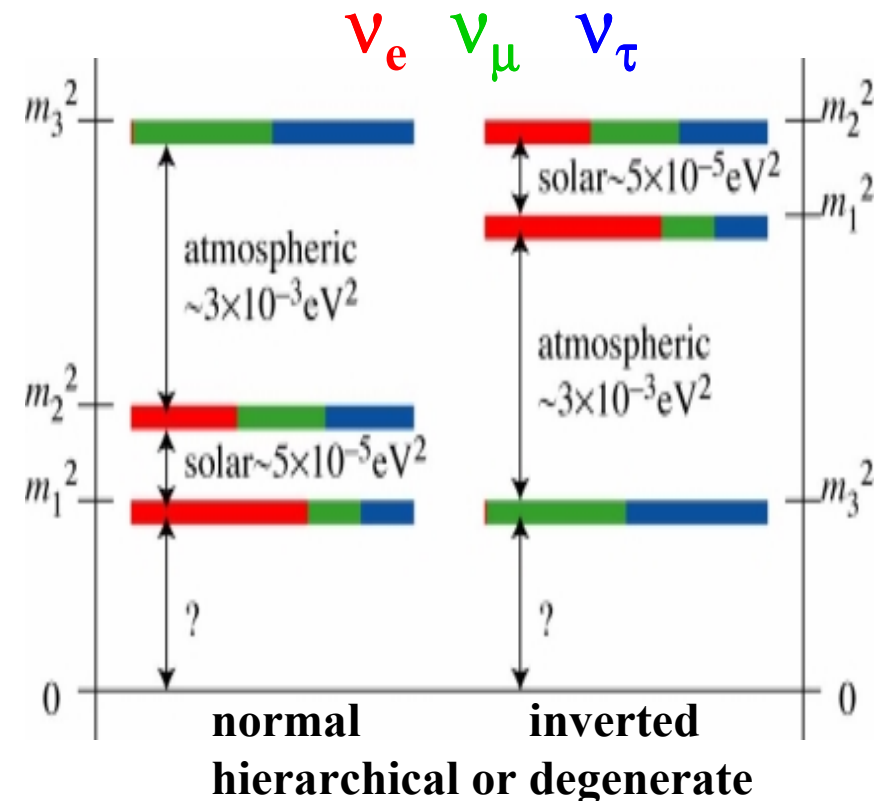
# Parameters for 3 Light Neutrinos

mass & mixing parameters:  $m_1$ ,  $\Delta m^2_{21}$ ,  $|\Delta m^2_{31}|$ ,  $\text{sign}(\Delta m^2_{31})$

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \text{diag}(e^{i\alpha}, e^{i\beta}, 1)$$

## questions:

- Dirac or Majorana
- absolute mass scale:  $m_1$
- mass ordering:  $\text{sgn}(\Delta m^2_{31})$
- how small is  $\theta_{13}$ ,  $\theta_{23}$  maximal?
- leptonic CP violation
- LSND  $\leftrightarrow$  sterile neutrino(s)
- L/E pattern of oscillations



# Four Methods of Mass Determination

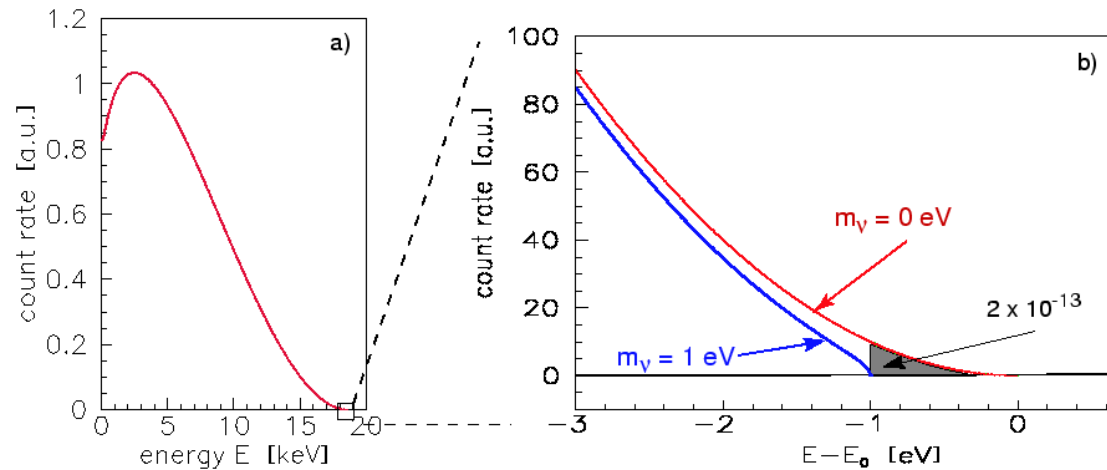
- **kinematical**
- **lepton number violation**  
    **↔ Majorana nature**
- **oscillations**
- **astrophysics & cosmology**

# Kinematical Mass Determination

Relativistic kinematics:

$$E^2 = p^2 + m^2; \quad \sum p_i^\mu = \sum p_f^\mu$$

Endpoint of decays:



**Bounds:**

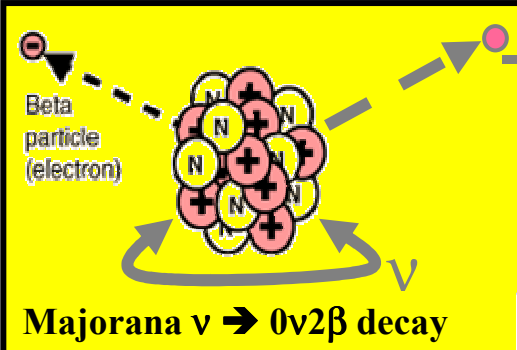
“Elektron-Neutrino”:  $m < 2.2 \text{ eV}$  (Mainz, Troitsk)  
 “Muon-Neutrino”:  $m < 170 \text{ keV}$   
 “Tau-Neutrino”:  $m < 15.5 \text{ MeV}$

Sensitivity  $\Leftrightarrow$  degenerate  $\nu$ -spectrum

$$\Rightarrow \text{Oscillations: } \Delta m_{ij}^2 \ll m_i^2 \Rightarrow \sum m_i^2 |U_{ei}|^2 < (2.2 \text{ eV})^2$$

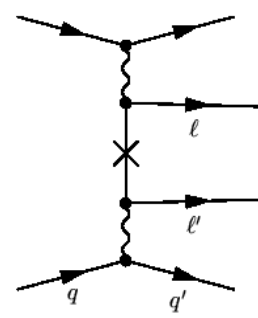
**Future: KATRIN  $\rightarrow$  0.25 eV  $\rightarrow$  ?  $\leftrightarrow$  c.f. cosmological bounds**

# Neutrino-less Double $\beta$ -Decay



Beta particle (electron)

Majorana  $\nu \rightarrow 0\nu 2\beta$  decay



$\propto |\langle m_{ee} \rangle| = |\sum m_i U_{ei}^2| \leq 0.35 \text{ eV} ?$

Heidelberg-Moscow experiment

$$m_{ee} = |m_{ee}^{(1)}| + |m_{ee}^{(2)}| \cdot e^{i\Phi_2} + |m_{ee}^{(3)}| \cdot e^{i\Phi_3}$$

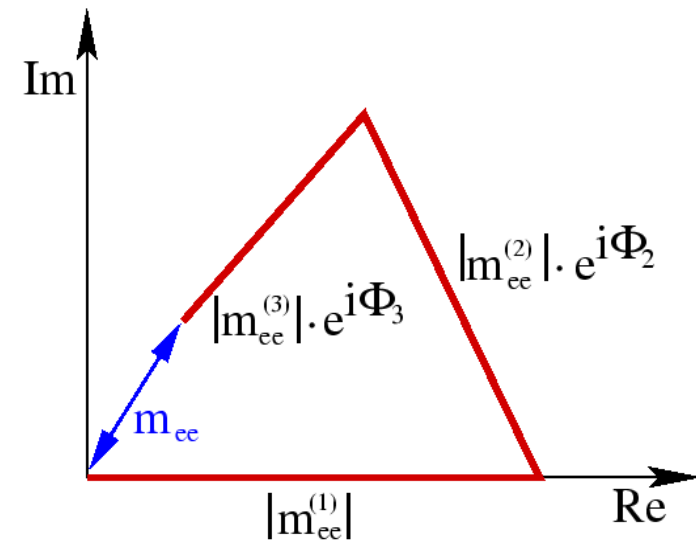
$$|m_{ee}^{(1)}| = |U_{e1}|^2 m_1$$

$$|m_{ee}^{(2)}| = |U_{e2}|^2 \sqrt{m_1^2 + \Delta m_{21}^2}$$

$$|m_{ee}^{(3)}| = |U_{e3}|^2 \sqrt{m_1^2 + \Delta m_{31}^2}$$

solar  $\Rightarrow |U_{e1}|^2, |U_{e2}|^2, \Delta m_{21}^2$     atmosph.  $\Rightarrow |\Delta m_{31}^2|$     CHOOZ  $\Rightarrow |U_{e3}|^2 < 0.05$

$\rightarrow$  free parameters:  $m_1, \text{sign}(\Delta m_{31}^2), \text{CP-phases } \Phi_2, \Phi_3$



$m_1 \rightarrow \text{small} \rightarrow m_{ee} = \text{const.} \sim (\Delta m_{ij}^2)^{1/2} \quad \leftrightarrow \text{sign}(\Delta m_{31}^2)$   
 $m_1 \text{ large} \rightarrow m_{ee} \sim m_1$

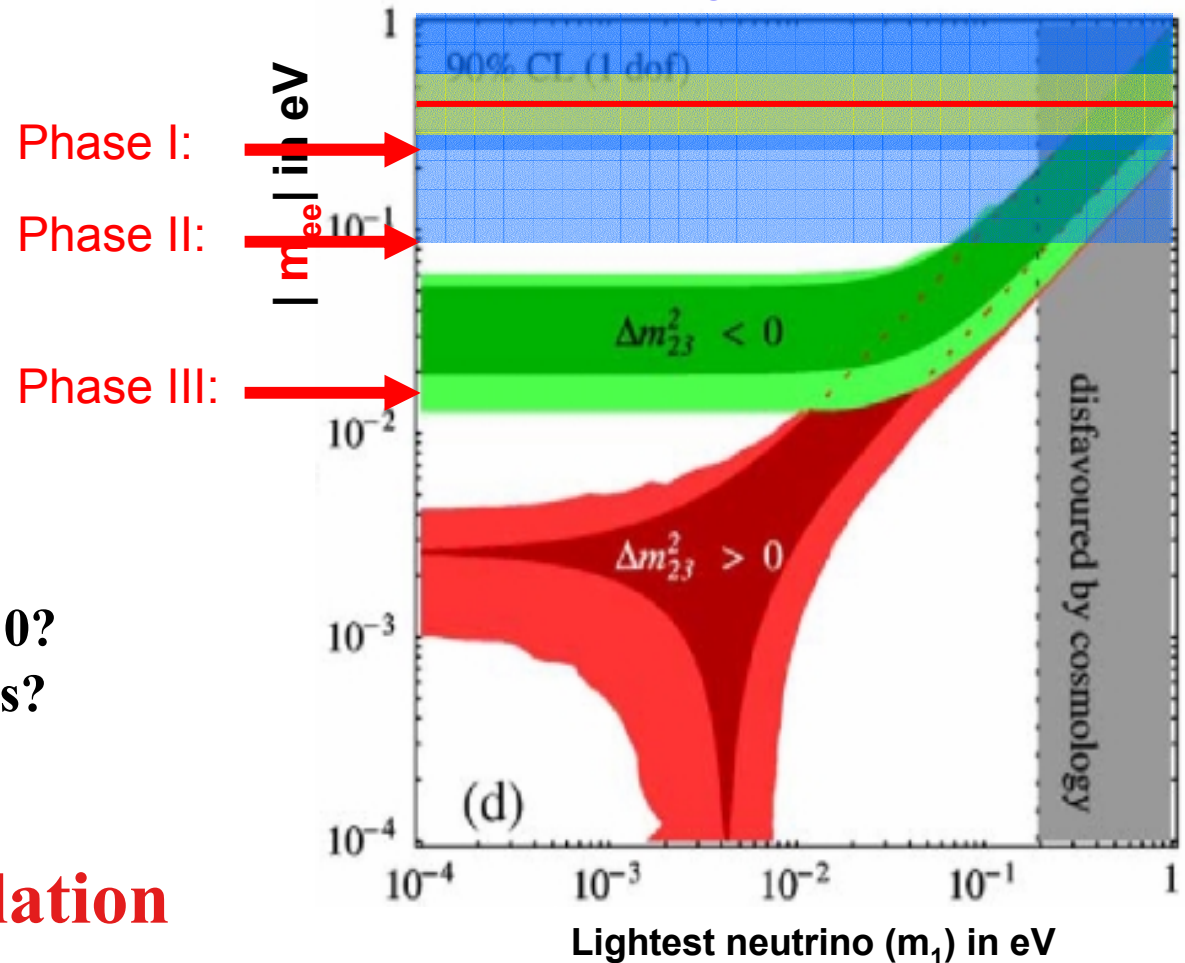
cosmological bound on  $m_1$   
 HM-claim  $\rightarrow$  'tension'

new experiments:  
 CUORICINO, GERDA  $\rightarrow$   
 CUORE, Majorana, ...  
 aim:  $(\Delta m_{31}^2)^{1/2} \simeq 0.05 \text{eV}$

Cosmology: syst. errors  $\rightarrow$  X10?  
 $0\nu 2\beta$  – nuclear matrix elements?  
 theory: LR, RPV-SUSY, ...

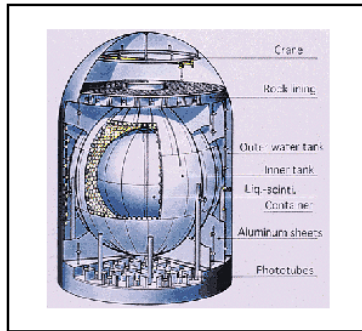
$\rightarrow$  lepton number violation

Feruglio Strumia Vissani

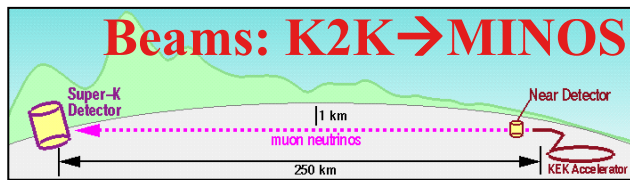




# Neutrino Oscillation Signals



**Reactors: KAMLAND**



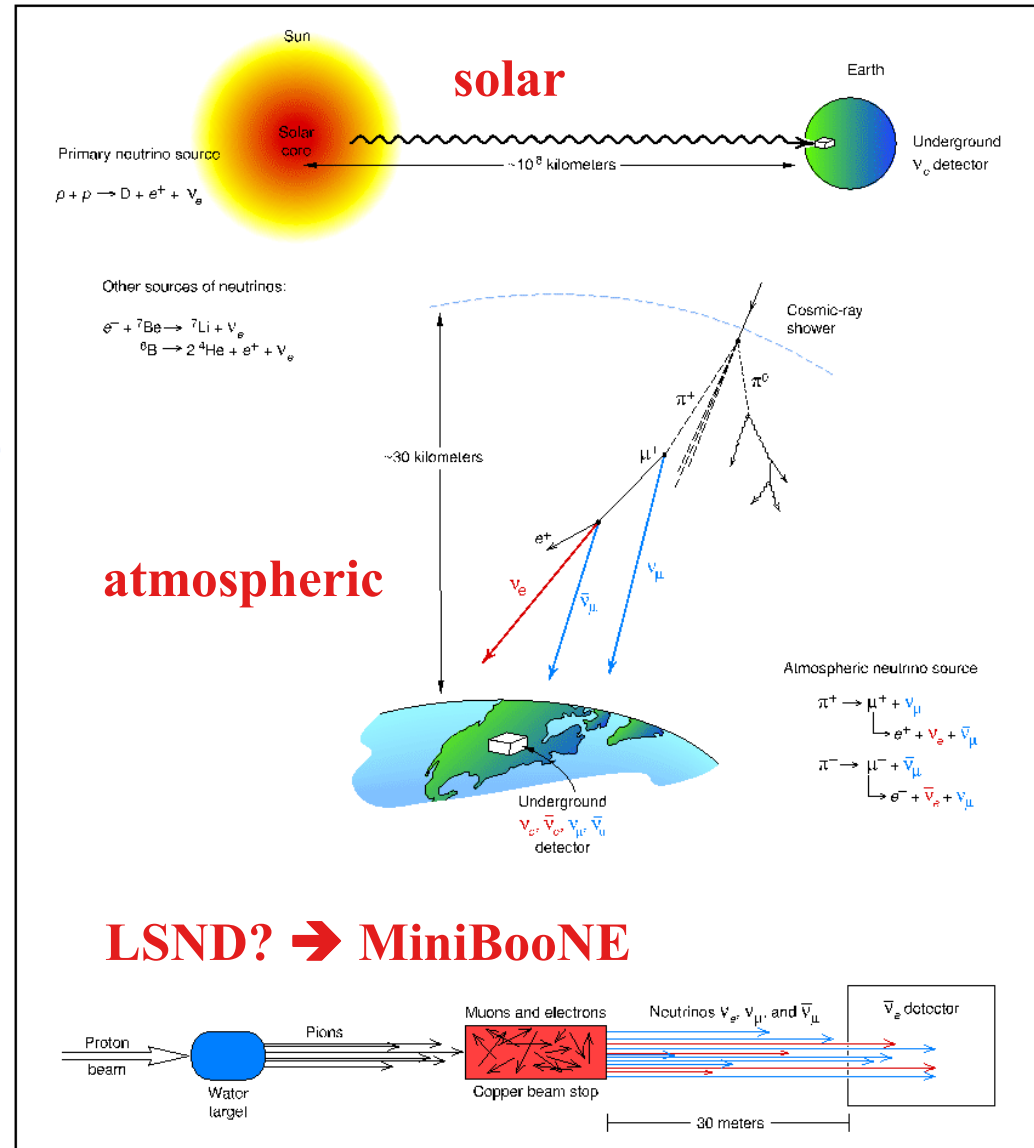
$$\Delta m_{21}^2 = (8.2 \pm 0.3) * 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta_{12} = 0.39 \pm 0.05$$

$$\Delta m_{31}^2 = (2.2 \pm 0.6) * 10^{-3} \text{ eV}^2$$

$$\tan^2 \theta_{23} = 1.0 \pm 0.3$$

$$\sin^2 2\theta_{13} < 0.16$$

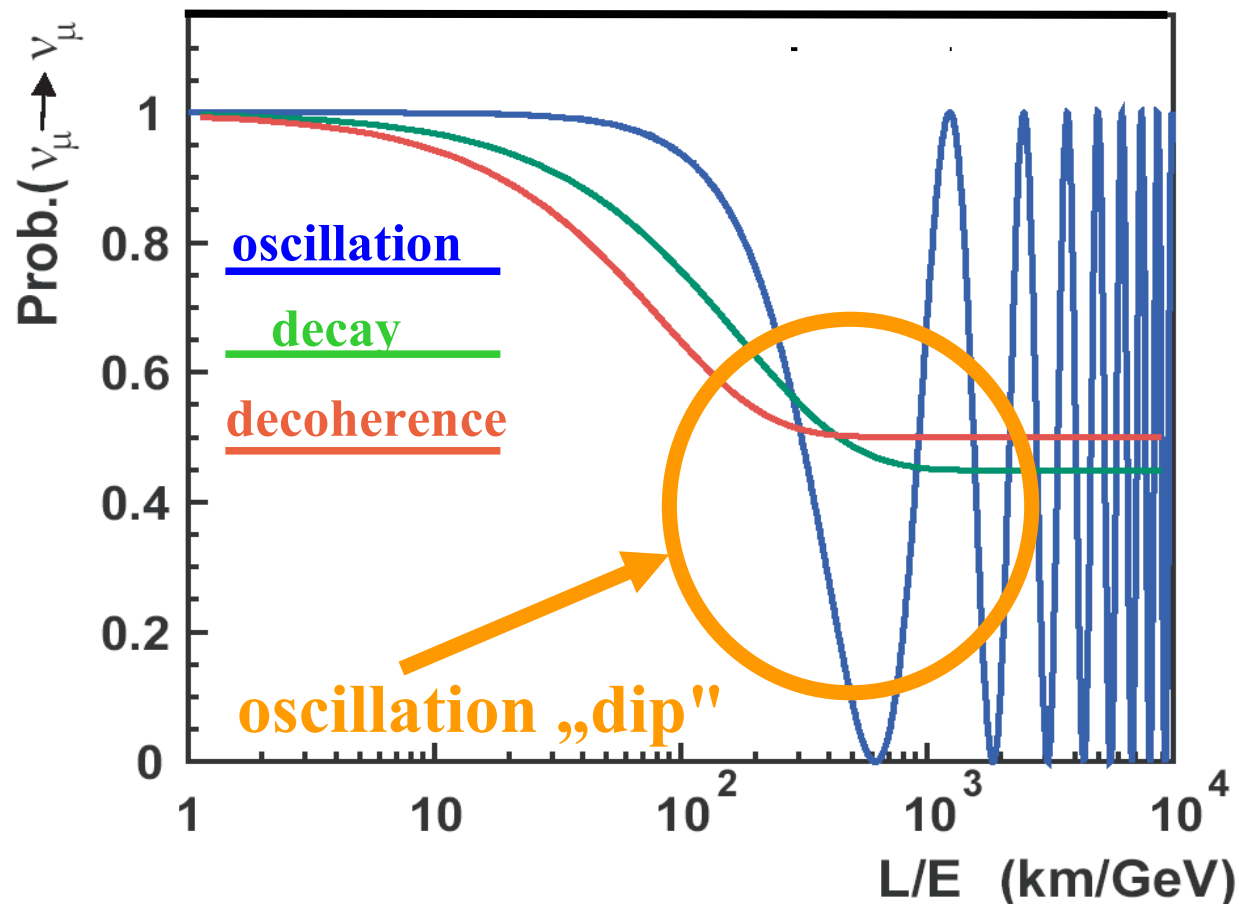


# L/E Dependence: Atmospheric Oscillations

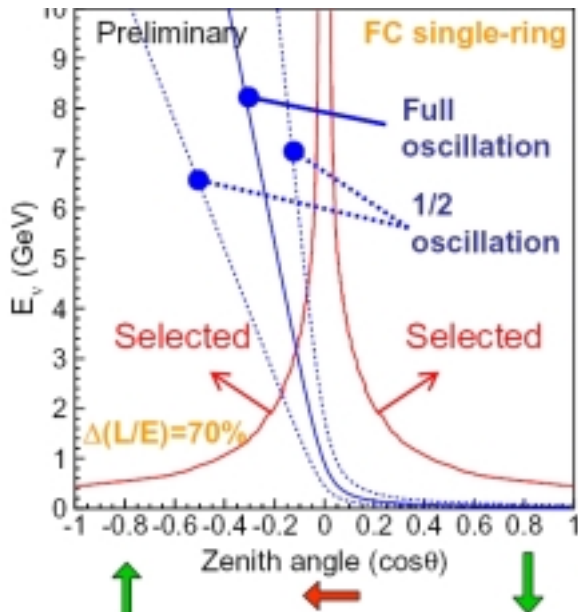
Neutrino oscillation :  $P_{\mu\mu} = 1 - \sin^2 2\theta \sin^2\left(1.27 \frac{\Delta m^2 L}{E}\right)$

Neutrino decay :  $P_{\mu\mu} = \left(\cos^2\theta + \sin^2\theta \times \exp\left(-\frac{m}{2\tau} \frac{L}{E}\right)\right)^2$

Neutrino decoherence :  $P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times \left(1 - \exp\left(-\gamma_0 \frac{L}{E}\right)\right)$



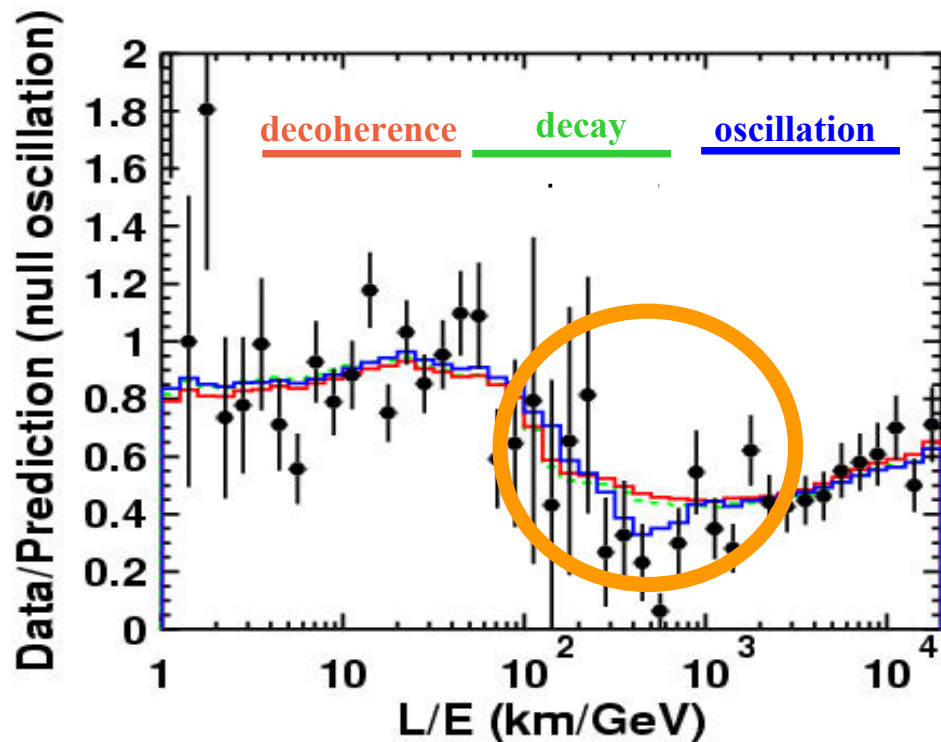
**L/E dependence  
smeared out!**



## Bad L/E resolution for:

- horizontal events ( $dL/d\cos\theta$  is big!)
- events with small energy

← cuts in the E- $\cos\theta$  plane

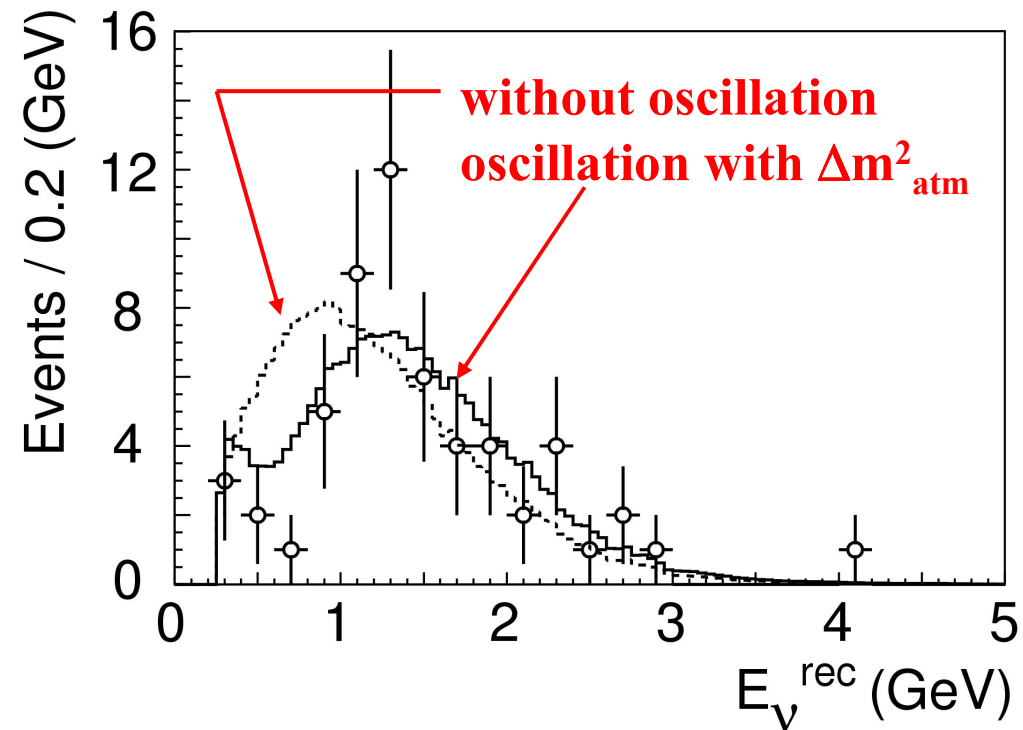
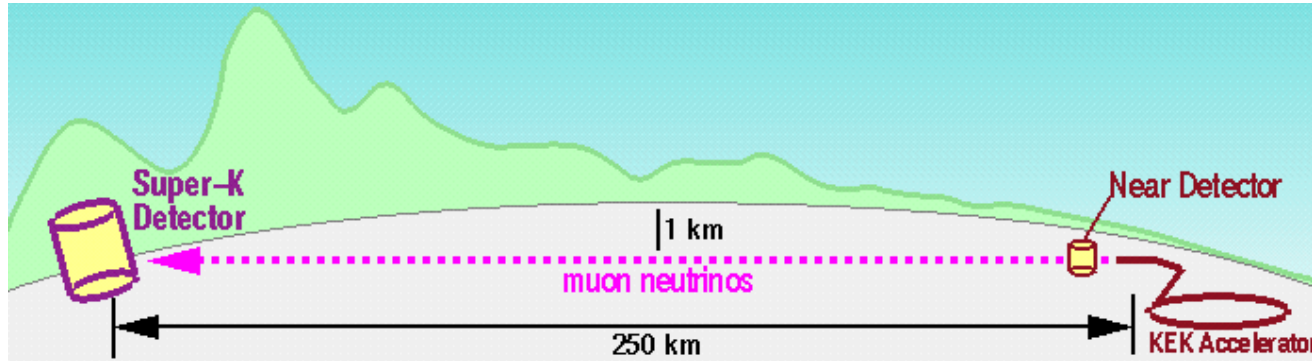


SK II data

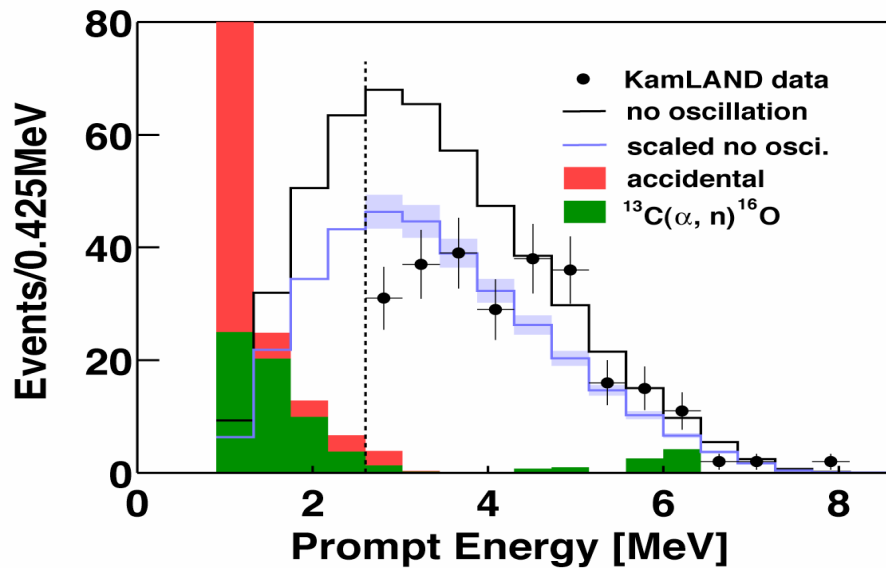
## Result:

- $3,4\sigma$  for decay
- $3,8\sigma$  for de-coherence
- $\Delta m^2 = 2.4 \cdot 10^{-3} \text{eV}^2$
- $\leftrightarrow$  long baseline exp.

# K2K confirms atmospheric $\Delta m^2$



# Testing Solar L/E with KamLAND

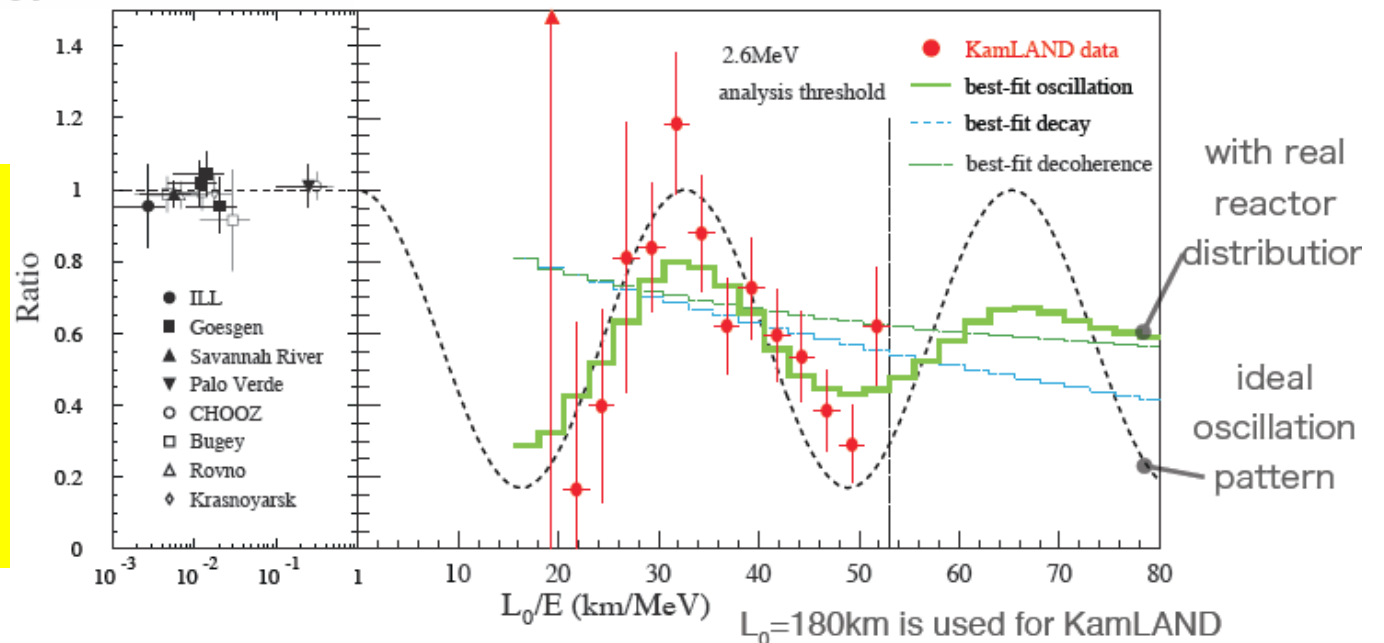


rate plus shape →  
oscillations at 99.999995% CL

**Best fit:**  $\Delta m^2 = 7.9^{+0.6}_{-0.5} \times 10^{-5} \text{eV}^2$   
 $\tan^2 \theta = 0.46$

## improved tests of L/E:

- Super Kamiokande
- KamLAND
- MINOS
- ...



# The Future of Neutrino Oscillations

$\Delta m^2$  and  $\theta_{ij}$  regions → improved oscillation experiments  
 → controlled sources & detectors

→ long baseline experiments with neutrino beams  
 → reactor experiments with identical near & far detector

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\theta_{23}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\substack{S_{13} \rightarrow 3 \text{ flavour effects} \\ \rightarrow S_{13} \rightarrow \delta}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\theta_{12}} \begin{matrix} \text{x Majorana-} \\ \text{CP-phases} \\ \text{matter effects} \end{matrix}$$

Aims: → improved precision of the leading 2x2 oscillations  
 → detection of generic 3-neutrino effects:  $\theta_{13}$ , CP violation

→ precision neutrino physics

# Analytic Approximations

- $\Delta = \Delta m_{31}^2 L / 4E$
- qualitative understanding  $\Rightarrow$  expand in  $\alpha = \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\sin^2 2\theta_{13}$
- matter effects  $\hat{A} = A / \Delta m_{31}^2 = 2VE / \Delta m_{31}^2$ ;  $V = \sqrt{2}G_F n_e$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \cos^2 \theta_{13} \sin^2 2\theta_{23} \sin^2 \Delta + 2\alpha \cos^2 \theta_{13} \cos^2 \theta_{12} \sin^2 2\theta_{23} \Delta \cos \Delta$$

$$P(\nu_e \rightarrow \nu_\mu) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2}$$

$$\pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})}$$

$$+ \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})}$$

$$+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}$$

- $\rightarrow$  analytic discussion / full simulations
- $\rightarrow$  degeneracies, correlations  $\rightarrow (\sin^2 2\theta_{13})_{\text{eff}}$

Cervera et al.  
 Freund, Huber, ML  
 Akhmedov, Johansson, ML, Ohlsson, Schwetz

# Degeneracies, Correlations, ...

Fixed L/E  $\rightarrow$  probabilities invariant under transformations:

- $\theta_{23} \rightarrow \pi/2 - \theta_{23}$  Fogli, Lisi  
 $P(\nu_e \rightarrow \nu_\mu)$  not really invariant  $\rightarrow$  compensation by small parameter off-sets
- $\Delta m^2 \rightarrow -\Delta m^2$  compensated by offset in  $\delta$  Minakata, Nunokawa
- $P(\nu_e \rightarrow \nu_\mu) = \text{const.}$   $\rightarrow$   $\delta - \theta_{13}$  manifolds Koike, Ota, Sato & Burguet-Castell et al.
- $\rightarrow$  8-fold degeneracy Barger, Marfatia, Whisnant

- parameter extraction suffers from correlations & degeneracies
- how to break degeneracies & correlations?



# The magic Baseline

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) &\approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
 &\pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &+ \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}
 \end{aligned}$$

- All terms besides the first vanish for  $\sin(\hat{A}\Delta) = 0$

- Condition for uncorrelated sensitivity to  $\theta_{13}$   $\hat{A}\Delta = \pi$

$\Rightarrow$  inserting  $\hat{A} = A/\Delta m_{31}^2$ ,  $A = 2VE$ ,  $\Delta = \Delta m_{31}^2 L/4E$  one finds

$$L_{\text{magic}} = \frac{2\pi}{\sqrt{2}G_F n_e} = 7630 \text{ km} \cdot \frac{\rho}{4.3 \text{ g/cm}^3}$$

Huber, Winter

- Note that this is not the MSW resonance condition

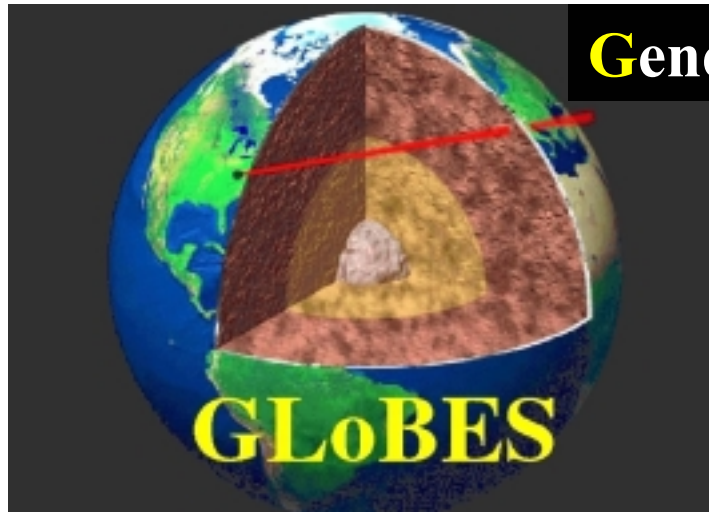
# Simulation of Future Experiments

- select a setup (beam, detector, baseline, ...)
- take „most realistic“ parameters  $\leftrightarrow$  best guess!
- simulate all relevant aspects as good as possible

Source	⊗	Oscillation	⊗	Detector
- neutrino energy $E$		- oscillation channels		- effective mass, material
- flux and spectrum		- realistic baselines		- threshold, resolution
- flavour composition		- MSW matter profile		- particle ID (flavour, charge, event reconstruction, ...)
- contamination		- <b>degeneracies</b>		- backgrounds
- symmetric $\nu/\bar{\nu}$ operation		- <b>correlations</b>		- x-sections (at low $E$ )

- determine the potential: „true“  $\leftrightarrow$  fitted parameters
- compare only realistic simulations (all relevant effects, errors & uncertainties)

# A Powerful Simulation Tool



## General Long Baseline Experiment Simulator

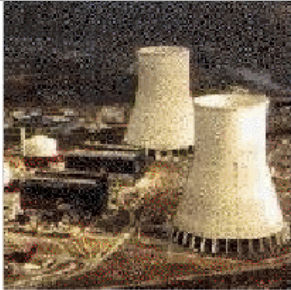
**Comp. Phys. Comm. 167 (2005) 195,  
hep-ph/0407333**

<http://www.ph.tum.de/~globes>

**P. Huber, ML, W. Winter  
M. Freund, M. Rolinec**

- **C-based simulation software (GPL = free)**
- **extensive documentation & examples**
- **3 phase approach:**
  - 1) **AEDL** (Abstract Experiment Definition Language)
  - 2) simulation of an experiment → 3-ν oscillations; scan „true values“
  - 3) analysis → event distributions, ....., sensitivities, ...

# New Reactor Experiments



$\bar{\nu}_e$

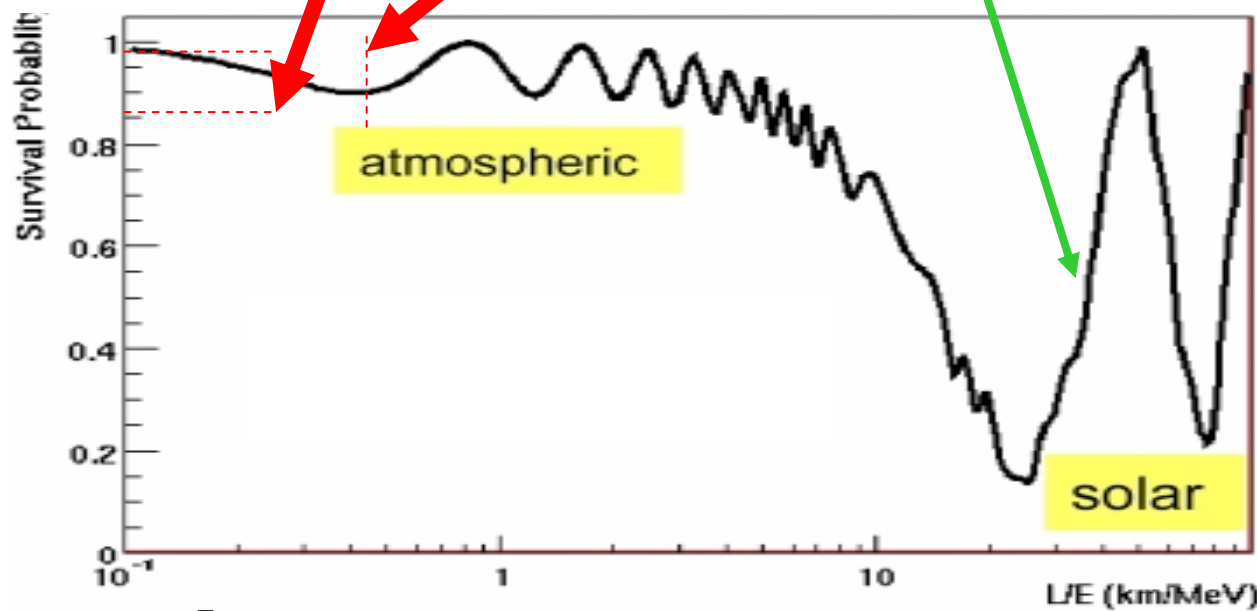
near detector (170m)

$\bar{\nu}_e$

far detector (1700m)

identical detectors → many errors cancel

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E_\nu} + \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)^2 \cos^4 \theta_{13} \sin^2 2\theta_{12}$$



E=4MeV → 2km 4km

40km 80km

→ Double Chooz

→ KASKA

→ RENO

→ Braidwood

→ Angra, ...

no degeneracies  
no correlations  
no matter effects

# Most Advanced Project: Double Chooz



**new near detector hall**

**2<sup>nd</sup> hall**



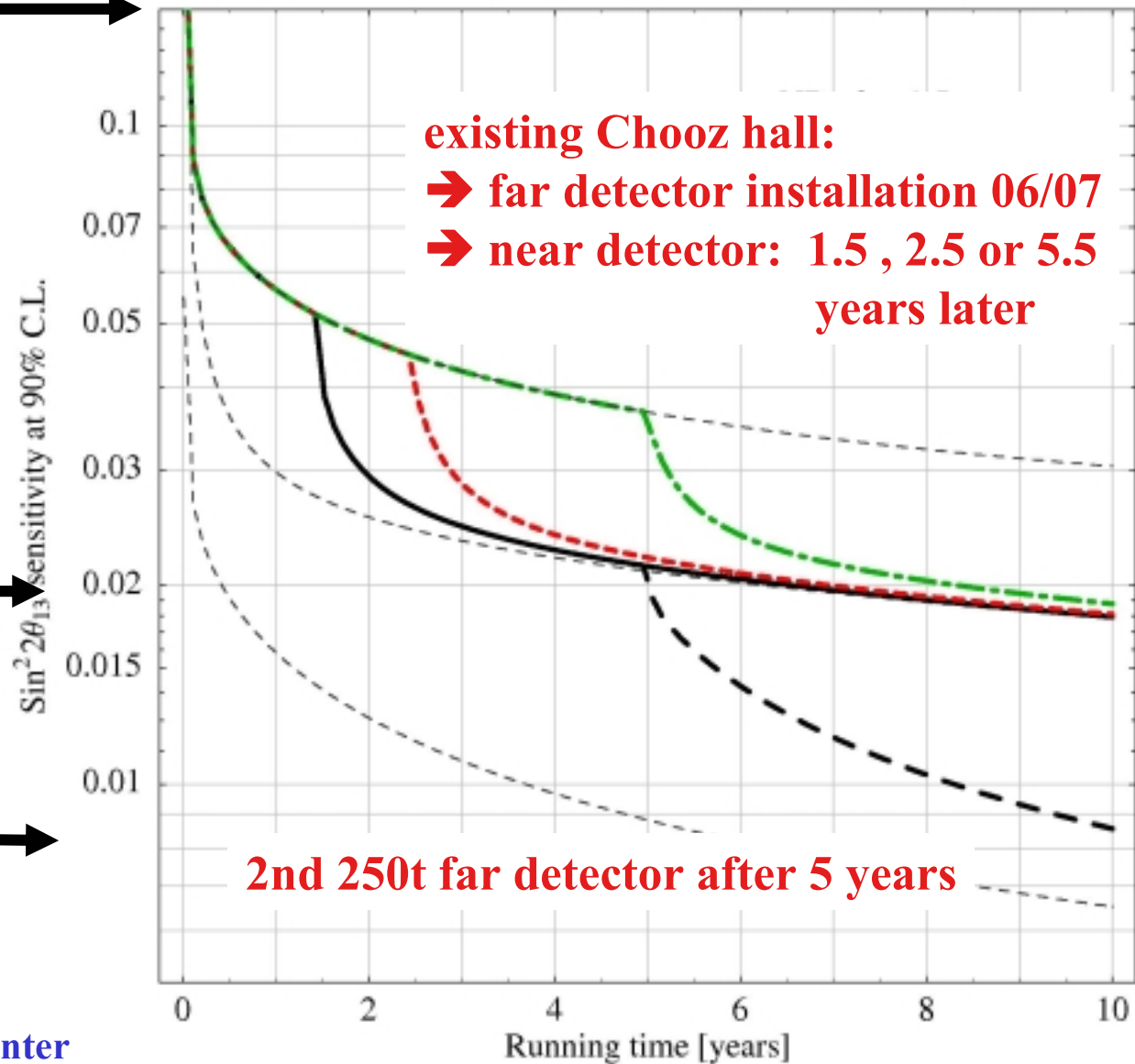
**existing Chooz hall**

# Double Chooz and Triple Chooz

Chooz

Double Chooz

Triple Chooz



Huber, Kopp, ML, Rolinec, Winter

# Double Chooz and $0\nu 2\beta$

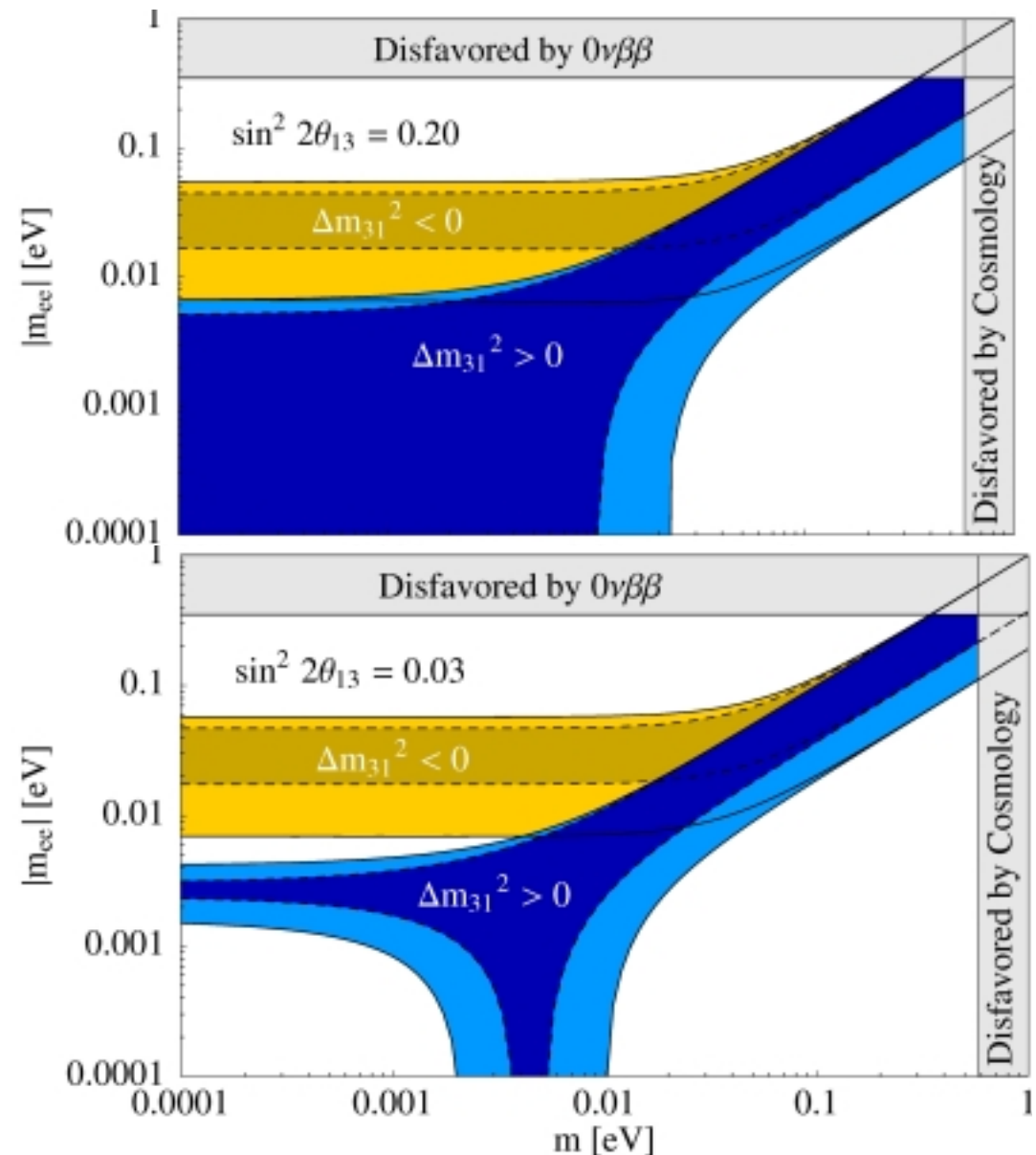
- $m_{ee}$  versus  $m_1$

for  $\sin^2 2\theta_{13} = 0.2$

for  $\sin^2 2\theta_{13} = 0.03$

→ Double Chooz

ML, Merle, Rodejohann



# New Neutrino Beams

- conventional beams, superbeams  
 → MINOS, CNGS: ( OPERA CARUS, T2K, NOvA, T2H,...
- $\beta$ -beams  
 → pure  $\nu_e$  and  $\bar{\nu}_e$  beams from radioactive decays;  $\gamma \simeq 100 \dots 1000$
- neutrino factories  
 → clean neutrino beams from decay of stored  $\mu$ 's

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) &\approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2((1-\hat{A})\Delta)}{(1-\hat{A})^2} \\
 &\pm \sin \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &+ \cos \delta_{\text{CP}} \alpha \sin 2\theta_{12} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \cos(\Delta) \frac{\sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)}{\hat{A}(1-\hat{A})} \\
 &+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}
 \end{aligned}$$

↳ correlations & degeneracies



# Detectors in a Nutshell

## Most important features:

- which leptons can be detected:  $e, \mu, \tau$
- can particles and anti-particles be distinguished  $\Leftrightarrow$  magnetic fields
- detector threshold and beam energy  $\Rightarrow$  defines energy window
- ...

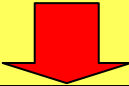
## Main players:

- water Cherenkov detectors a la SuperK  
sees  $e^\pm, \mu^\pm$ , i.e. no charge id  
very good for QE scattering at lower energies
- low  $Z$  calorimeter as proposed for NuMI  
sees  $e^\pm, \mu^\pm$ , i.e. no charge id  
best for medium energies where QE/DIS both contribute
- magnetized iron detectors  
sees  $\mu^+, \mu^-$ , no  $e$  and  $\tau$

## Other players:

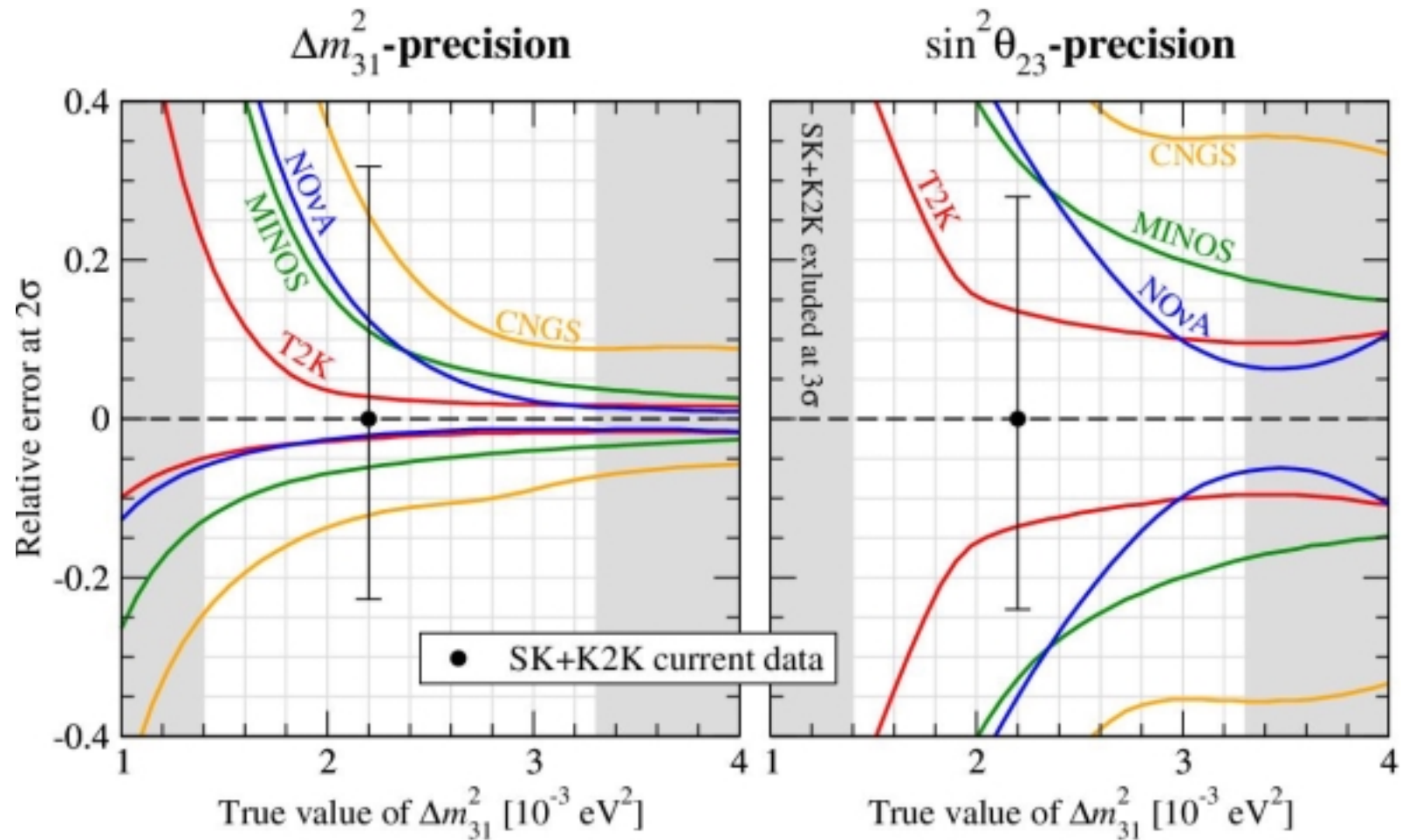
- liquid Argon a la ICARUS  $\Rightarrow \tau$
- emulsion detectors a la OPERA  $\Rightarrow$  sees all channels

# Future Long Baseline Projects

<b>K2K</b>	<b>analysis</b>	establish atmospheric oscillations with beam
<b>MINOS</b> <b>OPERA , ICARUS</b>	<b>running</b> <b>construction</b>	<u>expected precision:</u> 8% for $\Delta m^2_{13}$ , 25% for $\sin^2\theta_{23}$ , $\theta_{13}$ ?
<b>T2K</b>	<b>approved</b>	4% for $\Delta m^2_{13}$ , 15% for $\sin^2\theta_{23}$ , $\rightarrow \theta_{13}$
<b>NOvA</b>	<b>pre-approved</b>	3% for $\Delta m^2_{13}$ , 15% for $\sin^2\theta_{23}$ (combined with T2K) , $\rightarrow \theta_{13}$ , $\rightarrow \delta ?$ , $\rightarrow \text{sgn}(\Delta m^2_{13})$
<b>T2H</b>	<b>R&amp;D</b>	
<b><math>\beta</math>-beams</b>	<b>R&amp;D</b>	<b>precision neutrino physics</b>
<b>neutrino factory</b>	<b>R&amp;D</b>	
<b>...muon collider</b>	<b>...</b>	

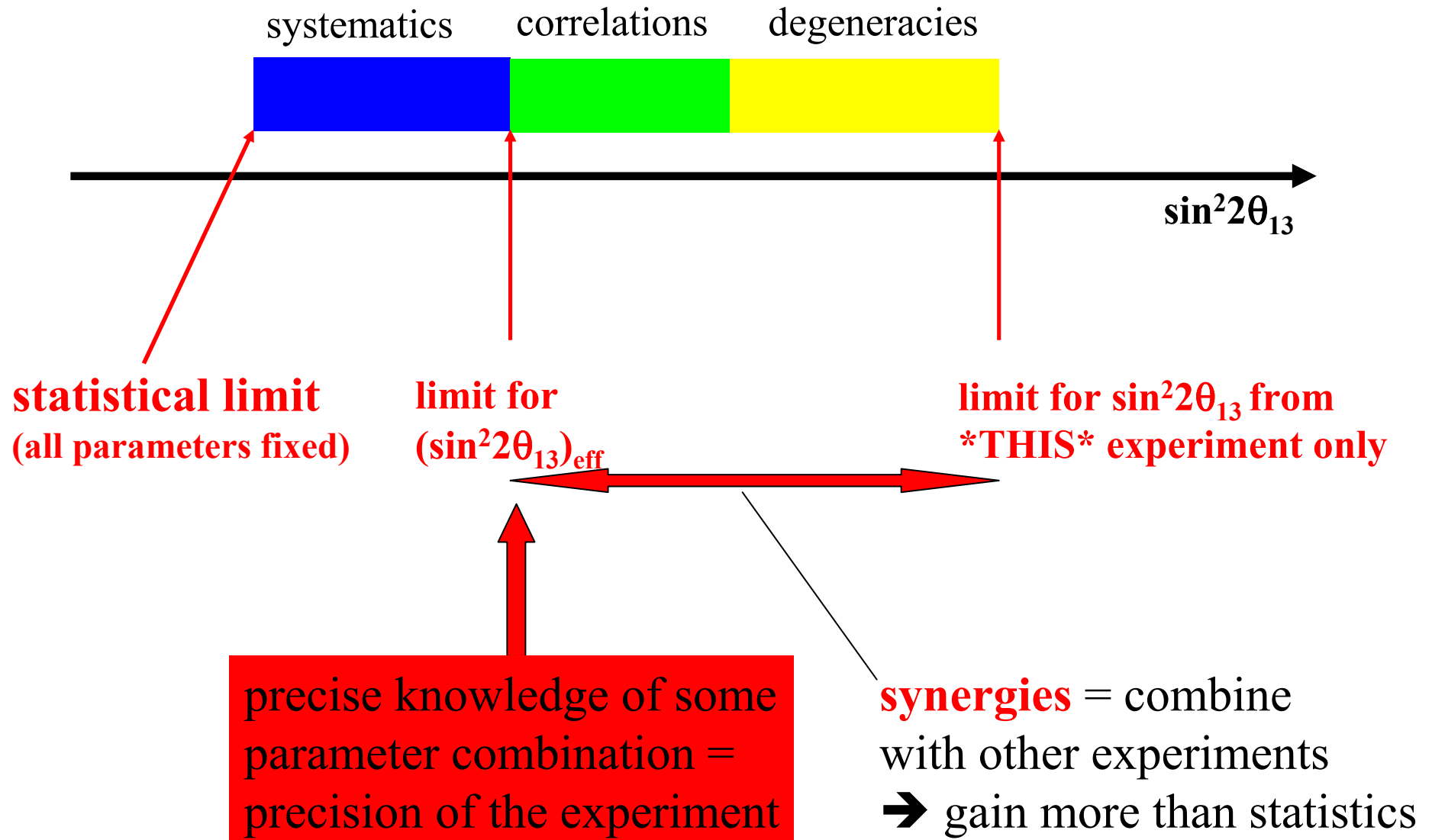
- every stage is a **necessary prerequisite** for the next
- continuous line of **improvements for beams, detectors, physics**

# Improvement of $\Delta m_{31}^2$ and $\sin^2\theta_{23}$

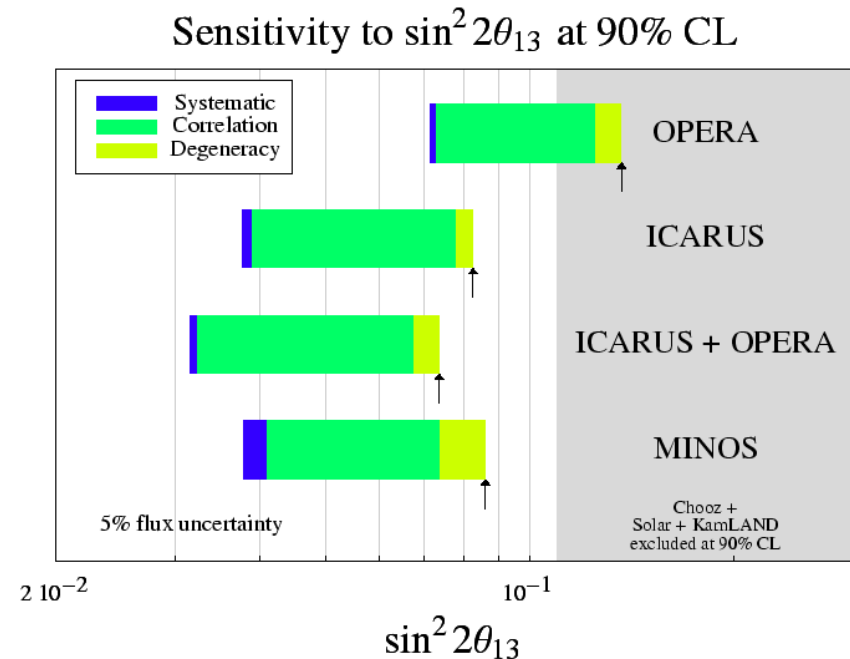
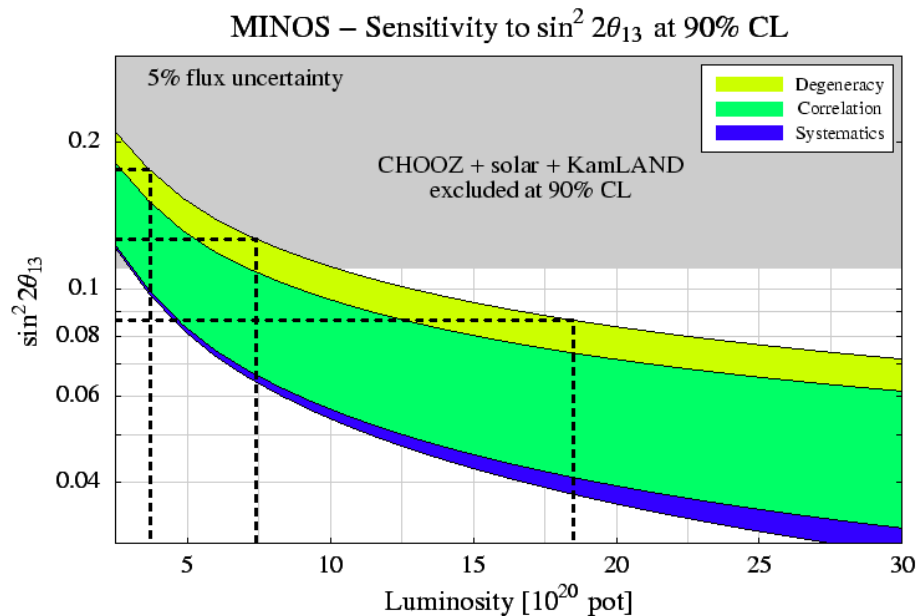


Huber, ML, Rolinec, Schwetz, Winter

# Sensitivity Plots



# $\theta_{13}$ in the Current LBL Generation



## MINOS sensitivity as a function of time:

- MINOS:  $3.7 \cdot 10^{20}$  pot/y
- 1,2,5 years

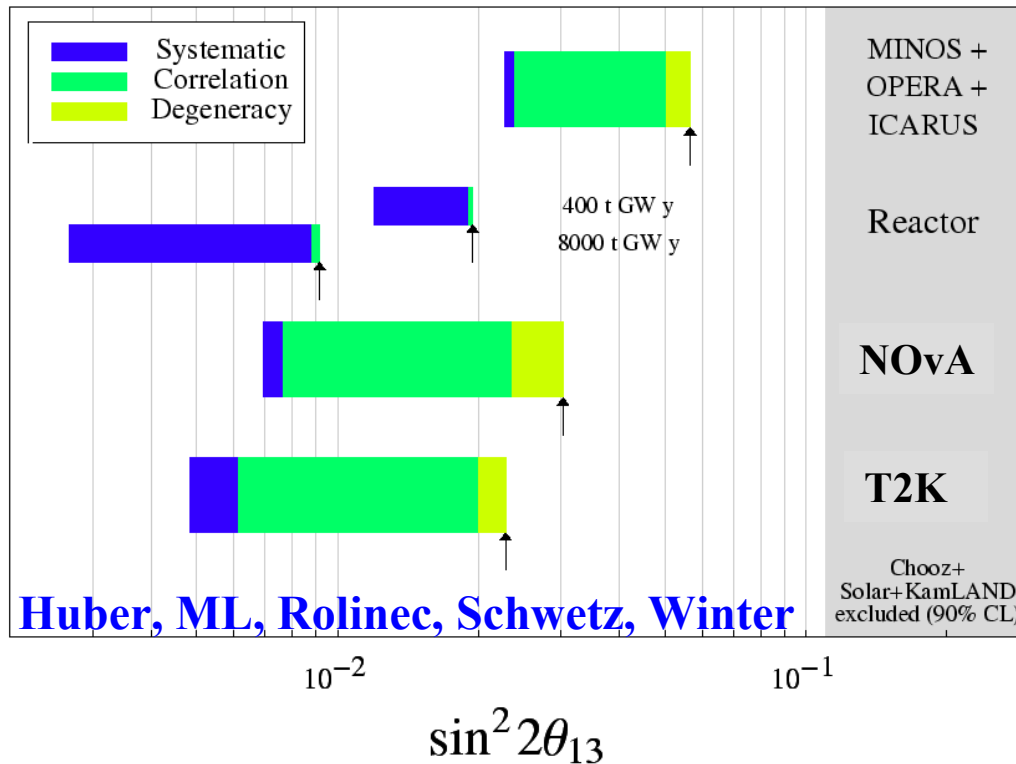
## Compare: 5 years, 5% flux uncertainty

- CNGS:  $4.5 \cdot 10^{19}$  pot/y

- only modest improvements for  $\theta_{13}$
- other objectives...

# $\theta_{13}$ Sensitivity in the Next Generation

Sensitivity to  $\sin^2 2\theta_{13}$  at 90% CL



coming long baseline experiments

Double Chooz  
Reactor II (...Tripple Chooz)

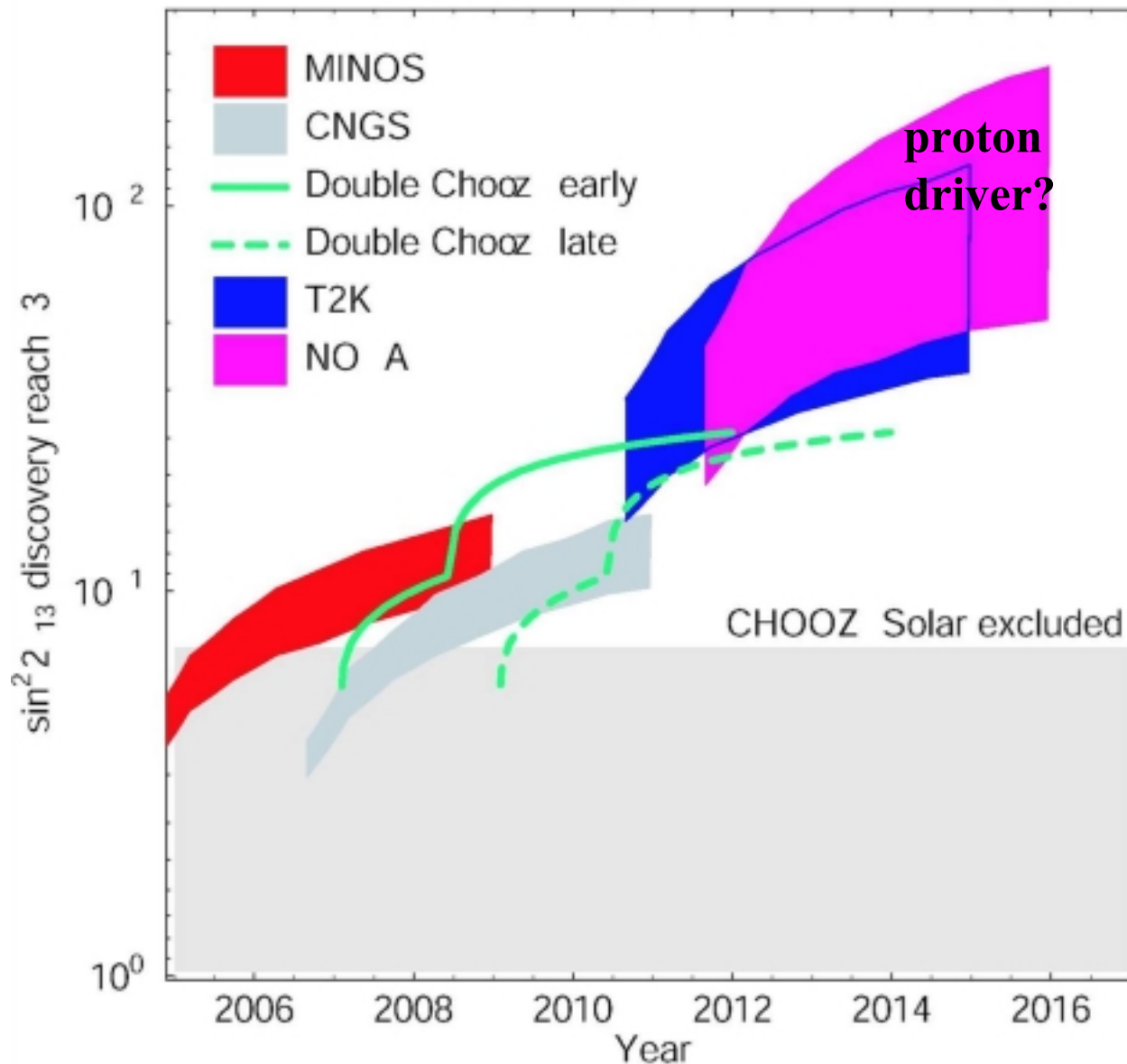
next generation long baseline experiments

Compare:

- 5 years each
- 5% flux uncertainty

- one order of magnitude improvement for  $\theta_{13}$
- synergies between reactor and accelerator experiments
  - reactor anti-neutrinos  $\Rightarrow$  only neutrino beams (x-section)
  - reactor: uncorrelated  $\theta_{13}$   $\Rightarrow$  combine with beams & resolve correlations
- synergy between beams  $\Rightarrow$  NOvA at larges baseline  $\Rightarrow$  matter effects

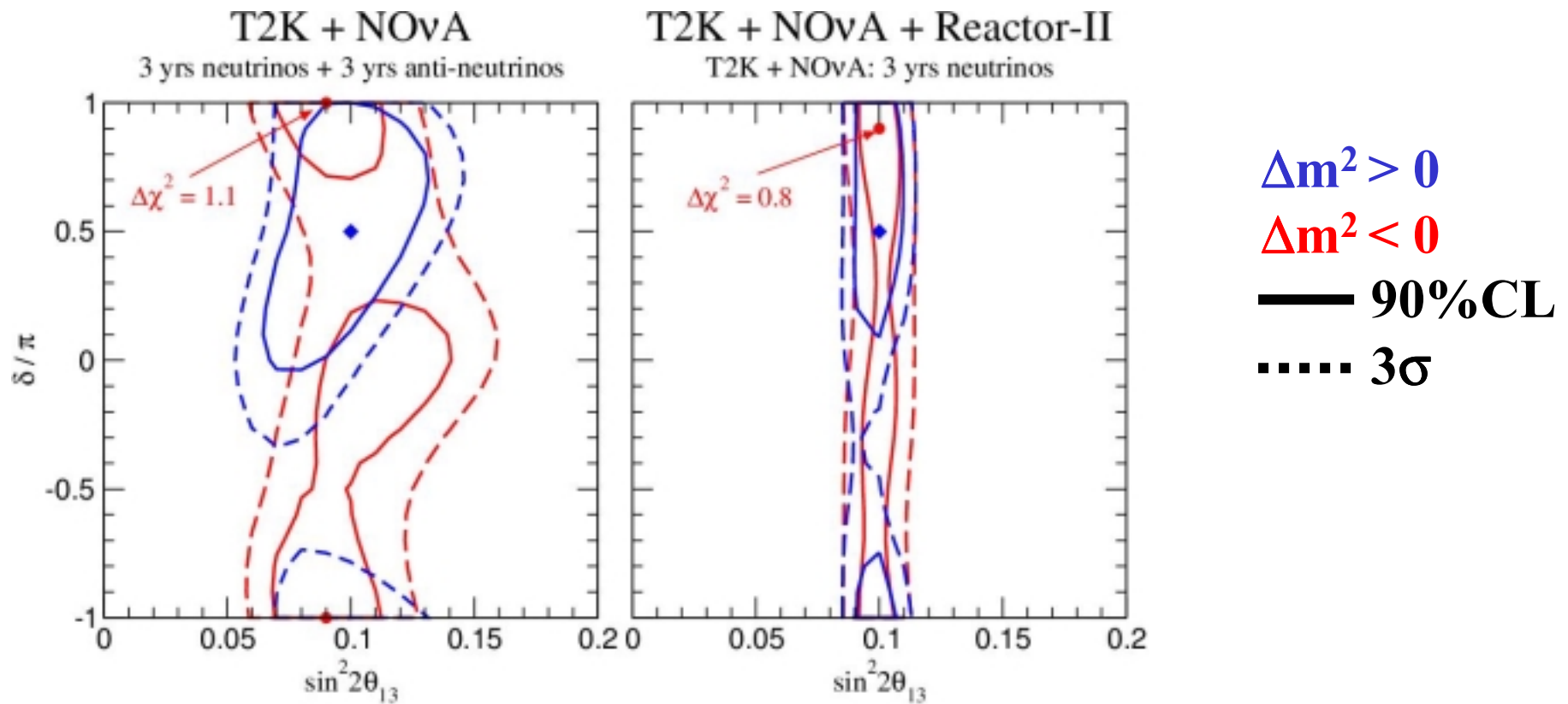
# $\theta_{13}$ Sensitivity Versus Time



$\beta$ -beams  
neutrino factory

# Leptonic CP-Violation

assume:  $\sin^2 2\theta_{13} = 0.1$ ,  $\delta = \pi/2 \rightarrow$  combine T2K+NOvA+reactor



$\rightarrow$  bounds or measurements of leptonic CP-violation

$\rightarrow$  leptonic CP-violation in  $M_R \leftrightarrow$  baryon asymmetry via leptogenesis



# How to Break Degeneracies & Correlations

Rates only  $\leftrightarrow$  degeneracies  
can be resolved by:

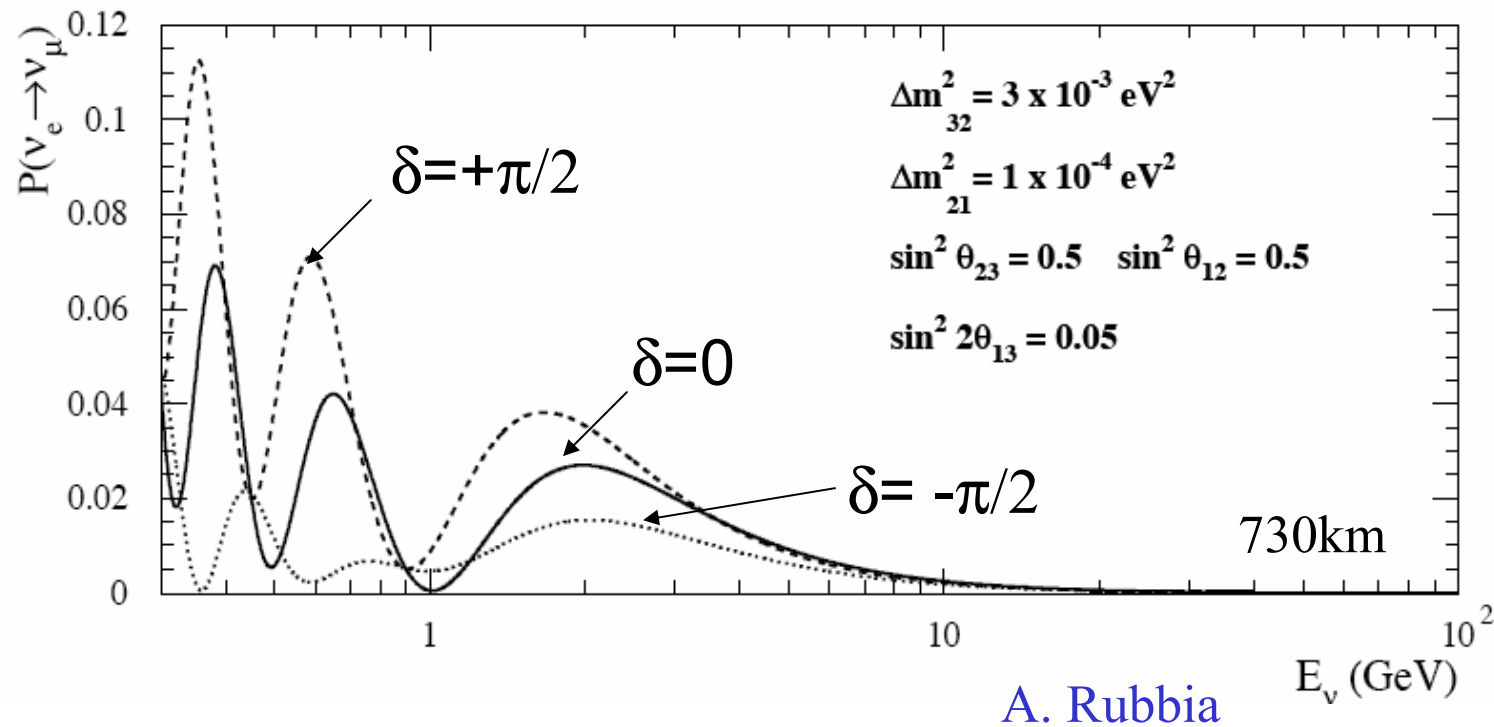
- combination of different oscillation channels
- use different baselines
- combine different energies
- use energy spectrum
- go to „magic baseline“

All degeneracies can in principle be broken

→ optimal strategy (physics output / time, money, feasibility )  
depends on further R&D

# Energy Resolution

Rate based degeneracies have **different energy spectra**



→ use energy resolution to break degeneracies

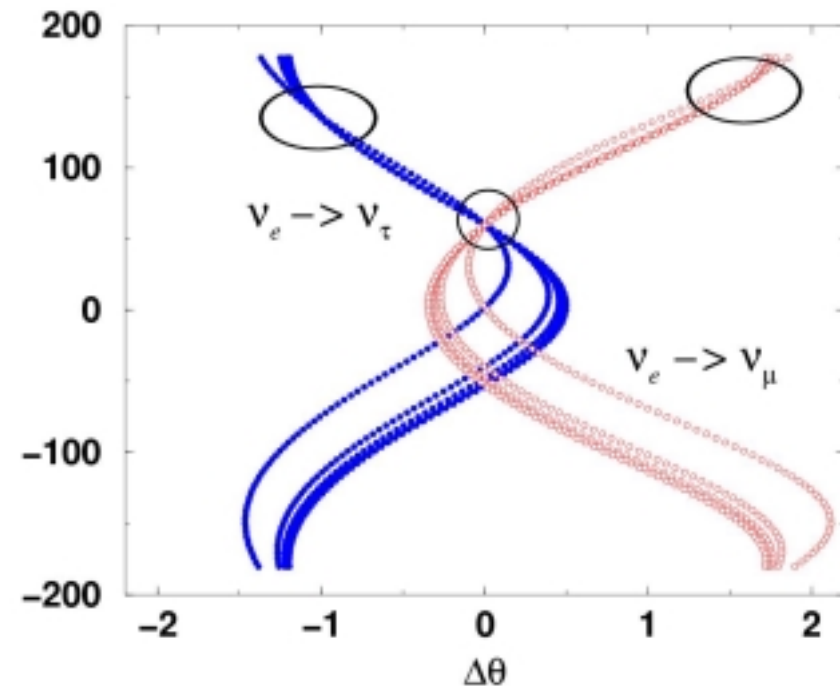
# Silver Channels

Neutrino factory:

- golden channel: wrong sign  $\mu$ 's
- silver channel :  $\tau$ 's

→ different oscillation probabilities<sub>ν</sub>

→ break degeneracies!



Donini, Meloni, Migliozzi  
Autiero, et al.

# What is precision neutrino physics good for?

- **unique flavour information**
- **tests models / ideas about flavour**
- **history: elimination of SMA**

# The Value of Precision for $\theta_{13}$

- models for masses & mixings
- input: Known masses & mixings
  - distribution of  $\theta_{13}$  „predictions“
- $\theta_{13}$  often close to experimental bounds
  - motivates new experiments
  - $\theta_{13}$  controls 3-flavour effects like leptonic CP-violation

for example:  $\sin^2 2\theta_{13} < 0.01$  →

physics question: why is  $\theta_{13}$  so small ?

→ numerical coincidence

→ symmetry

↔ precision!

Reference	$\sin \theta_{13}$	$\sin^2 2\theta_{13}$
<del><i>SO(10)</i></del>		
Goh, Mohapatra, Ng [40]	0.18	0.13
<del><i>Orbifold SO(10)</i></del>		
Asaka, Buchmüller, Covi [41]	0.1	0.04
<i>SO(10) + flavor symmetry</i>		
Babu, Pati, Wilczek [42]	$5.5 \cdot 10^{-4}$	$1.2 \cdot 10^{-6}$
<del>Blazek, Raby, Iobe [43]</del>	<del>0.05</del>	<del>0.01</del>
<del>Kitano, Mimura [44]</del>	<del>0.22</del>	<del>0.18</del>
<del>Albright, Barr [45]</del>	<del>0.014</del>	<del><math>7.8 \cdot 10^{-4}</math></del>
<del>Machawa [46]</del>	<del>0.22</del>	<del>0.18</del>
<del>Ross, Velasco Sevilla [47]</del>	<del>0.07</del>	<del>0.02</del>
Chen, Mahanthappa [48]	0.15	0.09
Raby [49]	0.1	0.04
<del><i>SO(10) + texture</i></del>		
Buchmüller, Wyler [50]	0.1	0.04
Bando, Obara [51]	0.01 .. 0.06	$4 \cdot 10^{-4}$ .. 0.01
<i>Flavor symmetries</i>		
<del>Crimus, Lavours [52, 53]</del>	<del>0</del>	<del>0</del>
<del>Crimus, Lavours [52]</del>	<del>0.3</del>	<del>0.3</del>
<del>Babu, Ma, Valle [54]</del>	<del>0.14</del>	<del>0.08</del>
<del>Kuchimanchi, Mohapatra [55]</del>	<del>0.08 .. 0.4</del>	<del>0.03 .. 0.3</del>
<del>Ohlsson, Seidl [56]</del>	<del>0.07 .. 0.14</del>	<del>0.02 .. 0.08</del>
King, Ross [57]	0.2	0.15
<del><i>Textures</i></del>		
<del>Honda, Kaneko, Tanimoto [58]</del>	<del>0.08 .. 0.20</del>	<del>0.03 .. 0.15</del>
Lebed, Martin [59]	0.1	0.04
Bando, Kaneko, Obara, Tanimoto [60]	0.01 .. 0.05	$4 \cdot 10^{-4}$ .. 0.01
Ibarra, Ross [61]	0.2	0.15
<i>3 × 2 see-saw</i>		
<del>Appelquist, Piai, Shrock [62, 63]</del>	<del>0.05</del>	<del>0.01</del>
<del>Fraxton, Glashow, Yanagida [64]</del>	<del>0.1</del>	<del>0.04</del>
Mei, Xing [65] (normal hierarchy)	0.07	0.02
(inverted hierarchy)	$> 0.006$	$> 1.6 \cdot 10^{-4}$
<del><i>Anarchy</i></del>		
de Gouvêa, Murayama [66]	$> 0.1$	$> 0.04$
<del><i>Renormalization group enhancement</i></del>		
Mohapatra, Parida, Rajasekaran [67]	0.08 .. 0.1	0.03 .. 0.04

# Further Implications of Precision

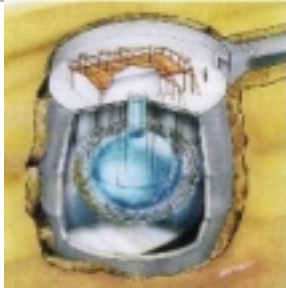
## Precision allows to identify / exclude:

- special angles:  $\theta_{13} = 0^\circ$ ,  $\theta_{23} = 45^\circ$ , ...  $\leftrightarrow$  discrete f. symmetries?
- special relations:  $\theta_{12} + \theta_C = 45^\circ$  ?  $\leftrightarrow$  quark-lepton relation?
- quantum corrections  $\leftrightarrow$  renormalization group evolution

## Provides also measurements or tests of:

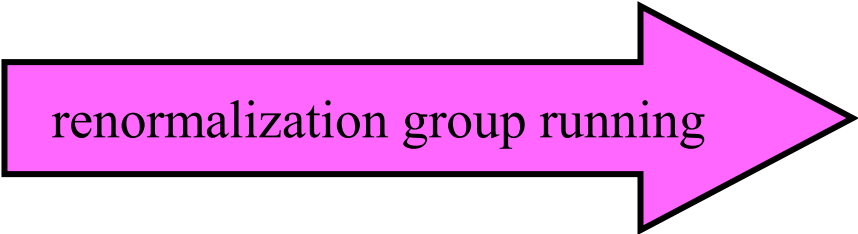
- **MSW effect** (coherent forward scattering and matter profiles)
- **cross sections**
- **3 neutrino unitarity**  $\leftrightarrow$  sterile neutrinos with small mixings
- **neutrino decay (admixture...)**
- **decoherence**
- **NSI**
- **MVN, ...**

# Renormalization Group Running



## low energies:

- small masses
- large mixings

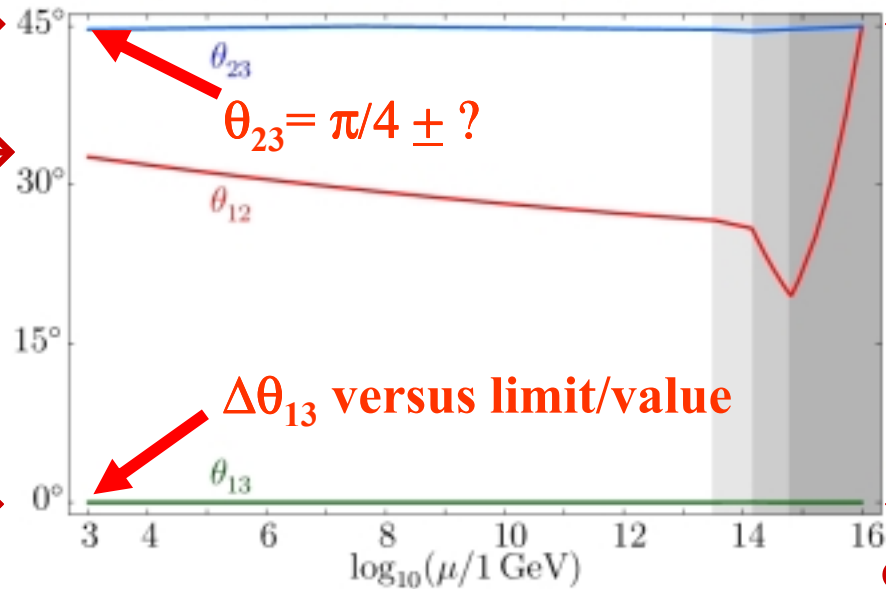


## high energies:

- mass models
- flavour-symmetries
- GUT-models, ...

atmospheric  $\rightarrow$   $45^\circ$   $\leftarrow$  bi-maximal

solar  $\rightarrow$



MSSM example:  
Antusch, Kersten, ML, Ratz

reactor  $\rightarrow$   $0^\circ$

$\leftarrow$  Small  
or even  
zero

# Neutrino Mass Terms

## 1) Postulate right handed neutrino fields $\rightarrow$ SM+

$\overline{\nu}_L \quad g_N \quad \nu_R$   
 $\downarrow$   
 $\times$   
 $\langle \phi \rangle = v$

$\overline{\nu}_R \quad \times \quad \nu_R$   
 Majorana  
 $\not\propto$

$\rightarrow$

$$(\overline{\nu}_L \quad \overline{\nu}_R^c) \begin{pmatrix} 0 & m_D \\ m_D & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

### Natural value of mass operators: scale of symmetry

$m_D \sim$  electro-weak scale

$M_R \sim$  embedding into GUT  $\leftrightarrow$  L violation scale

### See-saw mechanism (type I)

$$m_\nu = m_D M_R^{-1} m_D^T$$

$$m_h = M_R$$

### Numerical hints:

For  $m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2}$ ,  $m_D \sim$  leptons  $\rightarrow M_R \sim 10^{11} - 10^{16} \text{ GeV}$

$\rightarrow$   $\nu$ 's are Majorana particles,  $m_\nu$  probes  $\sim$  GUT scale physics!

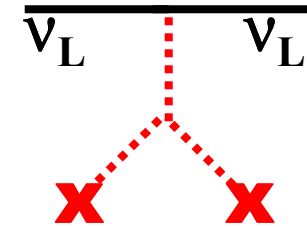
$\rightarrow$  smallness of  $m_\nu \leftrightarrow$  high scale of L, symmetries of  $m_D, M_R$



# More Neutrino Mass Operators

## 2) new Higgs triplets $\Delta$ :

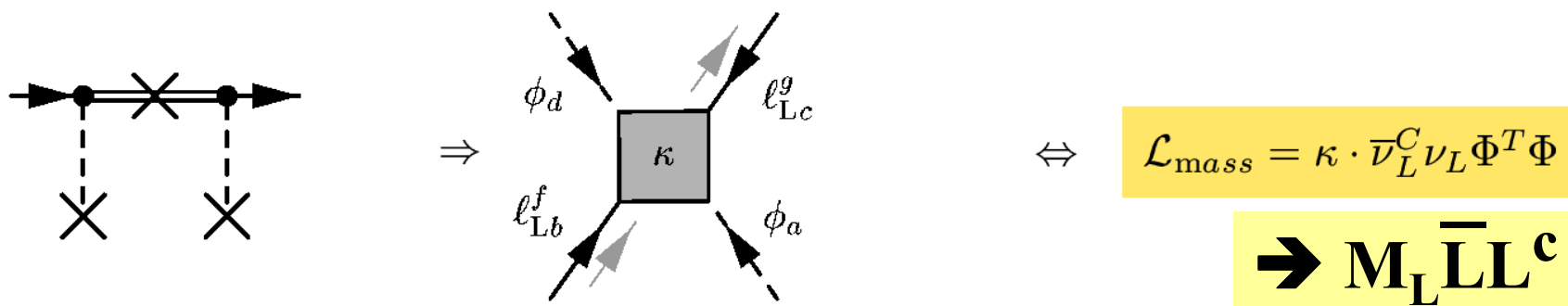
→ left-handed Majorana mass term  $M_L \bar{L} L^c$



## 3) Both $\nu_R$ and new Higgs triplets $\Delta_L$ :

→ see-saw type II  $m_\nu = M_L - m_D M_R^{-1} m_D^T$

## 4) Higher dimensional operators: $d=5, \dots$



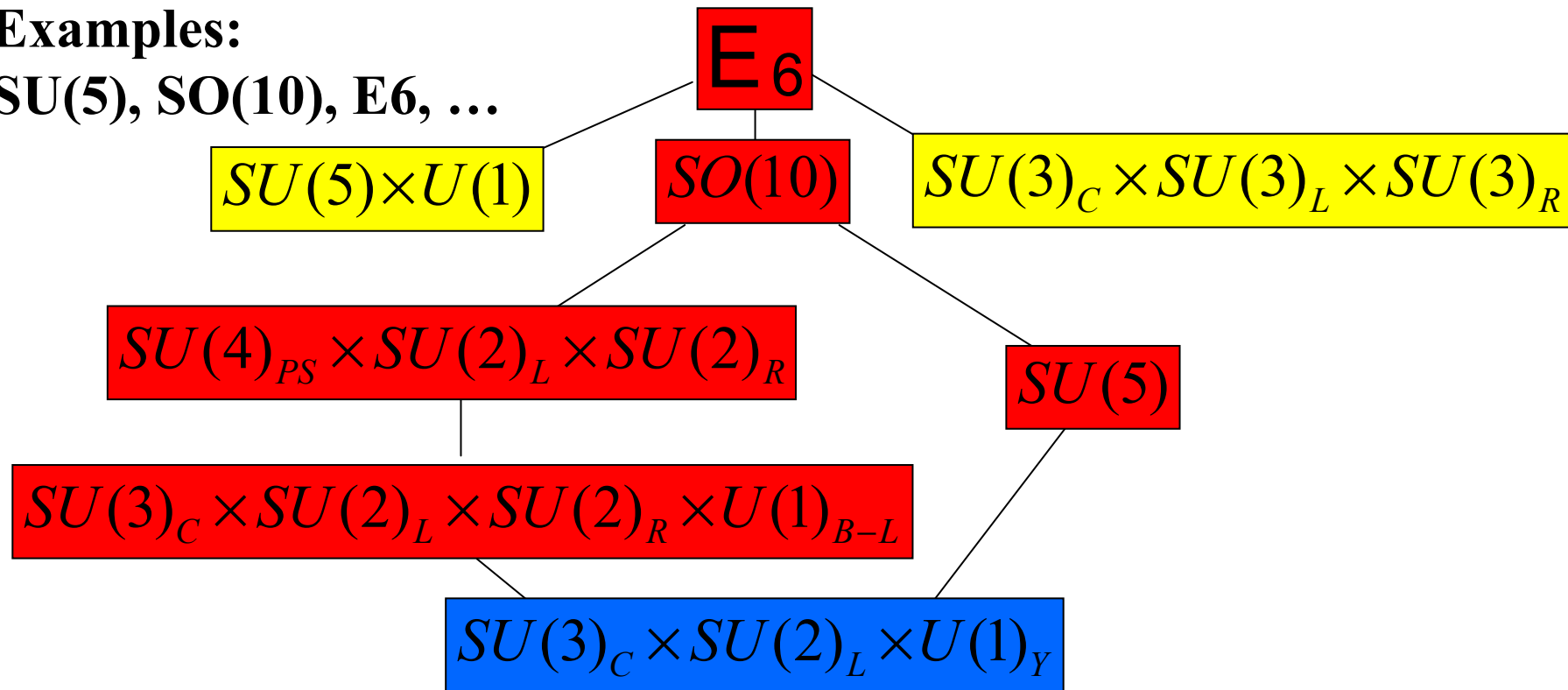
## 5) More speculative things ...

# The larger Picture: GUTs

Gauge unification suggests that some GUT exists

Examples:

$SU(5)$ ,  $SO(10)$ ,  $E_6$ , ...



Requirements: gauge unification, particle multiplets (e.g.  $\nu_R$ ), proton decay, ...

# GUT Expectations and Requirements

## Quarks and leptons sit in the same multiplets

- one set of Yukawa coupling for given GUT multiplet
- ~ tension: small quark mixings  $\leftrightarrow$  large leptonic mixings
- this was in fact the reason why many 'predicted' small mixing angles (SMA) – ruled out by data

## Mechanisms to post-dict large mixings:

- sequential dominance
- ...
- Dirac screening

# Single right-handed Dominance

$$m_D = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & a & b \\ \cdot & c & d \end{pmatrix} \quad M_R = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & x & 0 \\ \cdot & 0 & y \end{pmatrix}$$

$$\rightarrow m_\nu = -m_D \cdot M_R^{-1} \cdot m_D^T = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \frac{a^2}{x} + \frac{b^2}{y} & \frac{ac}{x} + \frac{bd}{y} \\ \cdot & \frac{ac}{x} + \frac{bd}{y} & \frac{c^2}{x} + \frac{d^2}{y} \end{pmatrix}$$

If one right-handed neutrino dominates, e.g.  $y \gg x$

- small sub-determinant  $\sim m_2 \cdot m_3$
- $m_2 \ll m_3$  i.e. a natural hierarchy
- $\tan \theta_{23} \simeq a/c$  i.e. naturally large mixing

# Sequential Dominance

$$m_D = \begin{pmatrix} a & b & c \\ d & e & f \\ g & e & h \end{pmatrix} \quad M_R = \begin{pmatrix} x & 0 & 0 \\ 0 & y & 0 \\ 0 & 0 & z \end{pmatrix}$$

$$m_\nu = -m_D \cdot M_R^{-1} \cdot m_D^T = \begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$

**sequenatial dominance:  $z \gg y \gg x$**

**→ small determinant  $\sim m_1 \cdot m_2 \cdot m_3$**

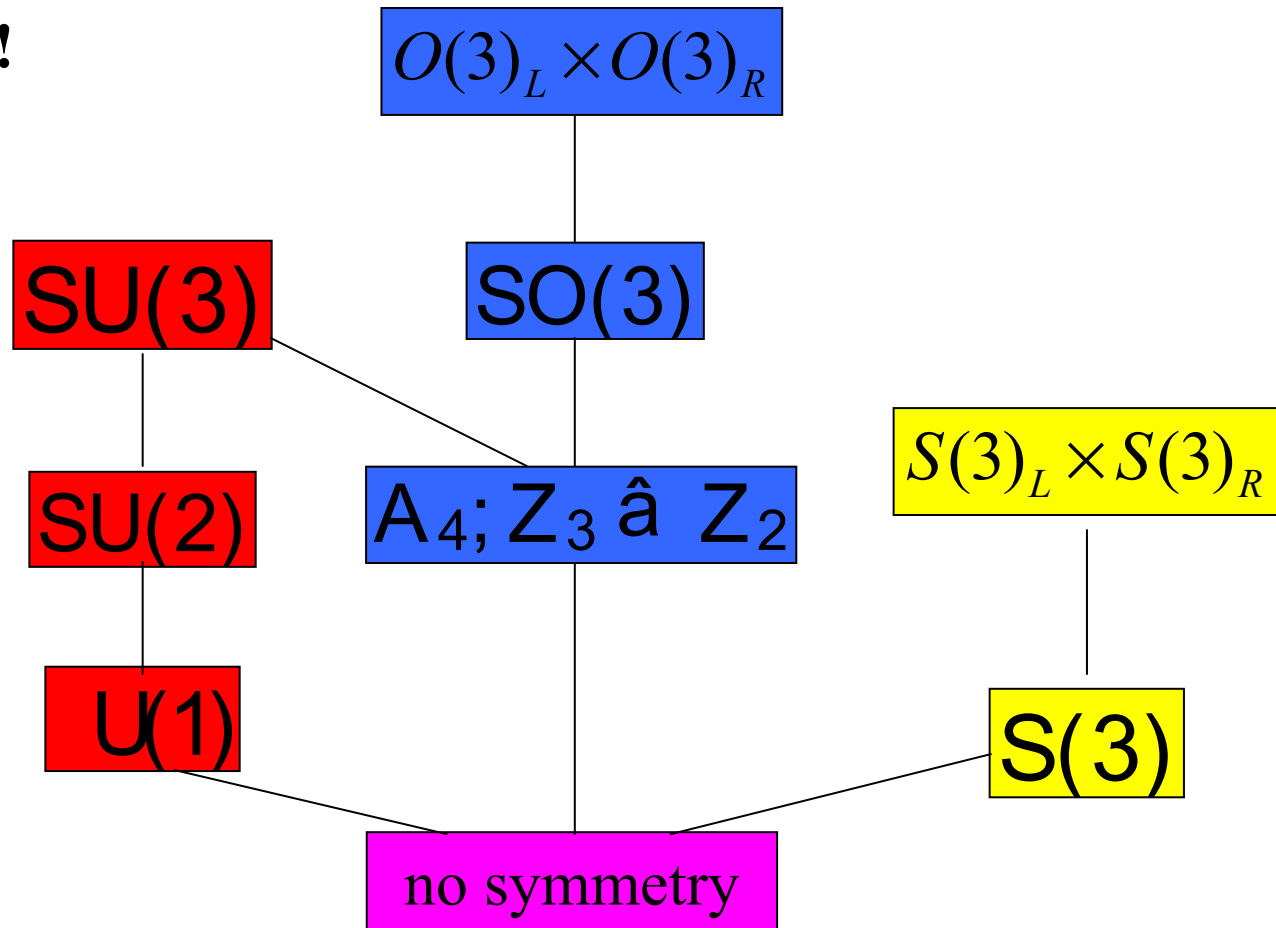
**→  $m_1 \ll m_2 \ll m_3$  natural**

**→ naturally large mixings**

# Flavour Unification

- so far **no understanding of flavour, 3 generations**
- apparant regularities in quark and lepton parameters
- ➔ flavour symmetries
- ➔ not texture zeros!

Examples for  
flavour symmetries  
and their relation:



# GUT $\otimes$ Flavour Unification

- So far **no understanding of flavour, 3 generations**
  - Regularities in quark and lepton parameters
  - Hints for unification
- GUT group  $\otimes$  continuous, gauged flavour group**
- for example  $\text{SO}(10) \otimes \text{SU}(3)_{\text{flavour}}$
  - Generations are  $3_{\text{F}}$
  - **SSB of  $\text{SU}(3)_{\text{flavour}}$  between  $\Lambda_{\text{GUT}}$  and  $\Lambda_{\text{Planck}}$** 
    - all flavour Goldstone Bosons eaten
    - discrete (ungauged) sub-group survives  $\leftrightarrow$  SSB potential
    - e.g.  $Z_2, S_3, D_5, A_4, \dots$
    - structures in flavour space**

# GUT $\otimes$ Flavour Challenges

- **GUT  $\otimes$  flavour is rather restricted**
  - **small quark mixings**
  - **large leptonic mixings**
  - **from unified GUT  $\otimes$  flavour representations**
  - **strong links between Yukawa couplings**
- **Difficulty grows with**
  - **size of flavour symmetry**
  - **size of the GUT group**
  - **so far only a few viable models**
  - **limited possibilities**
- **Hope: Distinguish models by future precision**
- **Question: Is it possible to systematically unlock the Yukawa structures in a GUT  $\otimes$  flavour model**



# Dirac Screening

ML, Schmidt Smirnov

**Question: Do neutrino masses in GUT  $\otimes$  flavour scenarios always depend on the same Yukawa couplings?  $\rightarrow$  no**

**Assume:  $\nu_L, \nu_R^C, S$   $\rightarrow$**

$$\mathcal{M} = \begin{pmatrix} 0 & Y_\nu \langle \phi \rangle & 0 \\ Y_\nu^T \langle \phi \rangle & 0 & Y_N^T \langle \sigma \rangle \\ 0 & Y_N \langle \sigma \rangle & M_S \end{pmatrix}$$

**$\rightarrow$  double seesaw**

$$m_\nu^0 = \left[ \frac{\langle \phi \rangle}{\langle \sigma \rangle} \right]^2 Y_\nu (Y_N)^{-1} M_S (Y_N^T)^{-1} Y_\nu^T$$

**fit fermions into GUT representations**

**$\rightarrow$  relation between Yukawa couplings, e.g. E6**

$$Y_\nu = c \cdot Y_N$$

# Consequences of Screening

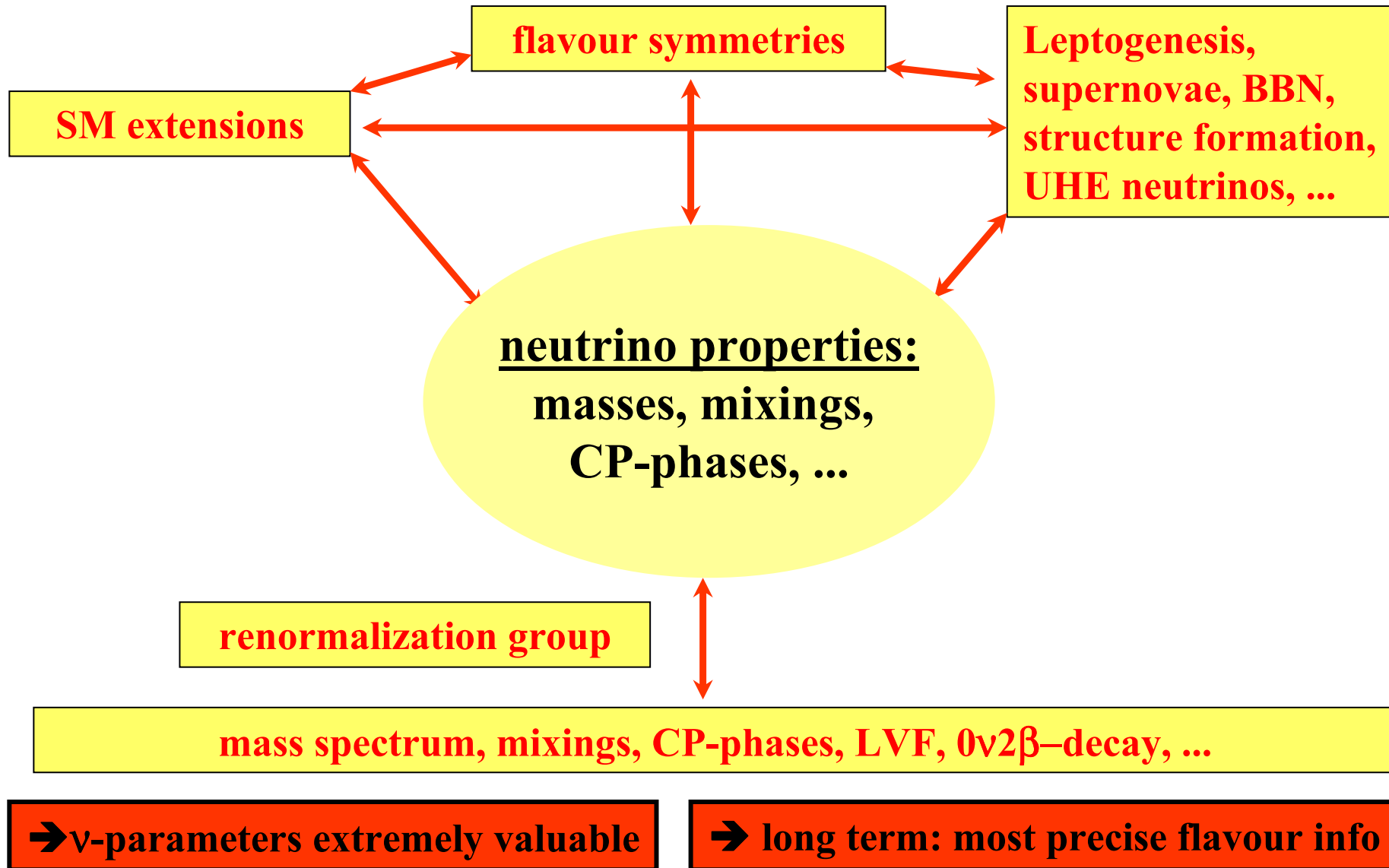
→ complete screening of Dirac structure

$$m_\nu = c^2 \left[ \frac{\langle \phi \rangle}{\langle \sigma \rangle} \right]^2 M_S$$

## Consequences:

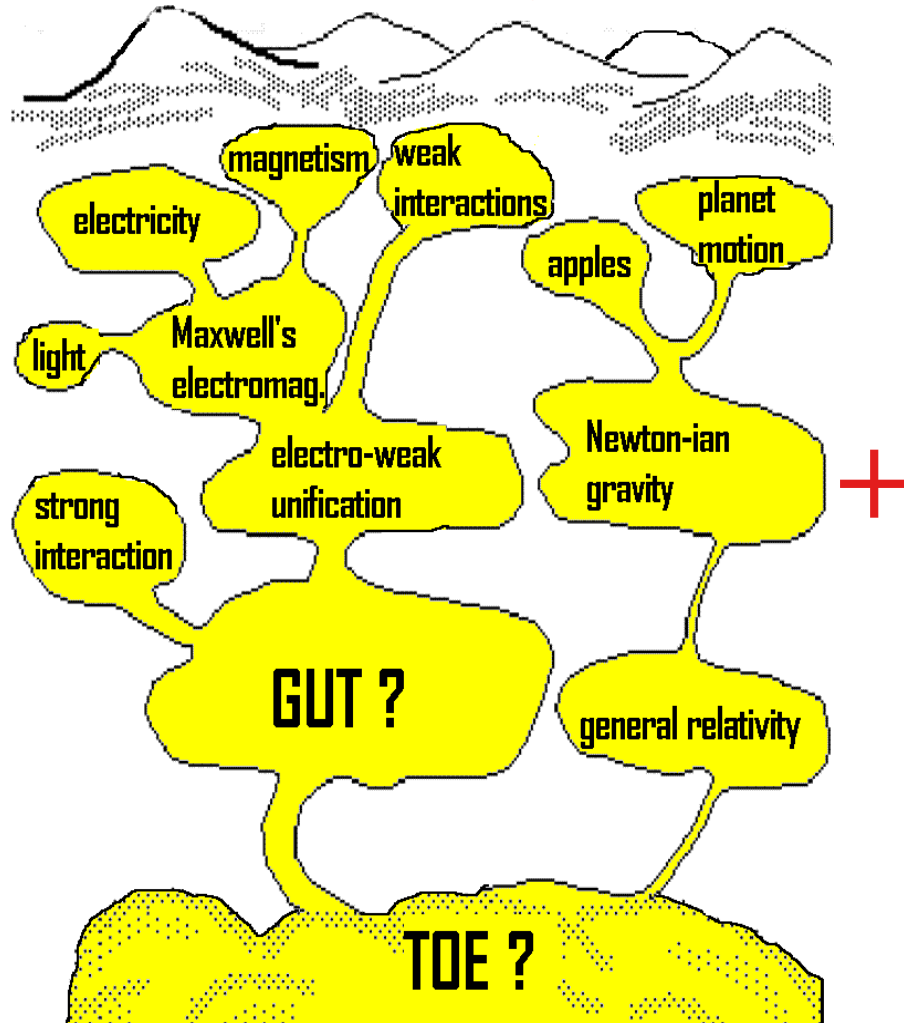
- Neutrino masses emerge completely from Planck scale physics  
↔ generically different from quarks
- Dirac Yukawa structure (small mixings) screened
- Hierarchical neutrino spectrum not required in see-saw
- Quark-lepton complementarity is easily possible
- With or without degenerate neutrino masses
- Double see-saw predicts for  $M_R$  from first see-saw to be lower than GUT scale by a factor  $\langle s \rangle / M_S \sim 10^{-3}$   
↔ better fit to masses

# The Interplay of Topics



# Conclusions

## neutrino properties & particle physics



## neutrinos as probes

